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Open Data Ireland: Best Practice Handbook

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Contents

G	lossary	•••••		8
1	Exe	cutive	e Summary	9
	1.1	Ope	n Data Ecosystem Recommendations	10
2	Met	hodo	logy	17
3	An I	ntroc	luction to Open Data	18
	3.1	Eco	nomic Value of Open Data	18
	3.2	Ope	n Data vs. Current Practices	19
	3.3	Free	dom of Information and Open Data	21
	3.4	Pub	lic Sector Information and Open Data	21
	3.5	Euro	ppean PSI Directive	22
	3.5.	1	PSI Directive in Ireland	23
4	Ope	n Da	ta State-of-the-Art	26
	4.1	Ope	n Data Internationally	26
	4.2	Ope	n Data Organisations, Groups and Institutions	26
	4.3	Ope	n Data in Ireland	33
	4.3.	1	Overview	33
	4.3.	2	Local Government	37
	4.3.	3	Non-government Community	37
	4.3.	4	National Government	39
5	Best	: Prac	tice Standards for Carrying out a Data Audit	45
	5.1	Ove	rview	45
	5.2	Inte	rnational Practice	46
	5.3	Curi	ent Irish Practice	46
	5.4	Reco	ommendations	47
6	Best	Prac	tice Standards for Dataset Selection	48
	6.1	Ove	rview	48
	6.2	Inte	rnational Practice	49
	6.2.	1	Open Data Barometer	49
	6.2.	2	Open Data Census	49
	6.2.	3	G8 Open Data Charter	50
	6.2.	4	High-Value Datasets	50
	6.3	Curr	ent Irish Practice	54
	6.4	Reco	ommendations	54



7	В	est Prac	tice Standards for Address and Map Data 56
	7.1	Ove	rview
	7.2	Inte	rnational Practice
	7	.2.1	Address Data
	7	.2.2	Map Data
	7.3	Curi	ent Irish Practice
	7	.3.1	Address & Postcode Data 59
	7	.3.2	Map Data60
	7	.3.3	National Spatial Data Strategy61
	7.4	Rec	ommendations
8	В	est Prac	tice Standards for Data Privacy63
	8.1	Ove	rview 63
	8.2	Inte	rnational Practice
	8.3	Curi	ent Irish Practice
	8.4	Rec	ommendations
9	В	est Prac	tice Standards for Licensing66
	9.1	Ove	rview
	9	.1.1	Metadata Licensing
	9	.1.2	Service Level Agreements (SLAs)
	9.2	Inte	rnational Practice
	9.3	Curi	ent Irish Practice
	9	.3.1	Issues with the PSI license
	9.4	Rec	ommendations
1(C	Best P	ractice Standards for Publishing High-Quality Data73
	10.3	1 Data	a Formats73
	1	0.1.1	Overview
	1	0.1.2	International Practice
	1	0.1.3	Linked Open Data
	1	0.1.4	Current Irish Practice79
	1	0.1.5	Recommendations 79
	10.2	2 Met	adata80
	1	0.2.1	Overview
	1	0.2.2	International Practice
	1	0.2.3	Current Irish Practice



10.2	2.4	Recommendations	82
10.3	Data	a Standards	83
10.3	3.1	Overview	83
10.3	3.2	International Practice	83
10.3	3.3	Current Irish Practice	85
10.3	3.4	Recommendations	86
10.4	Uniq	ue Identifiers	87
10.4	4.1	Overview	87
10.4	4.2	International Practice	87
10.4	4.3	Current Irish Practice	88
10.4	4.4	Recommendations	89
11 B	lest Pr	actice Standards for Data Access	90
11.1	Over	rview	90
11.2	Inter	rnational Practice	91
11.3	Curr	ent Irish Practice	92
11.4	Reco	ommendations	92
12 B	lest Pr	actice Standards for Data Discovery	93
12.1	Over	rview	93
12.2	Ope	n Data Catalogue	93
12.3	Inter	rnational Practice	96
12.4	Curr	ent Irish Practice	96
12.5	Reco	ommendations	96
13 B	lest Pr	actice Standards for Supporting Public Bodies	97
13.1	Over	rview	97
13.2	Inter	rnational Practice	99
13.3	Curr	ent Irish Practice	100
13.4	Reco	ommendations	102
14 B	lest Pr	actice Standards for Engaging Data Users	103
14.1	Over	rview	103
14.2	Inter	rnational Practice	104
14.3	Curr	ent Irish Practice	107
14.4	Reco	ommendations	108
15 B	lest Pr	ractice Standards for Encouraging Economic Reuse	109
15.1	Over	rview	109



15.2	International Practice	110
15.3	Current Irish Practice	
15.4	Recommendations	
16	Best Practice Standards for Evaluation	
16.1	Overview	117
16.2	International Practice	117
16.3	Current Irish Practice	120
16.4	Recommendations	
17	Appendix I: Interviews, Focus Groups, Meet-ups and Feedback	
Interv	views	
Focus	s Groups	
Meet	-ups	128
Feed	back	
18	Appendix III: Open Data International Guidelines	
The 8	Principles of Open Government Data	
G8 O	pen Data Charter	
Open	Data Handbook (OKFN)	
Guide	elines for Open Data Policies (Sunlight Foundation)	
Gove	rnment Linked Data Best Practices (W3C)	



Table of Figures

Figure 1: Open Data Ecosystem Elements	9
Figure 2: Graph, table and map from The Quality of Bathing Water in Ireland – An Overview for the	e
Year 20122	0
Figure 3: Relationship between PSI, FOI and Open Data 22	2
Figure 4: Open Data Timeline (Focus on UK)	2
Figure 5: Dataset Clusters used in Open Data Barometer Analysis (Davies, Farhan, et al., 2013) 4	9
Figure 6: Benefits of Denmark's Address Program (Lind, 2014)	8
Figure 7: GSI Interactive Web Data Delivery System	1
Figure 8: OpenDefinition Conformant Recommended Licenses6	7
Figure 9: OpenDefinition Conformant Non-reusable Licenses6	7
Figure 10: OpenDefinition Conformant but Little Used, Discontinued or Deprecated Licenses 64	8
Figure 11: Proportion of datasets in Open Data Portal with a license (Thomas Levine study)7	1
Figure 12: Data license specified across 100 portals (Thomas Levine study)	1
Figure 13: 5-Star Open Data Scheme7	3
Figure 14: Machine-Readable and Non-Proprietary Data Formats	
Figure 15: Linked Open Data Cloud1247	5
Figure 16: Extracts of Metadata on Bathing Water Quality from http://data.fingal.ie and	d
http://data.gov.uk	0
Figure 17: Data Catalog Vocabulary (DCAT)	
Figure 18: Open Data Support Mechanisms for Public Bodies9	7
Figure 19: data.gov.uk Open Data User Group (ODUG) Data Request Dashboard 10	5
Figure 20: Economic Benefits of Open Data (Tinholt, 2013) 109	9
Figure 21: Economic Impact of GI Industry in Ireland (Ordnance Survey of Ireland, 2014)11:	
Figure 22: Tellus Border Project Data Downloads114	4
Figure 23: Google Transit, featuring National Transport Authority data	5
Figure 24: Open Data Index Country Results11	9
Figure 25: Open Data Barometer Visualisation: Ireland12	0
Figure 26: OKFN Open Data Index Country Visualisation: Ireland	1



Table of Tables

Table 1: Irish Data Catalogues	34
Table 2: Open Data Actions from eGovernment Strategy 2012-2015	
Table 3: Open Data Actions from Public Service Reform Plan 2014-2016	41
Table 4: Open Data Actions from Action Plan for Jobs 2014	
Table 5: Comparison of G8 Open Data Charter, Open Data Barometer and Open Data Cer	nsus High-
value Datasets	50
Table 6: Common High-Value Datasets across all available National Action Plans, the Dar	nish Basic-
Data Registers, the Open Data Barometer and the Open Data Census	
Table 7: Prioritisation of datasets from Sunlight Foundation's 2010 Open Governm	ient Data
Benchmark Study (Foundation et al., 2011)	53
Table 8: List of Candidate Case Studies in the Study on Business Models for Linked Open Go	vernment
Data (Archer, Dekkers, Goedertier, & Loutas, 2013)	
Table 9: 5 Stars of Open Data Engagement Models (Davies, 2012)	103



Glossary

diobbe	, y
AIRO	All-Ireland Research Observatory
API	Application Programming Interface
CC	Creative Commons
CKAN	Comprehensive Knowledge Archive Network
CSO	Central Statistics Office of Ireland
DCMI	Dublin Core Metadata Initiative
DPER	Department of Public Expenditure and Reform
DRI	Digital Repositories Ireland
EC	European Commission
EPA	Environmental Protection Agency, Ireland
FOI	Freedom of Information
GML	Geography Markup Language
HTML	Hypertext Markup Language
IETF	Internet Engineering Task Force
INSPIRE	Infrastructure for Spatial Information in the European Community
ISA	Interoperability Solutions for European Public Administrations
ISDE	Irish Spatial Data Exchange
ISDI	Irish Spatial Data Infrastructure
ISO	International Organization for Standardization
JSON	JavaScript Object Notation
LGMA	Local Government Management Agency
NTA	National Transport Authority
OASIS	Organization for the Advancement of Structured Information Standards
OGC	Open Geospatial Consortium
OGL	UK Open Government License
OKFN	Open Knowledge Foundation
OSi	Ordnance Survey Ireland
PSI	Public Sector Information
PSB	Public Sector Body
RDF	Resource Description Framework
RTE	Radio Teilifis Éireann
SDMS	Statistical Data and Metadata eXchange
SKOS	Simple Knowledge Organization System
SLA	Service Level Agreement
SPARQL	SPARQL Protocol and RDF Query Language
URI	Unique Reference Identifier
URL	Unique Reference Locator
W3C	World Wide Web Consortium
WMS	Web Map Service
XBRL	eXtensible Business Reporting Language
XML	Extensible Markup Language



1 Executive Summary

The aim of any Open Data initiative is not simply to throw datasets onto a website, but to build a sustainable ecosystem around data that supports social, economic and political impact. An Open Data Ecosystem is defined by its elements and the relationship between these elements, and can be influenced by both internal and external factors. Through rich experience in the Open Data domain, an extensive literature review, and interviews with existing and potential Open Data practitioners, we define the Open Data Ecosystem Elements as those shown in Figure 1.



Figure 1: Open Data Ecosystem Elements

To determine a set of Best Practices for Open Data Ireland, we examine each element in turn; providing an overview of the element, describing international practice, describing current Irish practice, and finally, making recommendations for best practice. By following this holistic approach, a sound Open Data ecosystem can be established, which will act, react, grow and develop organically. The following section provides the recommendations for each Open Data Ecosystem element, which in turn are the basis for the Open Data Ireland Roadmap (available as a separate deliverable of this project).



1.1 Open Data Ecosystem Recommendations

Recommendations for Carrying out a Data Audit

- a) Each public body should carry out a data audit of the data they currently manage.
- b) Information on each dataset should be recorded according to the standard metadata format of the national Open Data portal.
- c) Information for each dataset should include:
 - Potential for release as Open Data (governed by an 'Open by Default' principle)
 - Legal information
 - o Organisational information
 - Technical information
 - Value assessment
- d) Those datasets that are recognised as 'high-value' should be released proactively
- e) The data audit results should be made available on the national Open Data portal, so that users can request the publication of the complete dataset (demand-driven publication).

Recommendations for Dataset Selection

For recommendations on address and map data, see section 7.

- a) For already published public data ensure the data is published as Open Data, i.e. machinereadable, with metadata, under an Open License.
- b) Hold regular public consultations on what other datasets should be released as Open Data.
- a) Facilitate new dataset requests via the Open Data portal
- b) Facilitate feedback on individual datasets via the Open Data portal
- c) As per the G8 Open Data Charter, prioritise the following key datasets for release as Open Data:
 - **o** National Statistics
 - CSO data at <u>http://statcentral.ie/</u>
 - National Maps (see section 7)
 - National Elections
 - DECLG (non machine-readable) data at
 - http://www.environ.ie/en/LocalGovernment/Voting/NationalElections/
 - National Budgets
 - DPER data at http://databank.per.gov.ie/
- a) As per the G8 Open Data Charter, prioritise the following high-value datasets for release as Open Data:

G8 Open Data Charter Category	Common High-Value Datasets		
Companies	Company register		
companies	• Company register • Insolvency and bankruptcy records • Crime statistics		
	Crime statistics		
rime and Justice	Justice statistics		
	Justice spending		



	• Material
Forth Observation	Meteorological Fishing (Humbing Levels
Earth Observation	Fishing/Hunting levels
	Agriculture
	School attendee
Education	Post-education
	School locations
	Pollution
	Water quality
Energy and Environment	Air quality
	Natural resources
	Waste
	Energy consumption
	Government budgets
Finance and contracts	Government spending
	Tenders/procurement
	National maps
	Thematic geo-information
Constantial	Environmental geo-information
Geospatial	Local/administrative boundaries
	 Topographical geo-information
	Postcodes and addresses
	Development aid
Global Development	International assistance
	Government structures and contacts
	Government salaries and pay-scales
Government Accountability and Democracy	Legislation
	Hospitality/gift
	Election results
	Health performance Drug/prescription
Health	Restaurant hygiene
Science and Research	Research
	Housing
Social Mobility and Welfare	Employment/unemployment
,	Social security/welfare
	National statistics
Statistics	Census
	Public transport schedules
	 Public transport stops
Fransport and Infrastructure	Road network
	 Road traffic accidents



Recommendations for Address and Map Data

- a) Explicitly outline an Open Spatial Data Strategy as part of the National Spatial Data Strategy.
- a) The Open Spatial Data Strategy should include:
 - a phased release plan of OSi data as Open Data similar to what is done by Ordnance Survey in the UK. The low-hanging fruit are the datasets currently distributed by the CSO, namely Electoral Divisions and Small Areas, which should be made available as Open Data. We also recommend to publish the Prime2 model and GUID structure as Open Data. We recommend the OSi moves towards Open Data practices.
 - $\circ~$ a phased release plan of GeoDirectory address data as Open Data, similar to in Denmark.
 - the publication of postcodes as Open Data.
 - i. If postcodes are not to be released as Open Data, we recommend the investigation into other means to provide free public access to the information, for example, a lookup service should be publicly and freely available that matches postcode and statistical boundaries.
- b) Provide full access to address and postcode data in the National Mapping Agreement, for improved data sharing between public bodies.
- c) Include a representative of the National Spatial Data Strategy on the Open Data Governance Board to ensure both strategies are aligned.
- d) Carry out a full investigation into the potential and economic benefits of publishing all Irish address and map data as Open Data, including alternative sustainability models for OSi and GeoDirectory.

Recommendations for Data Privacy

- a) Do not publish personal data as Open Data
- b) Abide by Data Protection law
- c) Do not use data privacy as an excuse not to publish Open Data if there are no data privacy concerns.
- d) For the publication of aggregated, statistical data, use standardised statistical methods
- e) If required, seek guidance on statistical methods from:
 - o in-house statistician
 - o Central Statistics Office
- f) If you have any concerns in relation to data privacy, contact the Data Protection Commissioner.



Recommendations for Licensing

- a) Associate all Open Data with an Open License
- b) The Open License:
 - Should allow derivatives
 - Should allow commercial use
 - May require attribution
 - May require share-alike
- c) Identify a standard Open License that should be associated with all Irish Open Data. The options are to:
 - i. adopt an existing Open License (such as CC 4.0),
 - ii. review the existing Irish PSI license, or
 - iii. create a new Open License

We recommend the adoption of CC 4.0, withstanding a legal examination to be overseen by SIG to ensure its compatibility in an Irish system.

d) Associate all metadata with the standard Irish Open License

Recommendations for Publishing High-Quality Data

Recommendations for Data Formats

- a) All datasets published on the Open Data IRL Portal should be available as at least 3-star data.
- b) Datasets should be published in multiple formats, if available.
- c) Convert legacy data into non-proprietary and machine-readable formats with at least 3-star rating, starting with the high-value datasets.
- d) The publication of at least 3-star data should be built into data publication processes of all public bodies.
- e) Establish Ireland as a leader in the publication of 5-star Linked Open Data
- f) Create a dedicated 5-star data section of the Open Data IRL Portal
 - i. After one year, at least 15% of datasets should be published as 5-star Linked Open Data.
 - ii. After two years, at least 30% of datasets should be published as 5-star Linked Open Data.
 - iii. After five years, the Open Data IRL portal should be a fully compliant 5-star Linked Open Data platform.

Recommendations for Metadata

- a) Any data release should be accompanied by high-quality metadata.
- b) Metadata should be provided according to a metadata standard.
- c) The DCAT standard should be used, enhanced with domain-specific standards such as ISO 19115 and the ISDI Profile where appropriate.
- d) Metadata should be made available with an Open License.
- e) Public sector bodies should also make available metadata for data that they hold but cannot presently release as Open Data.
- f) All metadata should be provided to a central location that enables search and discovery, such as the data.gov.ie portal.



Recommendations for Data Standards

- a) Use international standards defined by reputable standards organisations, such as ISO, the European Commission, W3C, IETF, OGC and OASIS.
- b) Use and define national standards where international standards are unavailable or unsuitable
- c) For specific topics such as geospatial, statistics, or health, promote national standards defined by the responsible organisation (OSI, CSO, HIQA, etc.)
- d) Define a list of recommended data standards for use by Irish public bodies, similar to http://vocab.data.gov/. The list should be available on the Open Data IRL portal.

Recommendations for Unique Identifiers

- a) Develop and adopt a national URI strategy
- b) Identify the reference data registers that are most widely used across the public sector, and prioritise the definition of URIs for their contents
- c) Document URI sets defined by public sector bodies on the data.gov.ie portal

Recommendations for Data Access

- a) Provide all Open Data as bulk data.
- b) Put a process in place to ensure the bulk data is kept up-to-date.
- c) Publish real-time data as feeds
- d) If there is a demand from users for an API, consider providing an API
 - Use existing API standards whenever possible, e.g. the OGC web services or SPARQL
 - Before creating a new API, collaborate with potential users on its structure
 - Provide complete documentation for each API

Recommendations for Data Discovery

- a) The Irish Open Data Platform should be built on CKAN, because it is:
 - Open Source
 - Free to download and use
 - o Mature
 - Has an active community continually improving it
 - Possible to extend
 - Technical expertise on use of CKAN already exists across Irish Open Data community
- b) Public bodies have taken administrative ownership of their own datasets



Recommendations for Supporting Public Bodies

For Central Government:

- a) Leadership
 - Continue strong political leadership of Open Data Initiative from the Government, and in particular Department of Public Expenditure and Reform
 - Appoint an Open Data Officer (person/team) within the Department of Public Expenditure and Reform, who will be responsible for overseeing Open Data Ireland.
 - Encourage Open Data Leadership with all management teams throughout the public sector
- b) Policy
 - Define a national Open Data Strategy, including commitments, goals, and principles. This should be published as the Irish G8 Open Data Action Plan.
 - Consider incorporating Open Data skills into Performance Management and Development System (PMDS)

c) Financial

- Commit financial support for:
 - the release of high-value datasets from public bodies
 - the release of demand-driven datasets from public bodies
 - capacity-building of public bodies
 - the ongoing upkeep and maintenance of the Open Data portal
 - user engagement activities (see section 14)
 - SMEs/start-ups to use, or support the use of, Open Data, e.g. seed-funding, innovation vouchers (see section 15)
- The success of the Irish Open Data initiative depends on the commitment of adequate resources. We recommend the adaptation of the UK Open Data resource commitment, as outlined in section 13.2.
- d) Capacity-building
 - Provide training for public bodies, to include general Open Data knowledge, data management, technical and operational

For Each Public Body

- e) Follow best-practices for publishing Open Data, as set out in this report
- f) Designate a person/team who is responsible for Open Data
- g) Create an Open Data strategy for your public body, including high-value datasets, goals and a timeframe.
- h) When publishing a high-value dataset as Open Data, assess the complete data lifecycle (e.g. collection, recording, storage, publication, archiving) in terms of potential data sharing, not only data usage for a particular purpose. Can elements of the data lifecycle process be improved upon or automated? For example, is metadata defined? Is the data modelled using standard vocabularies? Are privacy issues clearly addressed?
- i) Participate in Open Data training sessions.
- j) Ensure the public body is represented on Open Data governance boards.
- k) Communicate suggestions or challenges to Open Data governance boards.



Recommendations for Engaging Data Users

- a) In order to be successful Open Data has to be user-centric.
- b) Engage widely with the Open Data community
 - Organise hackathons, competitions and tutorials to encourage the use of Open Data
 - o Facilitate new dataset requests via the Open Data portal
 - o Facilitate feedback on individual datasets via the Open Data portal
 - o Disseminate Open Data news, events, and new datasets via social media
 - Be open, visible and responsive to communication on Open Data topics
- c) Encourage the use of Open Data beyond the existing community, for example, via existing tech and domain-specific groups and organisations.
- d) Assign a dedicated budget for user engagement

Recommendations for Encouraging Economic Reuse

- a) Publish Open Data with commercial reuse in mind
- b) Create targeted business user engagement activities
- c) Collaborate with potential commercial users of Open Data when considering which standards, formats, APIs, etc. to use.
- d) Provide funding for SMEs/start-ups to use, or support the use of, Open Data, e.g. seed-funding, innovation vouchers
- e) If there exists fees for data usage (non Open Data), e.g. for address and map data, set price points for SMEs/start-ups and trial usage periods.

Recommendations for Evaluation

- a) Define an evaluation framework that assesses the readiness, implementation and impact of the Open Data initiative.
- b) Evaluate the Open Data initiative at both the macro and micro level
- c) Study case-studies of Open Data in use to get a clear understanding of the impact of Open Data in particular sectors and under a certain set of conditions.
- d) Utilise international evaluations, such as the OGP Independent Reporting Mechanism, Open Data Barometer, and the OKFN Open Data Index, to understand and improve the national Open Data initiative.
- e) Collaborate with academia to study the impact of Open Data.
- f) The progress/findings of the evaluation framework should be published by the Steering and Implementation Group annually.
- g) An independent review of the national Open Data Initiative should be carried out biannually.



2 Methodology

This Best Practice Handbook was produced as part of the Open Data Ireland Support Project, undertaken by the Insight Centre for Data Analytics @ NUI Galway (Insight@NUIG), for the Department of Public Expenditure and Reform, Ireland. The recommendations from this Best Practice Handbook are used as the basis for the draft version of the Open Data Ireland Roadmap, which will be reviewed by the Open Data Board and Open Data Steering and Implementation Group. The findings of the handbook are based on extensive literature review (reports, studies, academic papers, official documents) and a study of Open Data initiatives around the world. To ensure the results are relevant to Ireland, we have also interviewed representatives from Irish public bodies and start-ups that use data, attended meet-ups with developers that use data, and invited feedback from the wider Open Data Community. For a complete list of interview attendees, focus-groups, meet-ups and feedback, see Appendix I: Interviews, Focus Groups, Meet-ups. The goal of these interviews was to ascertain the general level of awareness and readiness around Open Data, in addition to trying to identify particular concerns, issues, uses and objectives of Irish stakeholders. Due to the limited timeframe of the project, this consultation was meant to be complete or representative, but to demonstrate the potential for Open Data in Ireland from both the data provider and data user sides. As a result of the desktop research and consultation, we define the following elements of an Open Data ecosystem:

- 1. Data audit
- 2. Dataset selection
- 3. Address and map data
- 4. Data privacy
- 5. Licensing
- 6. Publishing high-quality data
 - o Data formats
 - o Metadata
 - o Data standards
 - o Unique identifiers
- 7. Data access
- 8. Data discovery
- 9. Supporting public bodies
- 10. Engaging data users
- 11. Encouraging economic reuse
- 12. Evaluation

In the Best Practice Handbook, we address each of these elements in terms of providing an overview of what the element entails, what is current international practice, what is current Irish practice, and, finally, a set of recommendations for the Irish Open Data Initiative Roadmap. We envisage that these recommendations could also be adapted to other jurisdictions.



3 An Introduction to Open Data

We are living in an information age. IBM assert that every day, we create 2.5 quintillion bytes of data — so much that 90% of the data in the world today has been created in the last two years alone. Governments are no different to individuals and private organisations in that they also produce boundless amounts of data as part of their day-to-day work – sensors used to gather environmental data, public-transport passenger data, hospital attendance figures, school exam results, employment levels, health & safety indicators, etc. This data is used by governments to deliver and improve public-services, and to develop and implement policy. However in this way is the data being used to its maximum potential? Many would say no, that there are many more opportunities for the data to be used beyond the purpose it was originally collected. This is the driving force behind Open Data.

OKFN's Open Definition¹ defines Open Data as:

A piece of data or content is open if anyone is free to use, reuse, and redistribute it — subject only, at most, to the requirement to attribute and/or share-alike.

Open Data does not refer to personal or sensitive data that can be linked to individuals, but only non-personal data. Open Data should be free to be used both non-commercially and commercially. The benefits of publishing Open Data are as follows:

- Improved public services, as public agencies themselves are one of the main users of Open Data, and releasing data to the public helps improve the quality of the data itself.
- **Innovation** around the data from researchers, developers and entrepreneurs, analysing and reusing the data in new ways.
- **Economic growth and job creation** based on the commercial application of the data by both existing and new companies.
- Increased transparency and accountability, which helps rebuild trust between government and the public.
- Increased citizen participation through discussions around data and uses of the data, for example through apps.

3.1 Economic Value of Open Data

Many internationally reputable studies have investigated the value of the Open Data Economy.

In the context of the review of the PSI Directive, the European Commission commissioned Graham Vickery in 2011 to provide estimates of the value of PSI re-use in Europe (Vickery, 2011). Vickery found:

• On the basis of more recent studies the narrowly defined EU27 direct PSI re-use market was of the order of **EUR 28 billion in 2008**. All studies show relatively rapid growth in PSI-related markets, and assuming annual growth of 7%, the direct PSI-related market would have been around EUR 32 billion in 2010. Considering re-use activities in domains not included in the studies analysed in this report (for example, where re-use is not a principal activity, or in government and research activities) the market value of direct PSI re-use (the economic "footprint") is undoubtedly larger.

¹ <u>http://opendefinition.org/</u>



- PSI-related information can be used in a very wide range of direct and indirect applications across the economy. The aggregate direct and indirect economic impacts from PSI applications and use across the whole EU27 economy are estimated to be of the order of **EUR 140 billion annually**.
- The above estimates of direct and indirect PSI re-use are based on business as usual, but other analysis suggests that if PSI policies were **open**, **with easy access for free or marginal cost of distribution**, direct PSI use and re-use activities could increase **by up to EUR 40 billion** for the EU27.

The UK Government in their 2011 document 'Further Detail on Open Data Measures in the Autumn Statement 2011' stated that information similar to that described in Vickery's value of PSI reuse report is *"already worth in the region of £16 billion a year"* (HM Government, 2011a).

In 2012 Deloitte Analytic's reported how they "foresee that Open Data, and not simply big data, will be a **vital driver for growth, ingenuity and innovation** in the UK economy" (Deloitte Analytics, 2012).

Findings from McKinsey's 2013 report on 'Open data : Unlocking innovation and performance with liquid information' showed that (Manyika et al., 2013):

"An estimated **\$3 trillion in annual economic potential could be unlocked across seven domains.** These benefits include increased efficiency, development of new products and services, and consumer surplus (cost savings, convenience, better-quality products). We consider societal benefits, but these are not quantified. For example, we estimate the economic impact of improved education (higher wages), but not the benefits that society derives from having well-educated citizens. We estimate that the potential value would be divided roughly between the United States (\$1.1 trillion), Europe (\$900 billion) and the rest of the world (\$1.7 trillion)"

CapGemini discussed realising revenue growth using Open Data, achieving cost savings while increasing transparency, and creating jobs and disseminating new skills by leveraging commercial potential in its 2013 'The Open Data Economy: Unlocking Economic Value by Opening Government and Public Data' (Tinholt, 2013). The report provided many practical examples of the economic impact of Open Data, from *the infomediary sector in Spain, a sector that comprises solely of companies that sell services on top of Open Data, generates 330- 550 million Euros annually*, to Open Data from the US National Weather Service supporting a private weather industry worth over \$1.5 billion per year.

3.2 Open Data vs. Current Practices

Publishing information is already part of the remit of most public-sector bodies, so how is Open Data different? Public agencies publish reports, populate websites, create online tools, issue press releases, etc. – is this information considered Open Data? Although all content can be made *Open* according to the Open Definition in the previous section, these examples of public documents and tools would not be considered as Open Data. Open Data refers to raw data or facts, such as numerical or statistical data. An example of raw data is a temperature measurement, the year a school was built, the modes of transport available in a city, etc. Raw data is usually collected and stored in databases, spreadsheets, or tables.



Based on the raw data, analysis can be carried out, reports can be written, web-tools can be built and policy can be created. In the public sector today, it is usually this secondary information that is published: the studies, reports, tools, policies, etc. The idea behind Open Data is to also publish the raw data, as this facilitates the reuse of the data for new purposes, bringing both societal and economic benefit.

Let's take an example of Bathing Water Quality in Ireland. Local authorities monitor the water quality of identified bathing waters around the country and report their findings to the Environmental Protection Agency (EPA) each year. The EPA then collates the data and classifies the bathing waters to be 'poor', 'sufficient', 'good' and 'excellent', based on current legislation Bathing Water Quality Regulations 2008 (SI No. 79 of 2008). A report is produced annually, providing an overview of the results².



The Quality of Bathing Water in Ireland – An Overview for the Year 2012 report provides a description of the results from that year. It also includes different representations of the raw data, as shown in Figure 2. A graph depicts the water quality status in a simple visual format, the table presents the data in a tabular format, and the map shows the location of each bathing water area and its quality. This report is comprehensive and clear to understand for a reader. However because the report is available in PDF format, it is not easy for someone to try and reuse the underlying data. For example, a researcher may want to use bathing water quality measurements to see if the microbiological conditions are conducive for certain marine life, an environmental campaigner might want to explore the relation with agricultural waste, or an entrepreneur might want to add bathing water quality to their holiday-destination mobile-phone application. In the case of bathing water quality, the data is also available in raw format via the EPA GeoPortal³.





In order to ensure that the raw data is available to be freely 'used, reused, and redistributed', it must also be made available as Open Data, in open, machine-readable formats. More information about this can be found in section 9.

²

https://www.epa.ie/pubs/reports/water/bathing/thequalityofbathingwaterinireland2012.html#.U0FxMVdgzvY ³ http://gis.epa.ie/GetData/Download



3.3 Freedom of Information and Open Data

Freedom of Information (FOI) laws are often seen as precursors to Open Data initiatives, as FOI provides access to data held by public sector bodies for the purposes of accountability and transparency. FOI is concerned with the right to information, specifically documents held by public bodies relating personally to the requester or documents of a non-personal nature. FOI is demand-driven in that access to documents has to be requested. The documents in questions can be of a qualitative or quantitative nature.

Open Data also aims to support accountability and transparency, but additionally aims to boost innovation, support economic reuse of data, improve public-service delivery, and facilitate civic participation. Open Data is not concerned with personal data, only non-personal data that is held by public bodies. The focus is on data and not documents, meaning more quantitative data that is traditionally held in databases, spreadsheets, tables, etc. Open Data is published proactively by public-sector bodies, as well as reactively in response to particular requests. Once published the data is open and is available for everyone to reuse.

While there is an overlap between FOI and Open Data, there is a clear need for both. FOI is a human right to information and places an obligation on public bodies to release information when requested, which may not be automatically be released as Open Data. However Open Data should improve access to data by making it easier to find and reuse data. In the long term, it is hoped that Open Data will reduce the burden of FOI requests on public bodies, as much of the data requested will already be in the public domain.

3.4 Public Sector Information and Open Data

Re-use of Public Sector Information (PSI) legislation differs from FOI legislation, in that FOI facilitates *access* to public sector information, whereas PSI facilitates the *reuse* of public sector information, both commercially and non-commercially. Another difference is that PSI is not concerned with personal data about individuals; all personal information must be anonymised before it can be used. The focus of PSI legislation is to stimulate economic activity, innovation and competition and to assist the evolution of an information and knowledge-based economy and society.

PSI is related to Open Data, however under the current PSI Directive, certain restrictions on data access and reuse can still be imposed. A main difference is that PSI can be made available charging a price for re-use, whereas best practice for Open Data is that it is made available for free. PSI legislation in Ireland, and all EU member states, stems from a European Directive on the re-use of public sector information (2003 PSI Directive). The revised 2013 PSI Directive aims to address these differences to bring it more inline with Open Data best practices. More information on Open Government Data and the PSI Directive is available as part of the European Commission project Open Data Support⁴.

A point to note is that while the PSI Directive applies only to data held by public-sector bodies, Open Data could potentially also apply to non-government data released under an Open License. The release of Open Data from private organisations and companies is becoming more common.

⁴ <u>http://www.slideshare.net/OpenDataSupport/the-psi-directive-and-open-government-data</u>





Figure 3: Relationship between PSI, FOI and Open Data

3.5 European PSI Directive

In 2003, the European Council and the European Parliament adopted Directive 2003/98/EC on the re-use of public sector information⁵ (the PSI Directive), which deals with the way public sector bodies should enhance re-use of their information sources. It introduced a common legislative framework regulating how public sector bodies should make their information available for re-use in order to remove barriers such as discriminatory practices, monopoly markets and a lack of transparency. The Directive was adopted on 17th November 2003 and entered into force on 31st December 2003. It is built around two key pillars of the internal market: transparency and fair competition. The PSI Directive addresses material held by public sector bodies in the Member States, at national, regional and local levels. According the European Commission's website⁶, as of 8th May 2008, all 27 Member States notified implementing measures to the Commission.

In 2009, the Commission reviewed the way in which PSI rules were being applied, which confirmed that PSI re-use has been on the rise but also that EU Member States must remove remaining barriers to re-use in order to fully realise the potential of PSI for the EU economy.⁷ In preparation for a revision of the 2003 Directive, the Commission undertook a public consultation on the PSI Directive in 2010 (European Commission, 2011b).

In December 2011, the Commission presented a proposal to revise the Directive (European Commission, 2011a). The proposal for a revision of the Directive proposes to further open up the market for services based on public-sector information, by:

• including new bodies in the scope of application of the Directive such as libraries (including university libraries), museums and archives;

⁵ <u>http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2003:345:0090:0096:EN:PDF</u>

⁶ http://ec.europa.eu/information_society/policy/psi/rules/ms/index_en.htm_

⁷ <u>http://ec.europa.eu/information_society/policy/psi/revision_directive/index_en.htm</u>



- limiting the fees that can be charged by the public bodies at the marginal costs as a rule;
- introducing independent oversight over re-use rules in the Member States;
- making machine-readable formats for information held by public bodies the norm.

On 26th June 2013, Directive 2013/37/EU of the European Parliament and of the Council was adopted, amending Directive 2003/98/EC on the re-use of public sector information⁸.

According to a presentation given by Marta Nagy-Rothengass, Head of Unit Data Value Chain, DG COMMS, at the European Data Forum 2014⁹, the key points of the revision, to be transposed by July 2015 are:

- all accessible material in principle reusable <u>must</u> be made available
- <u>charges</u> in principle are lowered to the marginal costs of dissemination
- rules on re-use of cultural material (material held by museums, libraries & archives that is free of third party copyright) are now <u>included</u>
- machine-readable and open formats are <u>strongly encouraged</u>

Guidelines on the implementation of the Directive (charging rules, licensing, high value datasets) will be adopted and published as European Commission Communication by June 2014.

3.5.1 PSI Directive in Ireland

In 2005, the PSI Directive was transposed into Irish law by Statutory Instrument (SI) European Communities (Re-Use of Public Sector Information) Regulations 2005 (SI 279 of 2005), which was amended with the European Communities (Re-Use of Public Sector Information) (Amendment) Regulations 2008 (S.I. No. 103 of 2008). The Statutory Instrument places an obligation on public sector bodies to provide information about material that they are prepared to release under the Directive¹⁰. The Centre for Management and Organisational Development (CMOD) in the Department of Finance was responsible for governing the PSI Directive, but this was taken over by the Department of Public Expenditure and Reform in July 2011. The website psi.gov.ie was set up, containing all relevant documentation, the Irish PSI license¹¹ and links to the PSI web-pages of all public bodies that are covered by the Directive. In 2005, the Department of Finance published a circular to all the public sector bodies in Ireland that have obligations under the PSI legislation¹², recommending, among other things, to create a page on their existing websites listing their PSI office, charging regime, list of data available for re-use, etc.

Trying to evaluate the adoption and impact of the PSI Directive in Ireland is difficult, as, there has been no centralised approach to recording the number of PSI reuse requests. Each public-sector body is responsible for maintaining their own records of reuse requests. In 2008, Brian Green prepared the ePSIplus Ireland National Meeting Report (Green, 2008). The European Commission created the ePSIplus Thematic Network under the eContentplus programme to support the implementation of the European Directive on Public Sector Information (PSI) Re-use, in the period leading up to its review in 2008 (ePSIplus, 2006). The ePSIplus Thematic Network was active for 30

⁸ <u>http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2013:175:0001:0008:EN:PDF</u>

⁹ http://www.slideshare.net/EUDataForum/edf-2014-mnr-psi-at-the-ec

¹⁰ http://psi.gov.ie/

¹¹ http://psi.gov.ie/files/2010/03/PSI-Licence.pdf

¹² http://psi.gov.ie/files/2010/03/circular32-05-final1.pdf



months from September 2006 through to February 2009 and aimed to bring together the PSI community at large across Europe through a series of national and thematic meetings culminating in a final conference. In the Ireland National Meeting report, ePSIplus analyst Brian Green outlines the main items that were discussed, namely: legal and regulatory progress and impact, public sector organisation and change, encouraging PSI re-use business, the financial impact: pricing and charging, and information management, standards and data quality – all items that are still very much topical in today's discussions on Open Data.

At the time of the meeting 22 Government Departments/Offices, 37 Public Sector Bodies and 14 Regional/Local Authorities were fully compliant. However there was still some non-compliance, as the report stated "The Ministry of Finance will be following up with non-compliant public sector organisations in an effort to achieve full compliance with the regulations across the public sector." Another issue that Green pointed out is that "this 'compliance' normally only applies to data published on the PSI holders' websites. The large amount of PSI that is not published on websites does not get exposed and is not included in this process."

Interestingly, the issue of a directory for listing all available data was already on the agenda in 2007, with a mention of using standardised metadata. "There is a need for detailed asset registers that include unpublished material not available on PSI holders' websites. Although pan-European metadata standards would be of great value, the compilation of such asset registers should not be delayed. Public sector bodies should produce and make available PSI asset lists as soon as possible."

In the report, there is a lot of discussion around the opportunities presented by the reuse of PSI to businesses and how to raise awareness of this in the private sector. One such reuser of PSI, Don Lehane of Lendac Data Systems, spoke at the meeting. He provided examples of the types of value-added products that could be generated in various sectors including geographic, meteorological and environmental, economic and business, social, traffic and transport, tourism and leisure, agricultural, forestry and fishery and legal information, demonstrating the great value that could be added by aggregating PSI from different sources to produce new, innovative and frequently pan-European information products. He reported that had found public sector information holders generally helpful and co-operative. Problems encountered included data held in multiple formats, problems with data currency and quality, lack of asset registers and concentration by public sector bodies on data available via their websites. Many of these problems are common with Open Data initiatives today.

The report on pricing an charging in the report is very brief, with Green acknowledging that it is early to comment on charging issues. However, at the time of writing, there seemed to be some open questions around public-sector reuse, non-commercial reuse, and commercial reuse of PSI. The lines are particularly blurry where the public sector organisations themselves create value-added information products; do they have an unfair advantage? Some of these issues may be connected with the Statutory Instrument Amendment 2008.

In relation to data quality and standards, public sector organisations argued that they did not want to proceed with creating dedicated asset registers until metadata standards were finalised, in order to avoid having to redo work. Fortunately, there has been a lot of progress in this area since 2007, with the specification of many data and metadata standards to facilitate the publication of PSI. The



report also noted that there needs to be further discussion of data protection issues with regard to PSI, and how data can best be 'anonymised'.

Concluding, the focus seemed to be on the promotion of the benefits of PSI reuse to both the data publisher (public sector organisations) and the data re-user (public and private sector organisations). The two actions that came out of the meeting were that i) the compilation of PSI asset registers should not be delayed, and ii) a body like the UK Office of PSI (OPSI) should be set up.



4 Open Data State-of-the-Art

4.1 Open Data Internationally

Elements of Open Data can be traced back to many different initiatives and movements, such as Freedom of Information, transparency and participation initiatives, data exchange initiatives, Reuse of Public Sector Information, Open Access, Open Source, and Open Government. The concept of Open Data in the sense we use it today emerged in around 2007, with most of the initial activity happening in the U.S.A and in the UK. In December 2007, Tim O'Reilly of O'Reilly Media and Carl Malamud of Public.Resource.Org hosted a meeting of 30 open government advocates in Sebastopol, California¹³. The meeting was designed to develop a more robust understanding of why open government data is essential to democracy. During the meeting the participants developed the 8 Principles of Open Government Data (included in Appendix I:). Most of these principles are still pertinent today and more recent Open Data principles have their grounding in this list.

When he entered office in January 2009, President of the U.S. Barack Obama issued a Memorandum on Transparency and Open Government in which he committed that his administration would work together to ensure the public trust and establish a system of transparency, public participation, and collaboration¹⁴. This was followed in June 2009 with the launch of the U.S. Open Data portal Data.gov with 47 datasets. In February 2009, the inventor of the Web Tim Berners-Lee gave a Ted Talk encouraging the publication of raw data¹⁵; with the vision that the Web of Data would overtake the current Web of Documents. The UK were also active around this time and Prime Minister David Cameron launched the UK Open Data portal Data.gov.uk in January 2010. The London Datastore was also launched at this time. These events and more are depicted in the Open Data timeline drafted by Tim Davies, shown in Figure 4.

In the subsequent years, many other governments and organisations followed suit. Local, regional, and national governments developed Open Data strategies, set up Open Data portals, and published their data under Open Licenses. Many non-governmental organisations also now publish Open Data, for example, the World Bank¹⁶ and the United Nations¹⁷. Some commercial entities are even starting to publish Open Data. A comprehensive list of Open Data catalogues/portals is curated at datacatalogs.org, which at the time of writing lists 382 data catalogs¹⁸.

4.2 Open Data Organisations, Groups and Institutions

There are a number of organisation and groups that are driving Open Data research, best practice and technologies internationally. These include, but are not limited to the European Commission, the Open Government Partnership, the Open Data Institute, the Open Knowledge Foundation, W3C, the Insight Centre for Data Analytics, the Open Data Research Network, Govlab and the Sunlight Foundation. The following section briefly describes each of them.

¹³ <u>http://opengovdata.org/</u>

¹⁴ http://www.whitehouse.gov/the_press_office/TransparencyandOpenGovernment

¹⁵ www.ted.com/talks/tim berners lee on the next web

¹⁶ http://data.worldbank.org/

¹⁷ http://data.un.org/

¹⁸ http://datacatalogs.org/





The European Commission¹⁹ is very active in promoting the Open Data agenda across the EU member states. The mission of the Data Value Chain Unit, DG CONNECT, is to foster commercial and social added value based on the intelligent use, management and re-use of data sources in Europe, through a combination of Research and Innovation, legislative and deployment actions. The Commission's work in the area of Open Data is focussing on generating value through re-use of a specific type of data – public sector information. They support Open Data for 4 reasons:

- Public data has significant potential for re-use in new products and services;
- Addressing societal challenges having more data openly available will help us discover new and innovative solutions;
- Achieving efficiency gains through sharing data inside and between public administrations;
- Fostering participation of citizens in political and social life and increasing transparency of government.

In December 2011, a Communication was issued from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on 'Open data – An engine for innovation, growth and transparent governance'²⁰. The Commission is also very active in aligning the Reuse of PSI Directive with Open Data principles (see section 3.5). The European Commission practices what they preach, in that they publish their own data on the EC Open Data Portal²¹ and they coordinate PublicData.eu²², a pan European data portal, providing access to open, freely reusable datasets from local, regional and national public bodies across Europe.

The European Commission is also involved in supporting Open Data innovation and best practice, through funded projects, such as LOD2²³ and OpenCube²⁴; events, such as the European Data Forum²⁵ and Semantic Interoperability Conference (SEMIC)²⁶; standardisation activities, such as those undertaken by the Interoperability Solutions for European Public Administrations (ISA)²⁷ and INSPIRE²⁸; and training and networking activities, such as the Open Data Support project²⁹ and Joinup³⁰.

¹⁹ <u>http://ec.europa.eu/digital-agenda/en/open-data-0</u>

²⁰ http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2011:0882:FIN:EN:PDF

²¹ http://open-data.europa.eu/en/data/

²² http://publicdata.eu/

²³ http://lod2.eu

²⁴ http://opencube-project.eu/

²⁵ http://www.data-forum.eu/

²⁶ <u>https://joinup.ec.europa.eu/community/semic/event/semic-2014-semantic-interoperability-conference</u>

²⁷ <u>http://ec.europa.eu/isa/</u>

²⁸ http://inspire.ec.europa.eu/

²⁹ https://joinup.ec.europa.eu/community/ods/

³⁰ https://joinup.ec.europa.eu/



Open Government Partnership

The Open Government Partnership (OGP)³¹ is a multilateral initiative that aims to secure concrete commitments from governments to promote transparency, empower citizens, fight corruption, and harness new technologies to strengthen governance. In the spirit of multi-stakeholder collaboration, OGP is overseen by a Steering Committee including representatives of governments and civil society organizations. To become a member of OGP, participating countries must endorse a high-level Open Government Declaration, deliver a country action plan developed with public consultation, and commit to independent reporting on their progress going forward. The OGP formally launched on September 20, 2011, when the 8 founding governments (Brazil, Indonesia, Mexico, Norway, the Philippines, South Africa, the United Kingdom and the United States) endorsed the Open Government Declaration, and announced their country action plans. In just two years, OGP has welcomed the commitment of 55 additional governments to join the Partnership.

With the help of our partners, OGP has identified five thematic working groups that will contribute to peer exchange and learning across the partnership, including the Open Data Working Group, whose objectives are to:

- Support OGP governments in implementing their Open Data commitments and developing more ambitious action plans.
- Provide a forum for peer-to-peer sharing and learning on Open Data policies and initiatives among members.
- Offer participants access to experiences, best practices, tools, and technical expertise on Open Data issues.
- Help identify technical assistance and partnership opportunities on Open Data.
- Increase awareness of open government data issues across the OGP

More information on the OGP's Open Data WG workplan is available online³².



The Open Data Institute (ODI)³³ was founded by Sir Tim Berners-Lee and Professor Nigel Shadbolt in 2012. The ODI is a non-profit company, based in London, who aims to catalyse the evolution of Open Data culture to create economic, environmental, and social value. It helps unlock supply, generates demand, creates and disseminates knowledge to address local and global issues. The ODI undertakes research on a broad range of Open Data topics, provides an incubator for Open Data start-ups, runs an international network of businesses, start-ups and organisations, provides training and lectures, and organises competitions and events. The ODI has secured £10 million over five years from the UK

³¹ <u>http://www.opengovpartnership.org/</u>

³² http://www.opengovpartnership.org/sites/default/files/attachments/ODWG%20Draft%20Workplan.pdf

³³ http://theodi.org/



Government (via the UK innovation agency, the Technology Strategy Board), and \$750,000 from Omidyar Network, and is working towards long-term sustainability through match funding and direct revenue. The ODI also coordinates a global collaboration network of ODI Nodes, Each ODI Node has agreed to adopt the ODI Charter, which is an open source codification of the ODI itself, and embodies principles of Open Data business, publishing, communication, and collaboration. There are three levels: Country, City, and Communications.



The Open Knowledge Foundation (OKFN)³⁴ is a non-profit organisation founded in 2004 and dedicated to promoting Open Data and open content in all their forms – including government data, publicly funded research and public domain cultural content. OKFN is based in the UK, but has a global network of local groups in more than 40 countries (including Ireland). OKFN works on many different Open Data projects, including the Open Data Index, OpenSpending and CKAN, one of the most widely used Open Data catalogues in the world (see section 11). OKFN is currently undergoing a review of their brand and logo, and are renamed as 'Open Knowledge'³⁵.



The World Wide Web Consortium (W3C) ³⁶ is an international community where member organizations, a full-time staff, and the public work together to develop Web standards. Led by Web inventor Tim Berners-Lee and CEO Jeffrey Jaffe, W3C's mission is to lead the Web to its full potential. W3C's vision for the Web involves participation, sharing knowledge, and thereby building trust on a global scale. This includes building a Web of Data and Services. W3C contribute to the Open Data community by developing international standards and best practices for machine-readable, high-quality data (see section 9). Some example W3C working groups who are working in this field are the W3C Government Linked Data Working Group³⁷, the W3C Data on the Web Best Practices Working Group³⁸.



The Insight Centre for Data Analytics³⁹ is a joint initiative between University College Dublin, the National University of Ireland at Galway (NUIG), University College Cork, and Dublin City

³⁴ <u>http://okfn.org/</u>

³⁵ http://wiki.okfn.org/About the Open Knowledge brand

³⁶ www.w3.org/

³⁷ http://www.w3.org/2011/gld/wiki/Main_Page

³⁸ https://www.w3.org/2013/dwbp/wiki/Main_Page

³⁹ http://insight-centre.org/



University. Insight was established in 2013 by Science Foundation Ireland with funding of €75m. Insight brings together leading Irish academics from 5 of Ireland's leading research centres (DERI, CLARITY, CLIQUE, 4C, TRIL), previously established by Science Foundation Ireland (SFI) and the Irish Industrial Development Authority (IDA), in key areas of priority research including:

- The Semantic Web,
- Sensors and the Sensor Web,
- Social network analysis,
- Decision Support and Optimization, and
- Connected Health.

The Insight Centre for Data Analytics @ NUI Galway (Insight@NUIG, previously the Digital Enterprise Research Institute - DERI), is one of the leading producer of Open Data standards in the world today. Standards that have originated and been developed in Insight@NUIG, such as DCAT⁴⁰, ADMS⁴¹ and Data Cube⁴², are being adopted in Open Data initiatives globally, from the U.S. Government and European Commission, to the UK Met Office and PWC business offerings. Insight@NUIG is also a leader in Open Data technology development, facilitating the publication of 5-star Linked Data from public bodies through tools such as the Open Data Publishing Pipeline, the RDF extension for Open Refine, and D2RQ. Insight@NUIG collaborates with national and international organisations, from the public sector, academia and industry.

Open Data **Research Network**

The Open Data Research (ODRC)⁴³ network is a collaborative project, coordinated by the World Wide Web Foundation and the International Development Research Centre (IDRC), that exists to:

- Connect Open Data focussed researchers from across the world;
- Bring together information and news relating to research into the implementation and impacts of Open Data initiatives;
- Host focussed research projects into Open Data;

The network is open to all researchers interested in Open Data, and has a particular focus on research into Open Data in the global South. The network currently hosts the 'Exploring the Emerging Impacts of Open Data in Development Countries (ODDC)' programme.



The Governance Lab (The GovLab)⁴⁴ aims to improve people's lives by changing how we govern. We are seeking new ways to solve public problems using advances in technology and science. The

⁴⁰ <u>http://www.w3.org/TR/vocab-dcat/</u>

⁴¹ http://www.w3.org/TR/vocab-adms/

⁴² http://www.w3.org/TR/vocab-data-cube/

⁴³ http://www.opendataresearch.org/



GovLab builds, studies and implements experimental, technology-enabled solutions that advance a collaborative, networked approach to re-invent existing institutions and processes of governance to improve people's lives. As part of its work GovLab presents the GovLab Index, the latest statistics related to open governance, which includes Open Data, highlighting global trends in Open Data and the release of public sector information. GovLab also coordinates the Open Data 500, a comprehensive study of U.S. companies that use open government data to generate new business and develop new products and services.



The Sunlight Foundation⁴⁵ is a U.S. based, nonpartisan, non-profit founded in 2006 that uses the power of the Internet to catalyse greater government openness and transparency. They do so by creating tools, Open Data, policy recommendations, journalism and grant opportunities to dramatically expand access to vital government information to create accountability of our public officials. The Sunlight Foundation's vision is to use technology to enable more complete, equitable and effective democratic participation. The Sunlight Foundation offer free APIs for a number of our projects and tools, including the Influence Explorer API and the Open States API. The Sunlight Foundation also defined the Open Data Policy Guidelines (see Appendix I:).

⁴⁴ <u>http://thegovlab.org/</u>

⁴⁵ http://sunlightfoundation.com/





Figure 4: Open Data Timeline (Focus on UK)⁴⁶

⁴⁶ http://www.opendataimpacts.net/2010/06/a-timeline-of-open-government-data/



4.3 Open Data in Ireland

4.3.1 Overview

Ireland has a strong data history, with key organisations such as the Central Statistics Office (CSO) and the Royal Irish Academy, active communities such as geospatial and archiving, many leading research institutions such as Insight Centre for Data Analytics and the Marine Institute, and national regulatory organisations such as Health Information and Quality Authority (HIQA) and the Environmental Protection Agency (EPA). At the time of writing, there are a number of data catalogues available in Ireland, many of which are listed in Table 1 (note that this table is not exhaustive). While all publish data in machine-readable formats, most do not publish data under an Open License, as defined in section 9. One of the main barriers to a license being considered 'open' is a clause disallowing commercial reuse. In the following sections, we look at how Open Data has been, and continues to be, developed in Ireland, at local government, national government and non-government.



Table 1: Irish Data Catalogues

Title	URL	Organisation(s)	Category	Open License (inc. Commercial reuse)	Machine- Readable Data
AIRO Datastore	http://www.airo.ie/airo-datastore	 AIRO National Institute for Regional and Spatial Analysis (NIRSA) International Centre for Local and Regional Development (ICLRD) National Centre for GeoComputation (NCG) National University of Ireland, Maynooth (NUIM) 	Geospatial	×	•
Data.cso.ie	http://data.cso.ie	 Central Statistics Office (CSO) Insight@NUIG 	Census 2011 data	×	✓
Databank of the Department of Finance	http://databank.finance.gov.ie/	Department of Finance	Exchequer Tax Receipts	×	\checkmark
Databank of the Department of Public Expenditure and Reform	http://databank.per.gov.ie/	 Department of Public Expenditure and Reform 	Public Expenditure and Public Service Numbers	×	✓
Dublinked Open Data Portal	http://www.dublinked.ie/	 Dublin City Council Dun Laoghaire Rathdown Council South Dublin Council Fingal County Council 	Local government	Partially	✓



Title	URL	Organisation(s)	Category	Open License (inc. Commercial reuse)	Machine- Readable Data
EPA GeoPortal	http://gis.epa.ie	 Environmental Protection Agency (EPA) 	Environmental	×	\checkmark
EPA Secure Archive For Environmental Research Data (SAFER)	http://erc.epa.ie/safer/	 Environmental Protection Agency (EPA) 	Environmental	×	✓
Fingal Open Data Portal	http://data.fingal.ie/	Fingal County Council	Local government	\checkmark	\checkmark
GeoPortal.ie	https://www.geoportal.ie/	 Department of Environment, Community & Local Government (DECLG) Ordnance Survey Ireland (OSi) 	Geographical (INSPIRE)	×	★ (metadata only)
Interactive Web Data Delivery System	https://jetstream.gsi.ie/iwdds/index.html	 INFOMAR Geological Survey of Ireland (GSI) Marine Institute 	Geological / Marine	×	✓
Irish Social Science Data Archive (ISSDA)	http://www.ucd.ie/issda/data/	 Central Statistics Office (CSO) Economic & Social Research Institute (ESRI) Growing Up in Ireland Interuniversity Consortium for Political and Social Research (ICPSR) Survey of Lifestyle, Attitude and Nutrition (SLÁN) 	Social Science	×	•



Title	URL	Organisation(s)	Category	Open License (inc. Commercial reuse)	Machine- Readable Data
Irish Spatial Data Exchange	http://catalogue.isde.ie/	 Marine Institute Geological Survey of Ireland EPA Department of Environment, Community and Local Government Coastal and Marine Research Centre (UCC) (Content also from other partners) 	Geospatial	×	~
Marine Data Online	http://data.marine.ie/	Marine Institute	Marine	×	\checkmark
Open Data Ireland Community Portal	http://data.opendata.ie/	Civil Society	All	×	\checkmark
StatCentral	http://statcentral.ie/	Central Statistics Office (CSO)	Statistical	×	\checkmark
The Health Well	http://www.thehealthwell.info/	Institute of Public Health in Ireland (IPH)	Health	×	\checkmark


4.3.2 Local Government

Fingal County Council

Fingal County Council is a local Authority north of Dublin. They serve a geographical location of 452.sq km which spans rural, urban and suburban communities, and is home to several key elements of national and regional infrastructure, including Dublin Airport. In 2004, the Fingal Development Board set up the Fingal Data Committee to address what was identified as a data deficit for the County Council, an inability to easily source and share data with state agencies. In the 2006 report on the Fingal Data Sharing Initiative, the County Data Initiative Chairperson opens by outlining that "the data deficit in Fingal is one that has presented a number of challenges and blockages to interagency co-operation, service planning and statistical profiling over the last 5 years. Fingal is one of the fastest growing counties in the state, and as such, can least afford not to have good data infrastructure" (Fingal Development Board, 2006). As part of the Fingal Data Sharing Initiative, the Fingal Data Hub was set up in April 2009; a technical interface that allows the member agencies of the Fingal Development Board to share and publish anonymised administrative data and official statistics.

However there was still a need for publishing data for public reuse. Driven by Dominic Byrne, Head of IT at Fingal County Council, data.fingal.ie was launched in 2010, becoming the first Irish Open Data portal⁴⁷. Fingal County Council actively engaged with the community to encourage use of their Open Data and to gather feedback. They ran competitions and hackathons, such as 'Apps4Fingal' and Dominic was invited to speak about the initiative at many national and international conferences. Data.fingal.ie now contains over 200 datasets in machine-readable format, which are available under the Irish PSI License.

Dublinked

In October 2011 Dublin's four Local Authorities (Dublin City Council, Dun Laoghaire Rathdown Council, South Dublin Council and Fingal County Council) came together with NUI Maynooth to launch Dublinked.ie⁴⁸. Dublinked aims to encourage the next generation of jobs and companies in the area of urban solutions, by enabling data-driven innovation and promoting Dublin as a world-leader in developing and trialling new urban solutions. Dublinked incorporates an Open Data datastore, an innovation network, and activity and event organisation. IBM Research provides the open innovation platform, which hosts the Dublinked Open Data catalogue. Presently the Datastore contains over 300 datasets detailing information on planning, transport, environment, amenities and recreation. The Dublinked Innovation Network is a single point of contact for new companies and users who wish to engage with the public sector for data requests and project proposals. In addition to data, Dublinked hosts various workshops throughout the calendar year linking domain experts with innovators, to encourage sector collaboration on identifying ideas and possible solutions to thematic challenges.

4.3.3 Non-government Community

Non-government stakeholders, such as civil society, academia and industry, also recognised the potential of Open Data in Ireland. In Oct 2010, a Google Group mailing-list was set up to connect

⁴⁷ <u>http://data.fingal.ie/</u>

⁴⁸ http://www.dublinked.ie/



interested people and to share news and information⁴⁹. Insight@NUIG (then known as DERI) set up ie.ckan.net to collate a list of Irish datasets already available on Irish public-sector body websites, and opendata.ie and data-gov.ie to present Open Data activity in Ireland. The Irish Internet Association's (IIA) annual conference in May 2011 was on the theme of 'Open Data and Open Government'. In November 2011 a number of events were organised in Dublin, Galway and Cork as part of 'Irish Open Data Week'. The National Digital Research Centre (NDRC), Dublin, hosted a presentation on Commercialisation of Open Data will be driven by interactive gaming? Insight@NUIG hosted Opening Up Government Data, an event is to show the benefits of Open Data to public bodies, organisations, developers and citizens through open, facilitated discussions and hands-on sessions. UCC hosted a workshop on Open Data. The community continues to be active through a variety of activities, as described in the following sections.

National Cross Industry Working Group on Open Data

This National Cross Industry Working Group on Open Data was formed in June 2011, a collaborative response to the individual initiatives and events of group member with the strategic intent of merging expertise and experience to devise an Open Data strategy in support of government objectives. The group comprises industry, public sector, local government, research and education, and Enterprise Ireland perspectives. The group's goal was to support and inform government in the delivery of their Open Data objectives. As part of the Irish Open Data Week in 2011, the group organised an Open Data Government Roundtable with the Minister for the Environment, Community and Local Government Phil Hogan, T.D. at the National Library, Ireland. In February 2012, the group prepared a briefing paper⁵⁰, entitled *'Open Data Ireland'* to provide a collective view of how they will support Government in achieving these objectives, based on their industry experience, academic knowledge and public sector insight. Accompanying the briefing paper was a technical overview of Open Data⁵⁰, prepared by Deirdre Lee of Insight@NUIG.

Open Data Ireland Meet-ups

In order to encourage Open Data activities in Ireland, civil society coordinated regular meet-ups⁵¹. The meet-ups, facilitated mainly by Denis Parfenov of Active Citizen, Eamonn Leonard of the Engine Yard and Dominic Byrne of Fingal County Council, aimed to facilitate discussion between the Open Data Ireland Community and share knowledge through presentations from invited experts and projects. The first was held in October 2012 and, to date, 13 Open Data Ireland meet-ups have taken place, both in Dublin and Cork. The meet-ups continue to be an important meeting point for those interested in Open Data.

Code for All Ireland

Code for All Ireland was established in November 2013 by Dominic Byrne, Fingal County Council, and Ciaran Gilsenan, BuildingEye⁵². Code for All Ireland aims to be an enabler for local civic engagement via a network with local chapters of civic-minded volunteers, who contribute skills towards using the web as a platform to assist municipal government and community service. Code for Ireland is based

⁴⁹ <u>https://groups.google.com/forum/#!forum/open-data-ireland</u>

⁵⁰ http://www.iia.ie/resources/resource/18/iia-whitepapers/

⁵¹ https://ti.to/open-data-ireland/meetup-1 (-13)

⁵² http://www.codeforall.ie/



on and is an international member of Code for America⁵³. The official launch was held at the Conference Centre, Dublin Castle in January 2014. Minister Ciaran Cannon, Minister of State for Training and Skills attended on behalf of the Government. Dominic and Ciaran opened the event before the 300+ attendees, containing many high profile individuals, were treated to presentations from Dr Jonathan Reichental and Catherine Bracy from Code for America, both of whom flew in from the U.S.A especially for the event. Code for Ireland continues to develop projects and organise events.

Open Knowledge Foundation Ireland

Open Knowledge Foundation (OKF) Ireland⁵⁴ is a branch of OKFN (see section 4.2), founded in November 2013 by Denis Parfenov and Flora Fleischer. In Ireland the OKF is running a number of projects under the topics School of Data, Open Government Data, Open Transport, Open Economics, Open Access and Open Spending. OKF Ireland is working to help citizens access to high value, machine readable datasets generated by the Irish Government and public sector authorities. OKF Ireland now runs opendata.ie and their projects include contributing to Ireland's Action Plan for Open Data & Open Government (OGP Action Plan), a Booksprint (Programming Textbook), and an Open Data Analysis.

4.3.4 National Government

Open Government Partnership

As outlined in section 4.2, the Open Government Partnership (OGP)³¹ is a multilateral initiative that aims to secure concrete commitments from governments to promote transparency, empower citizens, fight corruption, and harness new technologies to strengthen governance. In December 2012, the Minister for Public Expenditure and Reform, Brendan Howlin, T.D., announced Ireland's intention to explore and implement Open Government in his Budget Day speech⁵⁵. In May 2013 the Minister committed formally to joining the OGP by issuing a Letter of Intent for Ireland to participate in the OGP⁵⁶.

Following this announcement, officials from Minister Howlin's department held several meetings with an ad hoc group of civil society representatives who have been keen to see the process evolve⁵⁷. These included Active Citizen, TASC, Dóchas, The Wheel, Transparency International Ireland, Open Knowledge Foundation Ireland as well as individuals. An Open Government Ireland (Google Group) provided a space for civil society and citizens to nurture dialogue and keep the issue visible⁵⁸. Between July and September 2013, a public consultation was held to gather input for Ireland's first OGP Action Plan. A Joint Working Group, made up of civil society members/citizens and government officials, was set up in February 2014 to work on Ireland's first OGP National Action Plan.

⁵³ <u>http://codeforamerica.org/</u>

⁵⁴ http://irl.okfn.org/

⁵⁵ <u>http://www.per.gov.ie/minister-for-public-expenditure-and-reform-brendan-howlin-t-d-address-to-dail-</u> eireann-on-expenditure-estimates-2013-wednesday-5th-december-2012/

⁵⁶ <u>http://www.per.gov.ie/minister-for-public-expenditure-and-reform-issues-letter-of-intent-for-ireland-to-participate-in-the-open-government-partnership/</u>

⁵⁷ <u>http://www.ogpireland.ie/ireland-and-open-government/</u>

⁵⁸ https://groups.google.com/forum/#!forum/open-government-ireland



Minister Howlin attended the OGP London Summit in November 2013. Each participating government was asked to announce an ambitious new open government commitment to be integrated into their OGP action plan. Minister Howlin announced a suite of measures designed to provide major impetus to Open Data in Ireland, namelv⁵⁹:

- signing up to the G8 Open Data Charter
- establishing an Open Data Board
- setting up an Open Data Implementation Group
- building an online Open Data Platform to act as the primary source for public sector • datasets.

Ireland will host the OGP Europe Regional Meeting in Dublin May 2014⁶⁰.

Government Policy

The original mention of Open Data in national policy, aside from the developments of PSI legislation outlined in section 3.5.1, was in the Department of Public Expenditure and Reform's (DPER) November 2011 Public Service Reform Plan⁶¹. This plan included the objectives to:

- 1. Create a Centralised Portal
- 2. Publish Public Sector Data Online
- 3. Improve Internal Government Data Sharing
- 4. Develop an Integrated Approach for collecting Administrative Data
- 5. Review Data Sharing Legislation

In April 2012, the Irish eGovernment Strategy 2012-2015 was announced⁶². This included the theme 'Ensure That Public Service Data Is Available For Re-Use', which in turn contained the following actions:

Table 2: Open Data Actions from eGovernment Strategy 2012-2015

	All public bodies will publish appropriate data in machine-readable formats to facilitate
	re-use. Initially this will include data newly released (in reports, on websites etc.). Over
Action 21	time, public bodies should identify additional data that could be released as Open Data.
	This action will enable individuals and businesses to use data in ways most helpful to
	them including developing applications relevant to their own needs and interests.
Action 22 Data released as images and/or included in reports that are published in form	
Action 22	PDF should also be made available in parallel in re-useable formats.
	Each Public Body will be required to identify datasets it holds and release these by
	default subject to legal or other restrictions e.g. Data Protection, Official Secrets,
Action 23	commercial sensitivity, etc. If there are datasets that a body determines cannot be
	released, a case to that effect will have to be made to the Department of Public
	Expenditure and Reform.

⁵⁹ http://www.per.gov.ie/minister-for-public-expenditure-and-reform-announcing-major-open-data-initiativeat-open-government-partnership-summit-in-london/ 60 http://www.opengovpartnership.org/get-involved/europe-regional-meeting

⁶¹ http://www.per.gov.ie/wp-content/uploads/Public-Service-Reform-pdf.pdf

⁶² http://egovstrategy.gov.ie/



Action 24	The CSO will assess the legislative environment with a view to identifying the scope for additional and greater uses of statistical data, including any potential legislative changes where necessary.
Action 25	The CSO will develop a code of practice and standards for the gathering and use of data for statistical purposes in the Public Service.
Action 26	The Department of Public Expenditure and Reform, in conjunction with the CSO will develop an integrated approach to the collection of administrative data across the Public Service, including a strategy to promote consistent approaches to, and systematic uses of data (including identifiers, classifications and geo-spatial/postcode data) in service planning and delivery.

In January 2014, DPER published the Government's new Public Service Reform Plan 2014-2016 and the Second Progress Report on its previous Reform Plan⁶³. There are four key themes running through the new Reform Plan:

- delivery of improved outcomes for service users based on using alternative models of service delivery and improvements in service delivery at sectorial and organisational levels;
- achieving and utilising the "reform dividend" freeing up resources by making existing processes more cost effective and efficient, and using the savings to invest in improved services
- greater digitalisation and use of Open Data to deliver services and information in innovative ways
- more openness, transparency and accountability to strengthen trust in government and public services, and to enhance public governance

The specific actions relating to Open Data in the new Reform Plan are detailed below.

	1.2.4 Improve Data Use and Sharing, including Open Data	a	
i.	Prepare Heads of a new Data Sharing and Governance Bill designed to deliver improved digital transactional services	Q1 2014	Q3 2014
ii.	Establish an Open Data Board and Steering and Implementation Group, and develop an Open Data portal (collaboration between OGCIO and Government Reform Unit in the Department of Public Enterprise and Reform)	Ongoing	Q2 2014
iii.	Deliver improved access to geo-spatial information for public services, businesses and citizens by developing a National Spatial Data Strategy and National Mapping Agreement	Q1 2014	Q4 2014
iv.	Improve the outcomes of existing and new public services through the increased exploitation of emerging big data analytics	Q1 2014	Q4 2016
۷.	Deliver a range of new public service applications based on the Single Customer View	Q1 2014	Q4 2016

Table 3: Open Data Actions from Public Service Reform Plan 2014-2016

Open Data was also referred to the Department of Jobs, Enterprise and Innovation's Action Plan for Jobs 2014⁶⁴. The Action Plan specifies nine 'disruptive reforms', new, high impact, cross-cutting

⁶³ <u>http://reformplan.per.gov.ie/</u>



measures with the potential to have a significant impact on job creation, to support enterprises and/or be areas where Ireland could profit from a natural advantage or opportunity that presents itself in the economy. One of the disruptive reforms defined was 'Big Data/Data Analytics':

Advancing the agenda to make Ireland a leading country in Europe in Big Data and data analytics by measures including the launch of an Open Data initiative and further strengthening enterprise engagement in the €88 million Insight Research Centre and the CeADAR Technology Centre.

The specific actions relating to Open Data in the Action Plan for Jobs 2014 are detailed below.

70. Launch an Open Data initiative, which will include the establishment of an Open Data portal to act as the primary source of public sector datasets, in the context of Ireland's membership of the Open Government Partnership			
Steps Necessary for Delivery	Timeline	Responsible Body	
Develop a National Action Plan in consultation with civil society and Departments in respect of Ireland's membership of the Open Government Partnership, focusing in particular on the progression of Open Data initiatives	Q1	DPER	
Establish Open Data Ireland governance Board	Q2	DPER	
Establish an Implementation Group on Open Data	Q2	DPER	
Adopt the G8 Open Data Charter	Q2	DPER, Departments and agencies	
Audit of existing datasets across Departments and relevant public bodies	Q2	Departments and relevant public bodies	
Build, launch and populate an Open Data portal as the primary source of public sector datasets	Q3	DPER, Implementation Group on Open Data, Departments and Agencies	

Table 4: Open Data Actions from Action Plan for Jobs 2014

Geospatial Community

Following a decision made in January 2007 that all Geological Survey of Ireland (GSI) digital data was to be made freely available over the internet, Interactive Web Data Delivery System was launched on 1st June 2007⁶⁵. The majority of GSI offshore (INSS and INFOMAR) and onshore spatial data is available via this system. Digital data is available free of charge. Much of the data from the INFOMAR project is made freely available, which has had proven economic impact (see section 15.3).

The Marine Institute with its partners the Geological Survey of Ireland, the EPA, the Department of Environment, Community and Local Government and the Coastal and Marine Research Centre (UCC) developed the Irish Spatial Data Exchange (ISDE) to make sharing data between organisations easier⁶⁶. The ISDE is a data discovery tool which allows users to find spatial data and services that are hosted across multiple government and academic organisations. Online data access options are

⁶⁴ <u>http://www.djei.ie/publications/2014APJ.pdf</u>

⁶⁵ https://jetstream.gsi.ie/iwdds/index.html

⁶⁶ http://catalogue.isde.ie/



listed where available or users can make an assessment as to whether data exist that are suitable for your purpose through the detailed metadata. Initial funding was from the Information Society Fund, the DCENR Change and Innovation Fund, the Marine Institute and the ISDE partners.

The Environmental Protection Agency (EPA) developed the EPA Geoportal to make data about the environment easier to find, browse and understand⁶⁷. This is in line with the citizen's right to access environmental information under the terms of the Aarhus Convention and Access to Information on the Environment (AIE) regulations. The Irish AIE regulations encourage public bodies to be proactive in disseminating environmental information to the public and states specifically that public bodies cannot withhold information on emissions into the environment.

The All-Island Research Observatory (AIRO) is a research unit and spatial data portal focused on improving evidence informed planning in Ireland⁶⁸. AIRO collects, analyses and provides evidence and tools to support better planning and decision making. The key strengths behind the AIRO team are mapping, data analytics and visualisation, policy advice, research and training. AIRO actively works to maximise the usage and benefit of publicly funded and readily available datasets in Ireland as well as highlighting the benefits of proper collection, management and dissemination of datasets across different organisations. The AIRO Datastore Beta currently contains over 1,300 datasets available, which users can search through and use free of charge⁶⁹. The data is available in a variety of formats from shapefiles to interactive mapping modules to cater for the GIS ability of all users. The data reuse license is dependent from the original data provider (OSI, OSNI, CSO, NISRA etc).

Ordnance Survey Ireland (OSi) is Ireland's National Mapping Agency and has been mapping Ireland in detail since 1824⁷⁰. OSi provides many products, such as boundary data, discovery mapping series, land registry and ortho photography. It also provides services, such as MapGenie, an online web mapping service. The OSi make Electoral Divisions and Small Area Boundary Files available via the CSO⁷¹, under a disclaimer that allows users to use the data for non-profit, research and development, academic use, etc., but if users want to exploit the OSi Data commercially for use in commercial publications or to reproduce the OSi Data for use in non-commercial publications, they must obtain the appropriate copyright licence from OSi. Currently no OSi data is available as Open Data, i.e. freely available under an Open License. However in a recent Indecon Assessment of the Economic Value of the Geospatial Information Industry in Ireland that was commissioned by OSi (Ordnance Survey of Ireland, 2014), it was found that:

"A key future development in geospatial information will be the move toward Open Data. Improved access to data and the lower cost of acquiring such data will inform better decision making, open up new business opportunities and intensifying competition. These developments will open new opportunities for increased productivity improvements and cost savings."

Central Statistics Office

⁶⁷ http://gis.epa.ie/

⁶⁸ http://airo.ie/

⁶⁹ http://www.airo.ie/airo-datastore

⁷⁰ http://www.osi.ie/

⁷¹ http://www.cso.ie/en/census/census2011reports/census2011thisisirelandpart1/census2011boundaryfiles/



The Central Statistics Office (CSO) was established in 1949 as Ireland's national statistical office⁷². Its status was formalised in legislation with the enactment of the Statistics Act, 1993. The mandate of the CSO, as set out in that Act, is "The collection, compilation, extraction and dissemination for statistical purposes of information relating to economic, social and general activities and conditions in the State". The CSO is also responsible for coordinating the official statistics of other public authorities and for developing the statistical potential of administrative records.

The Office meets the needs of Government for quality statistical information, which is vital for the formation, implementation and monitoring of policy and programmes at national, regional and local levels in a rapidly changing economic and social environment. The Office also serves the needs of the wider national and international community (media, researchers, students, businesses, representative organisations, the EU, international organisations, and the public generally) for impartial and relevant information on social and economic conditions. Particular attention is paid to the specialist needs of business and the research/academic community for more detailed and focused data.

StatCentral is the portal to Ireland's Official Statistics⁷³. It provides information about statistics produced by government departments and state organisations. The site is maintained by the CSO. StatCentral aims to strengthen and coordinate statistics across the public service. The portal supports the National Statistics Board's strategy of developing a whole-system approach for the Irish statistical system, involving all areas of the public sector where official statistics are produced. StatCentral has been launched with a range of official statistics from the CSO and other departments and agencies. We will be regularly adding to the list of statistics covered, with the ultimate aim of covering all official statistics. During this phase of the project particularly, we strongly encourage feedback on the features of the portal and suggestions for statistics to be included.

In collaboration with Insight@NUIG, the CSO published the 2011 Census and associated classifications as Linked Data through the data.cso.ie portal⁷⁴. Downloads are available in a number of formats, including Linked Data enabled formats (Data Cube, SKOS), and in traditional CSV. The data can also be queried via the SPARQL API, a powerful query language for Linked Data. To encourage young people to develop ideas and create applications that will provide innovative and fresh ways of exploiting the Census 2011 Open Data, the CSO and Insight@NUIG organised the successful Apps4Gaps competition⁷⁵.

⁷² http://cso.ie/

⁷³ http://statcentral.ie/

⁷⁴ http://data.cso.ie/

⁷⁵ <u>http://apps4gaps.ie/</u>



5 Best Practice Standards for Carrying out a Data Audit

5.1 Overview

Before deciding what data to publish as Open Data, public bodies should be aware of what data they currently manage. This can be more difficult than expected with data in large organisations dispersed over multiple databases, personal-computers, shared-storage, etc. Data is typically created for a particular purpose, as part of a specific system, and only public servants who work directly with the system knows that the data exists. To discover what data is, or could be, available as Open Data, a data audit is carried out.

A data audit should produce a complete list of datasets that fall under the scope and terms of the audit. "Datasets" in the context of Open Data include databases, registers, ongoing data collections and surveys, geospatial datasets, and so on. Pure text-based information, such as emails, memos, reports and press releases, are not considered as data for this purpose.

The result of a data audit should be a list of the datasets held by the public body. For each dataset, sufficient information must be collected to answer the following questions:

- 1. Is the dataset fit for publication as open data?
- 2. If not, what is the justification?
- 3. If it can be published, complete metadata must be collected, to facilitate listing of the dataset in a data portal.

A data audit can be carried out by the public body themselves, or by an independent auditor, and typically involves interviews with data stakeholders across the public body. The results should be reported according to a standard template. If the intention is to list published datasets in an Open Data portal, then the template should be structured with the portal's metadata schema in mind.

Information about each dataset that should be collected as part of the data audit includes:

- Legal information: What legislation applies to the dataset? Does it include personal data? Is there a license associated with the data? Does it fall under FOI/PSI legislation? Are there specific legal reasons against publishing it?
- **Organisational information**: Who is responsible for the dataset? What is the purpose of its creation? How is it maintained and kept up to date?
- **Technical information**: What form is the data held in? Are technical facilities in place that can assist open data publication (e.g., export functions)? Conversely, are there specific obstacles?
- A value assessment: Is the dataset of high or low priority? Is there evidence of public interest in the dataset? Is there evidence of interest from other public bodies? Is there evidence of commercial value?

Public bodies hold a large variety and amount of data. A full data audit is an expensive exercise. Limited data audits that cover specific high-impact themes are likely to have the highest impact. Data audits are still not routine activities, and most organisations have a poor grasp on their data assets. The literature on data audits focuses on two areas: the preservation and management of research data, and quality assessment of business data.



5.2 International Practice

Jisc, the UK body who promote the use of digital technologies for education and research, issued a call for proposals to develop and implement a Data Audit Framework⁷⁶. The Data Asset Framework (DAF) project was funded led by HATII at the University of Glasgow in association with the Digital Curation Centre to produce this. The DAF provides organisations with the means to identify, locate, describe and assess how they are managing their research data assets. DAF is a set of survey methods to enable data auditors to gather this information. DAF helps with planning a strategy to ensure research data produced in UK Higher Education Institutions are preserved and remains accessible in the long term. Four implementation projects were also funded to test the toolkit and promote its uptake. These are based at the University of Edinburgh, Imperial College, King's College and University College London.

As part of being able to comply with the INSPIRE Directive, it was necessary for many public bodies to carry out a data audit to identify data within scope of INSPIRE.

It was reported in the POPSIS Pricing of Public Sector Information Study: Open Data Portals (E) that for the French Open Data portal Data.gouv.fr, a first PSI data audit found that around 630,000 tabular data files are already freely available for access and re-use in France, but that the databases of most economic value and/or potential are available on payment of licence fees (de Vries et al., 2011).

5.3 Current Irish Practice

As part of the Open Data Ireland Support Project, we carried out a data audit of the data currently in the public domain. We analysed the websites of all public bodies listed on the Re-use of PSI website⁷⁷ for data files in machine-readable structured data formats (Excel, CSV, TSV, XML, KML, RDF, Shapefiles) as well as their archived forms (ZIP, GZ, BZ2, etc.). The methodology and results of the data audit are described in full in the data audit report and are accessible via the Irish Open Data portal data.gov.ie. This data audit should be extended by datasets that are not currently in the public domain, i.e. those that are not currently published on websites.

In September 2013, OKFN Ireland ran a hackathon, where participants carried out an audit of both Open Data and data which has been published but not necessarily in open formats or under an open licence⁷⁸. As part of their work the group also worked on compiling metadata about the datasets for publishing on the portal. By the end of the day, the group had compiled a spreadsheet of 29 APIs, 16 Catalogues and 167 Datasets⁷⁹.

⁷⁶ <u>http://www.data-audit.eu/background.html</u>

⁷⁷ http://psi.gov.ie/home/

⁷⁸ <u>http://data.fingal.ie/Blog/September2013/Name-37483-en.aspx</u>

⁷⁹ https://docs.google.com/spreadsheet/ccc?key=0AhQCu4i-

aiQPdGtganlSY29heVZOd3dHUzYwZWY1Nmc#gid=0



5.4 Recommendations

- f) Each public body should carry out a data audit of the data they currently manage.
- g) Information on each dataset should be recorded according to the standard metadata format of the national Open Data portal.
- h) Information for each dataset should include:
 - a. Potential for release as Open Data (governed by an 'Open by Default' principle)
 - b. Legal information
 - c. Organisational information
 - d. Technical information
 - e. Value assessment
- i) Those datasets that are recognised as 'high-value' should be released proactively
- j) The data audit results should be made available on the national Open Data portal, so that users can request the publication of the complete dataset (demand-driven publication).



6 Best Practice Standards for Dataset Selection

6.1 Overview

When embarking on an Open Data initiative, one of the most pertinent questions public bodies face is what datasets to publish. Ideally, all data would be *Open by Default*, as outlined in the G8 Open Data Charter (see Appendix I:) and in the eGovernment Strategy 2012-2015 (see Action 23 in, Table 2). Achieving this however requires a period of transformation, during which an *Open by Default* policy is phased in. During this period, the key to dataset selection is impact. Within the Open Data community, there is a lot of talk of high-value datasets, those that will ultimately have the most impact.

In a Memorandum for the Heads of Executive Departments and Agencies on Open Government Directive issued in December 2009, the U.S. Office of Management and Budget defined high-value information as follows (U.S. Office of Management and Budget, 2009):

High-value information is information that can be:

- used to increase agency accountability and responsiveness;
- *improve public knowledge of the agency and its operations;*
- *further the core mission of the agency;*
- create economic opportunity; or
- respond to need and demand as identified through public consultation

In its Open Data Policy document the Government of New South Wales, Australia defines a highvalue dataset definition as follows (NSW Government, 2013):

High-value datasets often have one or more of the following characteristics (drawn from U.S. and NZ models), in that their release:

- Responds to a need and/or demand identified through public or stakeholder engagement, or supports positive social outcomes;
- Has the potential to enhance services or service delivery;
- Furthers the core mission of the agency;
- Increases agency accountability and responsiveness;
- Increases government transparency;
- Will create economic opportunity, generate efficiencies, or reduce costs; and/or
- Will support evidence-based policy-making or research.

There are different ways to identify what are high-value datasets. One is to look at international best practice, which is examined in the next section. Another is to actively engage with potential datausers and facilitate demand-driven dataset release, which is examined in section 13. To ensure the continued availability of high-value datasets, the satisfaction of the data users and the data usage metrics should be continually evaluated, as described in section 16.



6.2 International Practice

There are a number of international indicators of high-value datasets: the G8 Open Data Charter, the Open Data Barometer, and the Open Data Census.

6.2.1 Open Data Barometer

The Open Data Barometer, a joint barometer study of the Web Foundation and the Open Data Institute, aims to uncover the true prevalence and impact of Open Data initiatives around the world. In selecting datasets to include in the Open Data Barometer study, the researchers sought to include a breadth of categories that represent both the different functions of government, and the different kinds of data that particular re-users of data may be interested in (Davies, Farhan, & Alonso, 2013). They paid close attention to selecting datasets that had a high likelihood of being available across diverse countries, and they provided guidance to researchers on a dataset-by-dataset basis to deal with cases where data might be only available at a sub-national level. Figure 5 below shows how the datasets included in the Open Data Barometer represent a range of different potential uses of data. Of course, the nature of Open Data means categories are not mutually exclusive: the same dataset might be useful across social policy, innovation and accountability arenas. The Open Data Barometer is discussed in terms of an evaluation metric in section 16.

Innovation Cluster Data commonly used in open data applications by entrepreneurs, or with significant value to business.	Social Policy Cluster Data useful in planning, delivering and critiquing social policies & with the potential to support greater inclusion and empowerment.	Accountability Cluster Data central to holding governments and corporations to account. Based on the 'Accountability Stack' proposed by Perrin (2012).
 Map Data Public Transport Timetables Crime Statistics International Trade Data 	 Health Sector Performance Primary or Secondary Education Performance Data National Environment Statistics Detailed Census Data Land Ownership Data 	 Legislation National Election Results Detailed Government Budget Detailed Government Spend Company Register

Figure 5: Dataset Clusters used in Open Data Barometer Analysis (Davies, Farhan, et al., 2013)

6.2.2 Open Data Census

The Open Data Census, a project coordinated by the Open Knowledge Foundation (OKFN), aims to assess the state of open government data around the world⁸⁰. The Open Data Index, which is based on the Census results, is discussed in terms of an evaluation metric in section 16. In this section we look at the datasets that are examined as part of the Open Data Census. The Open Data Census intentionally focuses on a small number of key datasets. These datasets have been chosen for their breadth and relevance and were discussion after consultation within the open government data community. The Census currently operates on a Country level (started in April 2012). The project is also in the early stages of developing a City level census, having started that effort in February 2013. Currently there are 10 datasets in the Country Census and 15 in the City Census but the number and members are subject to revision and extension over time.

⁸⁰ http://national.census.okfn.org/



6.2.3 G8 Open Data Charter

The G8 Open Data Charter specifies a series of collective actions for the G8 members. Action 2: Release of High Value Data states that:

- We recognise the following as areas of high value, both for improving our democracies and encouraging innovative re-use of data (see Table 5).
- In accordance with the principles of "open by default" and "quality and quantity" we will work towards the progressive publication of these data.
- As a first step, we will collectively make key datasets on **National Statistics, National Maps, National Elections and National Budgets** available and discoverable (from June 2013), and we will work towards improving their granularity and accessibility (by December 2013)
- We recognise that collective action by all G8 members has the potential to unlock barriers and foster innovative solutions to some of the challenges we are facing. We therefore agree on a mutual effort to increase the supply of open government data available on key functions of our States, such as democracy and environment. We will work on identifying datasets in these areas by December 2013, with an aim to release them by December 2014.
- We will set out in our national action plans how and when we will release data under the remaining categories according to our national frameworks (October 2013).

6.2.4 High-Value Datasets

Table 5 shows a comparison of the high-value datasets defined in the G8 Open Data Charter, Open Data Barometer and Open Data Census. As well as key datasets listed above, the G8 Open Data Charter highlights a more extensive list of high-value datasets that should be prioritised, included in Table 5. The Open Data Census defines datasets that should be released at both country and city level.

G8 Open Data Charter Category	G8 Open Data Charter		Open Data Census
Companies Company/Business Register Internation		Company Register, International Trade Data	Company Register (country)
Crime and Justice	Crime statistics, safety	Crime Statistics	Crime statistics (city)
Earth Observation	Meteorological/weather, agriculture, forestry, fishing, and hunting	-	-
Education	List of schools; performance of schools, digital skills	Primary or Secondary Education	School locations (city)

Table 5: Comparison of G8 Open Data Charter ⁸¹ , Open	Data Barometer ⁸² and Open Data	Census ⁸³ High-value Datasets
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⁸¹ <u>https://www.gov.uk/government/publications/open-data-charter/g8-open-data-charter-and-technical-annex#principle-5-releasing-data-for-innovation</u>

⁸² http://www.opendataresearch.org/project/2013/odb

⁸³ <u>http://national.census.okfn.org/</u>



G8 Open Data	G8 Open Data Charter	Open Data	Open Data Census
Charter Category		Barometer	-
Energy and Environment	Pollution levels, energy consumption	National Environment Statistics	Emission of Pollutants (country), Water quality (city), Air quality (city)
Finance and contracts	Key: National Budgets (planned and spent)	Detailed Government Budget	Government Budget
Finance and contracts	Transaction spend, contracts let, call for tender, future tenders, local budget	Detailed Government Spend	Government Spending (country), Procurement contracts (city)
Geospatial	Key: National Map	Map Data	National Map (country)
Geospatial	Topography, postcodes, local maps	Land Ownership	Postcodes/Zipcodes (country)
Global Development	Aid, food security, extractives, land	-	-
Government Accountability and Democracy	Key: National Elections	National Election Results	Election Results (country)
Government Accountability and Democracy	Government contact points, election results, legislation and statutes, salaries (pay scales), hospitality/gifts	Legislation	Legislation (country), Government service fees (city), Building permits (city)
Health	Prescription data, performance data	Health Sector Performance	Health statistics (city), Restaurant hygiene (city)
Science and Research	Genome data, research and educational activity, experiment results	-	-
Social Mobility and Welfare	Housing, health insurance and unemployment benefits	-	-
Statistics	Key: National Statistics	-	National Statistics
Statistics	Census, infrastructure, wealth, skills	Detailed Census Data	
Transport and Infrastructure			Transport Timetables (country), Public Transport stops (city), Road traffic accidents (city)



The members that signed up to the G8 Open Data Charter are Canada, France, Germany, Italy, Japan, Russia, the UK, the U.S. and the European Union. Ireland has also announced it will sign up to the charter⁵⁹. At the time of writing (May 2014), Canada, France, Italy, the UK, the U.S. and the European Union have set out national action plans (Cabinet Office, 2013a; Canadian Government, 2013; European Commission, 2013; Frence Government, 2013; Italian Government, 2013; U.S. Government, 2014). Russia and Japan have released a draft version of the national action plans (Japan Government, 2013; Russian Government, 2013). Germany has not yet published its national action plan. In addition, Denmark has published the report 'Good Basic Data for Everyone – A Driver for Growth and Efficiency', in which it highlights the first basic-data registers (The Danish Government, 2012).

As part of this study, we have carried out a comparison across all datasets mentioned in each national action plan, the Danish basic-data registers, the Open Data Barometer and the Open Data Census. Although the datasets were of different granularity, patterns could be seen. Table 6 shows the high-value datasets that were mentioned in two or more of the lists.

G8 Open Data Charter Category	Common High-Value Datasets		
Companies	Company register		
Companies	 Insolvency and bankruptcy records 		
	Crime statistics		
Crime and Justice	Justice statistics		
	Justice spending		
	Meteorological		
Earth Observation	Fishing/Hunting levels		
	Agriculture		
	School attendee		
Education	Post-education		
	School locations		
	Pollution		
	Water quality		
Energy and Environment	Air quality		
	Natural resources		
	Waste		
	Energy consumption		
	Government budgets		
Finance and contracts	Government spending		
	Tenders/procurement		
	National maps		
	Thematic geo-information		
Geospatial	 Environmental geo-information 		
Cospatia	Local/administrative boundaries		
	 Topographical geo-information 		
	Postcodes and addresses		
Global Development	Development aid		
	International assistance		

 Table 6: Common High-Value Datasets across all available National Action Plans, the Danish Basic-Data Registers, the

 Open Data Barometer and the Open Data Census



G8 Open Data Charter Category	Common High-Value Datasets		
	Government structures and contacts		
	 Government salaries and pay-scales 		
Government Accountability and Democracy	Legislation		
	 Hospitality/gift 		
	Election results		
Health	Health performance Drug/prescription		
	Restaurant hygiene		
Science and Research	Research		
	Housing		
Social Mobility and Welfare	 Employment/unemployment 		
	Social security/welfare		
Statistics	National statistics		
	Census		
	Public transport schedules		
Transport and Infrastructure	Public transport stops		
	Road network		
	Road traffic accidents		

When identifying high-value datasets, it is important to be aware that different groups of stakeholders may place varying significance on different datasets. For example, in the Sunlight Foundation's 2010 Open Government Data Benchmark Study, different groups (government stakeholders, citizens and developers) were asked to prioritise a list of dataset categories (Foundation, Forum, & Eaves, 2011). This demonstrates that it is critical to engage with multiple stakeholder groups when deciding what datasets to publish. (See section 13 on best practice user engagement.)

Data Category	Government	Citizens	Developers	Average
Public Safety (crime data, food inspection)	81.90%	56.50%	77.40%	71.93%
Environmental (e.g. air/water quality)	82.40%	42.00%	67.70%	64.03%
Gov Svcs (where, when, how Svcs can be				
accessed)	87.20%	51.00%	48.40%	62.20%
Legislative (e.g. voting records)	80.30%	48.00%	58.10%	62.13%
Financial (e.g. gov expenditures)	75%	53.00%	54.80%	60.93%
Accountability (e.g. campaign finance)	78.70%	52.00%	51.60%	60.77%
Property (property taxes, zoning maps)	69.70%	42.00%	67.70%	59.80%
Census (Population, Economy)	78.70%	34.00%	61.30%	58.00%
Regulatory (e.g. disclosure for regulated				
industries)	80.30%	39.00%	51.60%	56.97%
Transportation (e.g. parking, transit, traffic)	67%	34.00%	67.70%	56.23%
Education (e.g. school tests)	69.10%	52.00%	38.70%	53.27%
Utlities (water, gas, electrical consumption/prices)	63.80%	44.00%	48.40%	52.07%
Public Works (road work, infrastructure)	64.40%	40.00%	48.40%	50.93%
Gov Org Performance (e.g mission metrics)	53.20%	31.00%	45.20%	43.13%
Corporate (e.g. corp tax returns)	48.10%	31.00%	41.90%	40.33%

 Table 7: Prioritisation of datasets from Sunlight Foundation's 2010 Open Government Data Benchmark Study

 (Foundation et al., 2011)



6.3 Current Irish Practice

As is evident from the data audit and the list of data catalogues shown in Table 1, there are a lot of positive data publication initiatives currently taking place in Ireland, from geospatial and environmental, to health and statistical. However, a lot still needs to be done in order for Ireland to be compliant with the G8 Open Data Charter, especially around Open Licensing, high-quality machine-readable data, and standardised metadata. A complete list of datasets currently in the public domain that were identified in our data audit are described in the data audit report and are accessible via the Irish Open Data portal data.gov.ie.

6.4 Recommendations

For recommendations on address and map data, see section 7.

- d) For already published public data, such as those detailed in the data catalogues included in Table 1, ensure the data is published as Open Data, i.e. machine-readable, with metadata, under an Open License.
- e) Hold regular public consultations on what other datasets should be released as Open Data.
- c) Facilitate new dataset requests via the Open Data portal
- d) Facilitate feedback on individual datasets via the Open Data portal
- f) As per the G8 Open Data Charter, prioritise the following key datasets for release as Open Data:
 - National Statistics
 - CSO data at http://statcentral.ie/
 - National Maps (see section 7)
 - National Elections
 - DECLG (non machine-readable) data at http://www.environ.ie/en/LocalGovernment/Voting/NationalElections/
 - National Budgets
 - DPER data at <u>http://databank.per.gov.ie/</u>
- b) As per the G8 Open Data Charter, prioritise the following high-value datasets for release as Open Data:

G8 Open Data Charter Category	Common High-Value Datasets		
Companies	Company register		
	 Insolvency and bankruptcy records 		
	Crime statistics		
Crime and Justice	Justice statistics		
	Justice spending		
	Meteorological		
Earth Observation	Fishing/Hunting levels		
	Agriculture		
	School attendee		
Education	Post-education		
	School locations		



	Pollution				
	Water quality				
	Air quality				
Energy and Environment	Natural resources				
	Waste				
	Energy consumption				
	Government budgets				
Finance and contracts	Government spending				
	Tenders/procurement				
	National maps				
	Thematic geo-information				
	Environmental geo-information				
Geospatial	 Environmental geo-information Local/administrative boundaries 				
	 Topographical geo-information 				
	 Postcodes and addresses 				
Global Development	 Development aid International assistance 				
	Government structures and contacts				
Courses and Associate bility and Democracy	Government salaries and pay-scales				
Government Accountability and Democracy	Legislation				
	Hospitality/gift				
	Election results				
Health	Health performance Drug/prescription				
	Restaurant hygiene				
Science and Research	Research				
Social Mobility and Welfare	Housing				
	Employment/unemployment				
	Social security/welfare				
Statistics	National statistics				
	Census				
Transport and Infrastructure	Public transport schedules				
	Public transport stops				
	Road network				
	Road traffic accidents				



7 Best Practice Standards for Address and Map Data

7.1 Overview

While some datasets may be straight-forward to release, some can be more contentious. One reason for this is that the dataset is traditionally revenue-generating, meaning that it is sold or licensed for a fee. Another reason is the license restrictions serve as a barrier for data use, reuse or redistribution. Examples of such datasets internationally are map data, postcode/addressing data, the companies register, the land register and meteorological data. In the international Open Data Community there is a lot of discussion around how best to manage such revenue-generating datasets. The most common school of thought is that these datasets should be released free-of-charge as Open Data, as their economic impact as Open Data will outweigh the revenue they currently generate. On the other hand, the reality for the public-body that creates and sells the data is that their funding will be affected by publishing the previously revenue-generating dataset as Open Data. This is a challenge that needs to be acknowledged and addressed.

Many revenue-generating datasets are high-value in that they are core reference datasets, underpinning many other public and private datasets. In the Shakespeare Review, Stephen Shakespeare explores in detail the structure of the UK Trading Funds, including the Companies House, Land Registry, the Met Office and Ordnance Survey (Shakespeare, 2013). He states that "the majority of data created from public funds should be freely available, however we must accept that charging can be legitimate". However he emphasises that "the time is now right for the Trading Funds to step back and for the market to step up to create new growth by supplying value adding services" and that "a high proportion of data from the four Trading Funds is already available as Open Data". In its Statistics and Open Data report, the UK's Public Administration Select Committee (PASC) reported that "many witnesses argued that the UK economy would only benefit fully from the wider use of government data if charges were reduced or eliminated" (The Public Administration Select Committee (PASC), 2014). This was highlighted in the report through the Open Data Institute's interview response that "we will get the most benefits from data when it can flow freely to where it is needed, whether that flow takes it through large or small companies". In the next sections we will examine some specific examples of revenue-generating, high-value datasets.

7.2 International Practice

7.2.1 Address Data

Address and postcode data is essential for a wide variety of use-cases in both the public and private sector, for example utilities, emergency services, navigation, insurance, property market, transport, postal services, planning, etc. Even though postcodes are named as a high-value dataset in the G8 Open Data Charter, only seven out of the 70 countries included in the OKFN Open Data Census make postcode/zipcode data freely available under an Open License. These are the UK, Denmark, the U.S., Netherlands, Iceland, Taiwan R.O.C. and Australia. Of even more concern is that address and postcode data is only explicitly mentioned in two of the seven G8 Open Data Action Plans available at the time of writing (Japan and Russia).

Address and postcode data has been particularly controversial in the UK. The UK met the specification of Open postcode data for the Open Data Index (postcodes and corresponding geospatial locations - lat/long or equivalent), as it is available via the Ordnance Survey's Code-Point



Open dataset, which contains the 1.4m GB post codes each with a UK national grid reference of the postcode's location in eastings and northings (convertible to lat/long by published formulae) and with the administrative area (country/county/district/ward, plus National Health Service Region and Authority) in which it is located⁸⁴. (Note, similar postcode data for the devolved province of Northern Ireland is not available as Open Data.)

However, the main UK address database, the Postcode Address File (PAF), containing 29 million addresses, 1.8 million postcodes and 1.4 million business names was recently included in the sale of Royal Mail to boost its share price at floatation. The PAF is now a subscription-only database privately owned by the Royal Mail. This move was strongly condemned by the MPs making up the PASC (The Public Administration Select Committee (PASC), 2014):

"This takes an immediate but narrow view of the value of such datasets. PAF should have been retained as a public data set, as a national asset. The sale of the PAF with the Royal mail was a mistake. Public access to public sector data must never be sold or given away again"

On the other hand, Denmark are leaders, not only in publishing address data, but also demonstrating its value (Danish Enterprise and Construction Authority, 2010). The Danish government recognised that free and unrestricted access to high-quality address data is beneficial to the public and forms the basis for reaping substantial benefits in public administration and in industry and commerce. This is why, in 2002, the official Danish address data was made available free of charge. In spring 2010 the Danish Enterprise and Construction Authority (DE- CA), who is responsible for road names and addresses in Denmark, commissioned a study to analyse the benefits associated with the Danish free-of-charge agreement of 2002. The conclusion of the study is that the direct financial benefits from the agreement for society in the period 2005-2009 amount to around EUR 62 million (~DKK 471 million). Until 2009 the total costs of the agreement has been around EUR 2 million. In 2010 it is estimated that social benefits from the agreement will be about EUR 14 million, while costs will total about EUR 0.2 million. Around 30% of the benefits will be in the public sector and around 70% will be in the private sector.

This study laid the foundation for the Address Program, which forms part of the Danish Government's *Good Basic Data for Everyone* Strategy (The Danish Government, 2012). The Address Program shall improve the data quality, the back-end infrastructure and the services. The benefits of the Address Program are estimated to be about EUR 30 million per year from 2015, as outlined in Figure 6.

⁸⁴ https://www.ordnancesurvey.co.uk/opendatadownload/products.html



Figure 6: Benefits of Denmark's Address Program (Lind, 2014)

7.2.2 Map Data

Another key dataset, as highlighted in the G8 Open Data Charter, the Open Data Barometer and the Open Data Index is map data. Map data can be seen as a core reference dataset, which underlies many other datasets and services. According to the Open Data Census, map data is available as Open Data in many countries including the UK, the U.S., Australia, Denmark and Canada. The U.S. Government publishes the U.S. Census' TIGER (Topologically Integrated Geographic Encoding and Referencing) Database which provides vector data covering features such as 'Boundaries, roads, address information, water features, and more'⁸⁵. In addition to TIGER there is also substantial geographic data available from the U.S. Geological Survery (U.S.GS)⁸⁶. This includes topographic maps as well as aerial and satellite imagery (such as the global Landsat data).

The UK Ordnance Survey makes the following mapping data and geographic information available as Open Data⁸⁷:

- 1. OS Vectormap[®] District overlay your own information on a customisable map background.
- 2. OS Street View[®] a generalised and simplified street level map, ideal for city-centre plans.
- 3. 1:50 000 Scale Gazetteer find over 250,000 placenames and locate an area of interest.
- 4. 1:250 000 Scale Colour Raster the former OS Travel Map in an image format.
- 5. Boundary-Line[™] find electorial and administrative boundary information
- 6. Code-Point[®] Open all the current postcode units in Great Britain.
- 7. Meridian[™] 2 customisable for communication and topographical themes and route planning.
- 8. MiniScale[®] a clear and uncluttered national map, ideal for publishing or sales territory planning.

⁸⁵ <u>http://www.census.gov/geo/maps-data/data/tiger.html</u>

⁸⁶ http://www.usgs.gov/pubprod/

⁸⁷ http://www.ordnancesurvey.co.uk/business-and-government/products/opendata-products.html



- 9. OS Locator[™] a searchable gazeteer of road names, useful for locating the location of emergency calls.
- 10. Strategi[®] a regional overview of road networks, railway lines, cities and rural wooded areas.
- 11. OS Terrain 50[®] add valuable height data to regional scale applications to improve analysis work.
- 12. Land-Form PANORAMA[®] visualise ground areas for environmental analysis work.

Ordnance Survey commissioned ConsultingWhere and ACIL Tasman on behalf of the Department for Business, Innovation and Skills to undertake a research study to evaluate the economic impacts, success or otherwise and benefits of OS OpenData and to inform any future developments relating to Open Data from Ordnance Survey (UK Ordnance Survey, 2013). The study estimates that the OS Open Data initiative will deliver a net £13.0 million - £28.5 million increase in GDP in 2016. The main components of this increase are net productivity gains (£8.1 million – £18.2 million) and additional real tax revenues (£4.4 million – £8.3 million). The increase is also net of £3.7 million per annum, applied as a negative shock to GB exports, to account for OS Open Data being integrated in to products of companies paying taxes abroad. Despite the fact that GB loses this export income, overall the value of exports to the economy increases by £6.1 million – £10.3 million as other sectors of the economy expand. Another important metric is the increase in real national disposable income (real GNP) in the range £10.2 million – £24.1 million by 2016. This is an indication of the increase in economic welfare for British society as a whole.

In the UK, there was a fear that publishing some of their data as 'free' Open Data would result in decreased use of their paid-for data, however the reality was that while some premium customers were reduced, the Open Data also brought new customers to the paid-for model.

7.3 Current Irish Practice

7.3.1 Address & Postcode Data

GeoDirectory is Ireland's electronic register of addresses matched precisely to their geographical locations⁸⁸. GeoDirectory is developed jointly by An Post and Ordnance Survey Ireland (OSi), and is made available through a number of paid-for products. Ireland does not currently use postcodes. In 2009, the then Minister for Communications, Energy and Natural Resources Eamon Ryan T.D., announced that the Government had approved the introduction of a national postcode system for Ireland⁸⁹. In January 2014 the Minister of Communications, Energy and Natural Resources, Pat Rabbitte, TD, announced the formal signing of the contract for the Postcode Management Licence Holder (PMLH) with Capita Ireland⁹⁰.

"The ten-year contract signed with Capita, supported by BearingPoint and Autoaddress, provides for the design, encoding and roll-out of a national postcode due to be launched in quarter one of 2015. It will be a world-first design that uses a postcode as a unique identifier for each and every address in Ireland."

⁸⁸ <u>https://www.geodirectory.ie/</u>

⁸⁹ http://www.dcenr.gov.ie/Communications/Postal/Postcodes.htm

⁹⁰ <u>http://www.merrionstreet.ie/index.php/2014/01/minister-welcomes-signing-of-national-postcode-system-contract/</u>



Eircode, Ireland's new national postcode system, was launched in April 2014⁹¹, announcing that every address in Ireland will receive its unique Eircode in Spring 2015. The official website states that an Eircode will identify an individual address, rural or urban, and help show exactly where it is located, unlike other countries, where postcodes define clusters or groups of addresses⁹². Currently, around 35% of addresses, mainly in rural areas, do not have a unique name or number in their address. With Eircodes, delivery of services and goods will be much easier and quicker to these addresses.

There is a lot of criticism from Open Data advocates that postcodes will not be made freely available and that this is a missed opportunity for widespread economic benefit, for example, from Open Postcode Ireland⁹³ and Open Knowledge Ireland⁹⁴.

In his Twenty-Fifth Annual Report 2013, the Data Protection Commissioner Billy Hawkes, expressed a serious concern that a public database linking a code to a single unit residential address could be considered as being personal data of the occupants of that dwelling (Data Protection Commissioner, 2014).

"In essence the unique seven character postcode goes beyond what an "address" is because, through the use of modern technology and "Big Data", it can be easily assimilated into any sort of electronic device or dataset which could in turn be used for any purpose, ranging from State services to commercial exploitation. In this regard, we expressed the concern that such datasets which would be verified by this postcode could have the potential for the ready identification of sensitive information about individuals, examples of which would be to identify specific localities that have patterns of crime or illness"

Currently no Irish Address data is available as Open Data, i.e. freely available under an Open License.

7.3.2 Map Data

Ordnance Survey Ireland (OSi) is Ireland's National Mapping Agency and has been mapping Ireland in detail since 1824⁹⁵. OSi provides many products, such as boundary data, discovery mapping series, land registry and ortho photography. It also provides services, such as MapGenie, an online web mapping service. The OSi make Electoral Divisions and Small Area Boundary Files available via the CSO⁹⁶, under a disclaimer that allows users to use the data for non-profit, research and development, academic use, etc., but disallows users to exploit the OSi Data commercially for use in commercial publications or to reproduce the OSi Data for use in non-commercial publications. Currently no OSi data is available as Open Data, i.e. freely available under an Open License.

Following a decision made in January 2007 that all Geological Survey of Ireland (GSI) digital data was to be made freely available over the internet, Interactive Web Data Delivery System was launched on 1st June 2007⁹⁷. The majority of GSI offshore (INSS and INFOMAR) and onshore spatial data is

⁹¹ <u>http://www.merrionstreet.ie/index.php/2014/04/minister-rabbitte-launches-eircode-the-new-location-</u> codes-for-irish-addresses/

⁹² http://www.eircode.ie/

⁹³ http://www.openpostcode.org/

⁹⁴ http://irl.okfn.org/2014/04/

⁹⁵ http://www.osi.ie/

⁹⁶ <u>http://www.cso.ie/en/census/census2011reports/census2011thisisirelandpart1/census2011boundaryfiles/</u>

⁹⁷ https://jetstream.gsi.ie/iwdds/index.html



available via this system. Digital data is available free of charge. Much of the data from the INFOMAR project is made freely available, which has had proven economic impact (see section 15.3).



Figure 7: GSI Interactive Web Data Delivery System

7.3.3 National Spatial Data Strategy

Similar to private customers, public bodies currently have to purchase licenses in order to access both address data from GeoDirectory and map data from OSi. This can be a huge strain for public bodies and there are cases where some public bodies have chosen not to get map data from the national mapping agency and instead use commercial or open map providers, such as Navteq, Google or OpenStreetMap. Price is not the only barrier to reusing address and map data; strict license terms also restricts the redistribution of this data. At GIS Ireland 2013, the then CIO, Bill McCluggage, spoke about the inhibitors to the sharing of spatial information within the public sector being:⁹⁸

- The need for a National Spatial Information Strategy (NSIS)
 - Led by a clearly mandated agency
 - To facilitate the governance and standardisation of public sector use of spatial information
- A central technical system (national spatial information portal)
 - Enabling the discovery, viewing, downloading & associated services
- A lack of a Pan-Government national mapping agreement
 - Giving all public sector access to the national core reference data (Ordnance Survey Ireland's digital mapping)

⁹⁸ <u>http://www.irlogi.ie/wp-content/uploads/2013/10/IRLOGI-Keynote-Bill-McCluggage.pdf</u>



A specific action to 'deliver improved access to geo-spatial information for public services, businesses and citizens by developing a National Spatial Data Strategy and National Mapping Agreement' is included in the Public Service Reform Plan 2014-2016 and is currently being led by the OSi. The Department of Environment, Community & Local Government (DECLG) and OSi have also developed GeoPortal.ie, a national spatial information portal that aims to make geographic information produced by Irish public bodies easy to find and use. GeoPortal.ie was developed with guidance and oversight from Irish public bodies on the Irish Spatial Data Infrastructure (ISDI) project steering committee.

Another recent development from OSi is the newly developed spatial data storage model known as Prime2⁹⁹. This authoritative spatial reference framework ensures consistent and unique referencing of topological state information, both in terms of location and in terms ID tag referencing. Globally Unique Identifiers (GUIDs) will be used to uniquely identify spatial objects.

7.4 Recommendations

- a) Explicitly outline an Open Spatial Data Strategy as part of the National Spatial Data Strategy.
- b) The Open Spatial Data Strategy should include:
 - a phased release plan of OSi data as Open Data similar to what is done by Ordnance Survey in the UK. The low-hanging fruit are the datasets currently distributed by the CSO, namely Electoral Divisions and Small Areas, which should be made available as Open Data. We also recommend to publish the Prime2 model and GUID structure as Open Data. We recommend the OSi moves towards Open Data practices.
 - $\circ~$ a phased release plan of GeoDirectory address data as Open Data, similar to in Denmark.
 - the publication of postcodes as Open Data.
 - i. If postcodes are not to be released as Open Data, we recommend the investigation into other means to provide free public access to the information, for example, a lookup service should be publicly and freely available that matches postcode and statistical boundaries.
- b) Provide full access to address and postcode data in the National Mapping Agreement, for improved data sharing between public bodies.
- c) Include a representative of the National Spatial Data Strategy on the Open Data Governance Board to ensure both strategies are aligned.
- d) Carry out a full investigation into the potential and economic benefits of publishing all Irish address and map data as Open Data, including alternative sustainability models for OSi and GeoDirectory.

⁹⁹ http://osi.ie/About-Us/Prime-2.aspx



8 Best Practice Standards for Data Privacy

8.1 Overview

Open Data should not include personal or sensitive data that could be linked back to an individual. The only exception to this is information about individuals that is legally in the public domain for transparency purposes, e.g. expenses of a particular public servant. In all cases of Open Data the citizen's fundamental right to privacy must be protected and the data publisher must comply with data protection principles set out by the Office of the Data Protection Commissioner¹⁰⁰.

Open Data can be categorised into non-personal data that does not have its origins in information concerning individuals, such as public-transport timetables, environmental indicators or broadband coverage, or non-personal data that has its origins in information concerning individuals, such as A&E admittance numbers, crime rates, or household deprivation statistics. Such administrative/statistical data can be very useful as Open Data, without infringing on the privacy rights of an individual. Best practice for removing identifiable information from statistical data is to (a) anonymise the data, so that no personally-identifying information is included, and/or (b) aggregate the data using statistical methods for protecting personally identifiably information.

8.2 International Practice

Anonymisation does not simply entail removing names or other unique identifiers. As discussed in his journal article 'Broken Promises of Privacy: Responding to the Surprising Failure of Anonymization', Paul Ohm outlines how people described in anonymised datasets can be easily reidentified or deanonymised (Ohm, 2010). For example, Ohm describes Latanya Sweeney's study on 'Uniqueness of Simple Demographics in the U.S. Population', which shows that a combination of ZIP code, birth date (including year) and sex can uniquely identify 87 percent of the American population. Therefore great care and accepted statistical methods must be used when anonymising and aggregating data. There will always be a trade-off between privacy and granularity when aggregating data. Ohm claims that 'Data can be either useful or perfectly anonymous but never both.' Further research is being carried out in this field.

Ross Anderson, Professor of Security Engineering at Cambridge, recently gave a lecture at the Open Data Institute on 'Why Anonymity Fails'¹⁰¹. In his lecture, he highlighted the case of the healthcare data, which is currently being released in the UK, and the issues surrounding the anonymisation of this data. For example, Anderson describes how Hospital Episode Statistics (HES) that have identifiable information like postcode and date-of-birth removed, still have a HES ID which was generated from the postcode and date-of-birth and so can be reidentified. There is also currently a lot of negative media coverage on the UK's HM Revenue & Custom's plan to sell tax data to private firms¹⁰².

Ohm recommends that in order to "restore balance to privacy law after the failure of anonymisation, regulators must do more. They should weigh the benefits of unfettered information flow against the costs of privacy harms. They should incorporate risk assessment strategies that deal with the reality

¹⁰⁰ <u>https://www.dataprotection.ie/</u>

¹⁰¹ http://theodi.org/lunchtime-lectures/friday-lunchtime-lecture-why-anonymity-fails

¹⁰² http://www.theguardian.com/politics/2014/apr/18/hmrc-to-sell-taxpayers-data



of easy reidentification as the old personally-identifiable-information model never could. Ultimately, they should consider a series of factors to identify situations in which harm is likely and whether it outweighs the benefits of unfettered information flow. When they identify harm that outweighs these benefits, they should regulate, focusing on narrow contexts and specific sectors rather than trying to regulate broadly across industries."

Anderson's take-away from his ODI lecture on Why Anonymity Fails was¹⁰¹:

- Think safety and privacy, not 'security'
- Scale matters! A national system with 50m records is too big a target (even 5m)
- Governance failure has real safety costs
- Privacy failings limit access to healthcare, especially for the vulnerable
- Similar debates in the U.S.A, Norway, Austria...
- Above all we need honesty we need to stop pretending that pseudonyms protect privacy

8.3 Current Irish Practice

In Ireland, data protection is governed by the office of the Data Protection Commissioner, established under the 1988 Data Protection Act¹⁰³. The Data Protection Amendment Act, 2003, updated the legislation, implementing the provisions of EU Directive 95/46. The Acts set out the general principle that individuals should be in a position to control how data relating to them is used. The Data Protection Commissioner is responsible for upholding the rights of individuals as set out in the Acts, and enforcing the obligations upon data controllers. The Commissioner is appointed by Government and is independent in the exercise of his or her functions. Individuals who feel their rights are being infringed can complain to the Commissioner, who will investigate the matter, and take whatever steps may be necessary to resolve it.

Data protection is an important element of Open Data that should be clearly addressed. Many public agencies hold personal data on individuals, for example the Department of Health, Department of Social Protection, and Revenue. This personal data should not be published as Open Data. However aggregated, statistical data could be released as Open Data, if standardised statistical methods are used to ensure the data can not be deanonymised. These statistical methods should take into account Ireland's small geographic and demographic size, as well as the dispersion of rural dwellings. Aggregation techniques are already used by public agencies when sharing data with other public bodies or with research institutions, with expert guidance from in-house statisticians and the Central Statistics Office.

In our interview with the Data Protection Commissioner, Billy Hawkes, about data protection and Open Data, he highlighted the following key points:

- The rights of the individual to privacy must be protected
- Usage of personal data, even aggregated usage, should be transparent to the individual

In his Twenty-Fifth Annual Report 2013, the Data Protection Commissioner expressed a serious concern that the new Postcode system, Eircode (see section 7.3.1), a public database linking a code

¹⁰³ <u>http://dataprotection.ie</u>



to a single unit residential address could be considered as being personal data of the occupants of that dwelling (Data Protection Commissioner, 2014).

"In essence the unique seven character postcode goes beyond what an "address" is because, through the use of modern technology and "Big Data", it can be easily assimilated into any sort of electronic device or dataset which could in turn be used for any purpose, ranging from State services to commercial exploitation. In this regard, we expressed the concern that such datasets which would be verified by this postcode could have the potential for the ready identification of sensitive information about individuals, examples of which would be to identify specific localities that have patterns of crime or illness"

8.4 Recommendations

- a) Do not publish personal data as Open Data
- b) Abide by Data Protection law
- c) Do not use data privacy as an excuse not to publish Open Data if there are no data privacy concerns.
- d) For the publication of aggregated, statistical data, use standardised statistical methods
- e) If required, seek guidance on statistical methods from:
 - a. in-house statistician
 - b. Central Statistics Office
- f) If you have any concerns in relation to data privacy, contact the Data Protection Commissioner.



9 Best Practice Standards for Licensing

9.1 Overview

What makes Open Data 'open' is that it is free to be used, including for commercial use. Associating an Open License with Open Data is necessary to ensure the legal grounding for its potential reuse. For a data user (individual/ organisation/company/etc.) wishing to use and build on top of public data, they require assurance of what they legally can and can't do with the data. If no license is specified, each data-user must contact the data publisher on a case-by-case basis. For a data publisher an Open License allows them to define the terms under which the data can be used. The main terms that are specified in Open Licenses are *attribution* and *share-alike*. Generally speaking, attribution means that the data user must credit the data publisher for the original creation and share-alike means that the data user must license their new creations under identical terms as the original data. Attribution can help boost visibility of Open Data initiatives.

Terms that are not generally accepted as being 'open' are *no-derivatives* and *non-commercial*. Noderivatives means that a data user can only use the original data if it is passed along unchanged and in whole. Non-commercial means that a data user cannot use the data for commercial purposes.

OKFN's OpenDefinition has compiled a list of what they propose are conformant and nonconformant Open Licenses¹⁰⁴. Figure 8 lists the conformant and recommended licenses, Figure 9 lists the conformant but non-reusable licenses, and Figure 10 lists the conformant but little used, discontinued or deprecated licenses. The non-reusable licenses are Open Licenses only for direct use by a particular entity, e.g. a government. Domain refers to the domain of application, i.e. what type of material this license should/can be applied to. 'BY' means requires attribution and 'SA' means requires share-alike.

¹⁰⁴ http://opendefinition.org/licenses/



License	Domain	Ву	SA	Comments	
Creative Commons CCZero (CC0)	Content, Data	Ν	N	Dedicate to the Public Domain (all rights waived)	
Open Data Commons Public Domain Dedication and Licence (PDDL)	Data	Ν	N	Dedicate to the Public Domain (all rights waived)	
Creative Commons Attribution 4.0 (CC-BY-4.0)	Content, Data	Y	N		
Creative Commons Attribution (CC-BY)	Content	Y	N	All versions 1.0-3.0, including jurisdiction "ports"	
Open Data Commons Attribution License (ODC-BY)	Data	Y	N	Attribution for data(bases)	
Creative Commons Attribution Share-Alike 4.0 (CC-BY-SA-4.0)	Content, Data	Y	Y		
Creative Commons Attribution Share-Alike (CC-BY-SA)	Content	Y	Y	All versions 2.0-3.0, including jurisdiction "ports"; version 1.0 is little used and not recommended because it is incompatible with future versions	
Open Data Commons Open Database License (ODbL)	Data	Y	Y	Attribution-ShareAlike for data(bases)	
Free Art License (FAL)	Content	Y	Y		

Figure 8: OpenDefinition Conformant Recommended Licenses¹⁰⁴

License	Domain	Ву	SA	Comments
UK Open Government Licence 2.0 (OGL-UK-2.0)	Content, Data	Y	N	For use by UK government licensors; re-uses of OGL-UK-2.0 material may be released under CC-BY or ODC-BY. Note version 1.0 is not approved as conformant
Open Government Licence – Canada 2.0 (OGL-Canada-2.0)	Content, Data	Y	N	For use by Canada government licensors. Note version 1.0 is not approved as conformant

Figure 9: OpenDefinition Conformant Non-reusable Licenses¹⁰⁴



License	Domain	Ву	SA	Comments
GNU Free Documentation License (GNU FDL)	Content	Y	Y	Only conformant subject to certain provisos
MirOS License	Code, Content	Y	N	Little used
Talis Community License	Data	?	?	Deprecated in favour of ODC licenses
Against DRM	Content	Y	Y	Little used
Design Science License	Data	Y	Y	Little used
EFF Open Audio License	Content	Y	Y	Deprecated in favor of CC-BY-SA

Figure 10: OpenDefinition Conformant but Little Used, Discontinued or Deprecated Licenses¹⁰⁴

Most of the conformant, recommended licenses are either Open Data Commons or Creative Commons. Open Data Commons is an OKFN project to define legal tools for Open Data¹⁰⁵. Creative Commons is a non-profit organization that enables the sharing and use of creativity and knowledge through free legal tools¹⁰⁶. The Creative Commons website states:

Our free, easy-to-use copyright licenses provide a simple, standardized way to give the public permission to share and use your creative work — on conditions of your choice. CC licenses let you easily change your copyright terms from the default of "all rights reserved" to "some rights reserved." Creative Commons licenses are not an alternative to copyright. They work alongside copyright and enable you to modify your copyright terms to best suit your needs.

The latest version of CC licenses, CC 4.0, were introduced in November 2013¹⁰⁷. Creative Commons claim that the 4.0 licenses — more than two years in the making — are the most global, legally robust licenses produced by CC to date, and that they have incorporated dozens of improvements that make sharing and reusing CC-licensed materials easier and more dependable than ever before. The 4.0 licenses are extremely well-suited for use by governments and publishers of public sector information and other data, especially for those in the European Union.

As demonstrated in Figure 9, there are also conformant, but non-reusable licenses. For example the UK Open Government License for public sector information (OGL)¹⁰⁸. Some data producers choose to create their own license to address elements that may not be included in general Open Licenses.

For more information on selecting an Open Data License, see 'Licensing Open Data: A Practical Guide' (Korn & Oppenheim, 2011)

¹⁰⁵ http://opendatacommons.org/

¹⁰⁶ http://creativecommons.org/

¹⁰⁷ https://creativecommons.org/weblog/entry/40768

¹⁰⁸ http://www.nationalarchives.gov.uk/doc/open-government-licence/version/2/



9.1.1 Metadata Licensing

In addition to publishing the data under an Open License, the metadata should also be made available under an Open License. This ensures that data is discoverable and increases its potential use as people can freely read descriptions of data. The UK's JISC -funded Discovery programme looks specifically at creating a 'metadata ecology' to support better access to vital collections data in libraries, archives and museums and facilitate new services for UK education and research. They have defined a set of Discovery Open Metadata Principles¹⁰⁹. The Open Data Support Project recommends to publish metadata under a public domain license that does not require attribution, as this can lead to attribution stacking¹¹⁰.

Metadata should always be published under an Open License, even if the underlying data is not. For example, Inspiring Ireland is a project to o share high quality images of Ireland's treasured cultural assets in a single curated, interactive website¹¹¹, involving Digital Repository of Ireland, the Department of Arts, Heritage and the Gaeltacht, and National Cultural Institutions. While some of the objects included subject copyright, it was important for the project that all of the metadata is Open.

9.1.2 Service Level Agreements (SLAs)

All commonly used licenses for Open Data declare that data is provided as-is. The publisher does not guarantee the data's correctness, timeliness, its provision in a particular format, or its future availability. Anything is subject to future change or correction. Organisations that rely on public data may sometimes require stronger commitments from the data publisher. Such requirements can be met through Service Level Agreements (SLAs). SLAs are contracts that may cover aspects such as:

- Reliability, e.g. service uptime
- Timeliness, e.g. publication schedule
- Stability, e.g. consistent and stable formats, schemas, and use of standards
- Support, e.g. access to technical staff for troubleshooting

While it is best practice to publish Open Data free-of-charge, there can be justification to charge a fee for SLAs if they require the data publisher to provide additional data services and/or infrastructure on top of the original data.

9.2 International Practice

Open Data should be released under one of the OpenDefinition Conformant Recommended Licenses above or under a conformant, custom-created Open License, such as the UK's OGL, Germany's Datenlizenz Deutschland¹¹², Norwegian Licence for Open Government Data (NLOD)¹¹³ or Canada's Open Government License¹¹⁴. Creative Commons licenses are being increasingly used, for example by Australia, New Zealand and the Netherland. is a popular Open License. Creative Commons (CC) 4.0 is the most recent CC license version and can be used internationally. Earlier versions of CC

¹⁰⁹ <u>http://discovery.ac.uk/businesscase/principles/</u>

¹¹⁰ <u>http://www.slideshare.net/OpenDataSupport/licence-your-data-metadata</u>

¹¹¹ http://inspiring-ireland.ie/

¹¹² https://www.govdata.de/en GB/lizenzen

¹¹³ http://data.norge.no/nlod/en

¹¹⁴ http://data.gc.ca/eng/open-government-licence-canada



licenses that were used were those ported/localised to particular jurisdictions, for example, Australia's CC BY 3.0 AU¹¹⁵.

The UK Open Government License (OGL) was developed by the UK National Archives as part of the UK Government Licensing Framework (UKGLF)¹¹⁶. Under the OGL data users are free to:

- copy, publish, distribute and transmit the Information;
- adapt the Information;
- exploit the Information commercially and non-commercially for example, by combining it with other Information, or by including it in your own product or application.

But have to:

• acknowledge the source of the Information by including any attribution statement specified by the Information Provider(s) and, where possible, provide a link to this licence;

The OGL states that its terms are compatible with the Creative Commons Attribution License 4.0 and the Open Data Commons Attribution License, both of which license copyright and database rights, which is important in terms of data interoperability.

However, many datasets that are included in Open Data portals are not only not associated with an Open License, but are not associated with any license. This was reported by Thomas Levine in an article on 'Open Data Licensing'¹¹⁷. Levine examined metadata from 102 Open Data portals and found that in many cases no license is specified (see Figure 11 and Figure 12). This is supported by the results of the Open Data Barometer, where only 12% of datasets surveyed were available under an Open License¹¹⁸. (Note, not all datasets surveyed as part of the Open Data Barometer study were available through an Open Data Portal).

¹¹⁵ <u>http://creativecommons.org/licenses/by/3.0/au/</u>

¹¹⁶ http://www.nationalarchives.gov.uk/information-management/uk-gov-licensing-framework.htm

¹¹⁷ http://thomaslevine.com/!/open-data-licensing/

¹¹⁸ http://www.opendataresearch.org/dl/odb2013/ODB-2013-Datasets-Scored.csv





Figure 11: Proportion of datasets in Open Data Portal with a license (Thomas Levine study)¹¹⁷



Figure 12: Data license specified across 100 portals (Thomas Levine study)¹¹⁷

9.3 Current Irish Practice

The most commonly used license for Open Data in Ireland today is the Irish PSI license, the 'Licence to Re-use Public Sector Information under the European Communities (Re-use of Public Sector Information) Regulations 2005 (SI 279/2005)¹¹⁹. This is used by data.fingal.ie and in some datasets in Dublinked. Some Irish data catalogues define a license at a dataset level based on the original provider of the datasets it catalogues, such as Dublinked and the Irish Spatial Data Exchange. Some data catalogues have defined a new set of term, such as the Marine Data Online and EPA GeoPortal, and some data catalogues have no license defined, such as the AIRO Datastore.

¹¹⁹ http://psi.gov.ie/files/2010/03/PSI-Licence.pdf



Feedback received in many of our interviews with public bodies showed that licensing is a huge issue. Public agencies want a recommendation on a standard license that can be used across the Irish public sector for the publication of Open Data. At the Dublinked DubMeets workshop on the Real-Time Passenger Information API, developers explained that there is a need for a common understanding of what can be done with data. As highlighted in the OKFN Open Data Commons project 'Licensing is important because it reduces uncertainty'¹²⁰.

9.3.1 Issues with the PSI license

The current Irish PSI license is not considered an Open License, as it places a number of restrictions on re-use and distribution that go beyond the requirements of attribution and share-alike. For example, the license does not permit re-use "for the principal purpose of advertising or promoting a particular product or service" or "in support of an immoral purpose".

These restrictions have been found to present a legal obstacle to the re-use of Irish public sector information in information products. Re-users who create information products, services, or integrated datasets comprising Open Data from multiple sources, are required to pass on such restrictions in the terms and conditions for their own products. This can be impractical, or impossible where these restrictions are incompatible with other licensing requirements.

For example, the OpenStreetMap¹²¹ project, a global effort by a community of mappers to create a free and openly licensed map of the world, often relies on public data sources, but cannot use data published under the Irish PSI License. To use data under the PSI license, the world map's license would have to be changed to incorporate the additional restrictions quoted above. This would be impractical due to the global nature of the project, and the result could no longer be open.

9.4 **Recommendations**

- a) Associate all Open Data with an Open License
- b) The Open License:
 - Should allow derivatives
 - Should allow commercial use
 - May require attribution
 - May require share-alike
- c) Identify a standard Open License that should be associated with all Irish Open Data. The options are to:
 - i. adopt an existing Open License (such as CC 4.0),
 - ii. review the existing Irish PSI license, or
 - iii. create a new Open License

We recommend the adoption of CC 4.0, withstanding a legal examination to be overseen by SIG to ensure its compatibility in an Irish system..

d) Associate all metadata with the standard Irish Open License

¹²⁰ http://opendatacommons.org/faq/

¹²¹ http://www.openstreetmap.org


10 Best Practice Standards for Publishing High-Quality Data

The goal of Open Data is to facilitate data reuse, both for civic and economic benefit. As described in the previous section, associating the data with an Open License is imperative. However, to facilitate maximum reuse of the Open Data, it is also important to ensure it is of high-quality. This means that the data should be in an open, machine-readable format, be modelled according to accepted standards, be associated with open, standardised metadata, and utilise unique identifiers.

10.1 Data Formats

10.1.1 Overview

In order to ensure Open Data is as easy as possible for potential data users to reuse, it should be available in an open (non-proprietary), machine-readable format. This not only facilitates processing and analysis of each dataset, but it also supports the integration of multiple datasets. Tim Berners-Lee, the inventor of the Web and Linked Data initiator, suggested a 5-star deployment scheme for Open Data¹²². The greater the number of stars, the more reusable the data is, and the easier it is to reuse and interconnect data.



Figure 13: 5-Star Open Data Scheme¹²³

The 5-star Open Data Scheme can be explained as follows:

Publish data on the Web under an Open License

Publishing data on the Web is the first step of Open Data. Too often data is locked away in paper form in a filing-cabinet or library, or in electronic format on someone's computer or in a database. In all of these cases it is difficult and labour-intensive to find, share and reuse data. Once the data is on the Internet, it can potentially be discovered and viewed by anyone. This can help alleviate the burden of data requests, such as FOI or PSI requests from the public or data-sharing requests for

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¹²² <u>http://www.w3.org/DesignIssues/LinkedData.html</u>

¹²³ http://5stardata.info/



non-sensitive information from other public bodies. An Open License is critical for all Open Data, as it defines how the data can be reused in legal terms. This is described in more detail in section 9.

Publish data in a machine-readable, structured format

Data can be published in many different formats; some are easy for humans to read, understand and edit, while some are better for computer processing. For example a picture or a diagram is easy for a human to understand, but can be difficult for a computer to interpret. Similarly a table full of numbers can be difficult for a human to comprehend, but a computer can process this information easily. To increase the reusability of Open Data, it should be published in machine-readable, structured formats. For example, a PDF document is not machine-readable, whereas an Excel spreadsheet is.

\star \star \star Publish data in a non-proprietary format

 $\star \star$

Even if data is available in machine-readable formats, it can still be difficult to access if it is in a proprietary format. This means that you need certain software that come with license fees and restrictions in order to open the data. An Excel spreadsheet is an example of this, as Microsoft Excel software is required to open the spreadsheet. To bypass these restrictions, it is best-practice to use non-proprietary data formats that can be created and read using Open tools, for example CSV is a non-proprietary format for representing tabular data. Figure 14 shows where a variety of common data formats are positioned in terms of machine-readability and proprietary.



The fourth star indicates that the Open Data is moving towards Linked Open Data. This means that



not only is it easy to access and reuse data, but it is also easy to interconnect data from different data sources (e.g. different databases, or different organisations). The first step is to use URIs to uniquely identify objects or concepts. A URI can be used as pointers and should provide useful information about the object/concept it defines. A native way to represent data is using open standards such as RDF, however other formats such as Atom can be converted/mapped, if required. More information on how to create URIs is available in section 10.4.

 $\star \star \star \star \star$ Link your data to other data to provide context

To achieve 5-star Linked Open Data, the published data should include links to already existing datasets on the Web. This is achieved by other people defining URIs for their data, as defined in the previous step. As the Web of Data grows, there are more and more Linked Open datasets that can be referred to in order to provide context to data. The Linked Open Data (LOD) Cloud depicts datasets that have been published according to the Linked Data principles¹²⁴. These datasets are from a variety of domains, for example, government, publications, life sciences, geographic, and can be used for reference or enrichment purposes.



Figure 15: Linked Open Data Cloud124

¹²⁴ http://lod-cloud.net/



10.1.2 International Practice

International Open Data Best Practice encourages the publication of machine-readable, nonproprietary data [The 8 Principles of Open Government Data, G8 Open Data Charter, Open Data Handbook (OKFN), Guidelines for Open Data Policies (Sunlight Foundation)]. Most public bodies currently aim towards 3-star data with some work towards 5-star data. In many cases, datasets are available in multiple formats, with at least one being 3-star. The OKFN Open Data Handbook provides an overview of commonly used Open Data file formats¹²⁵.

Some of the most common formats that are currently used in Open Data portals are:

- Structured: HTML, XML, CSV, XLS, JSON, RDF
- Unstructured: ZIP, PDF, ODS, DOC
- Geospatial data: WMS, KML, SHP, WFS, GML

Comma-Separated Values (CSV) files store tabular data (numbers and text) in plain-text form. CSV is one of the most common and recommended formats for Open Data. The W3C CSV on the Web Working Group was set up to provide technologies whereby data dependent applications on the Web can provide higher interoperability when working with datasets using the CSV or similar formats¹²⁶.

10.1.3 Linked Open Data

Linked Open Data refers to 5-star data, as outlined in the previous section. Linked Data allows data to be opened up and brought together to create new and innovative solutions, in enterprise, government and academia. By attaching meaning to data and content, semantic links across data are forged, breaking down barriers that traditionally divide datasets from each other. Instead of data being stored in data silos, a web of data is created, facilitating advanced discovery, accessibility and analysis. Linked Data can be updated and added to easily widening its reach.

Linked data is a set of design principles for sharing machine-readable data on the Web for use by public administrations, business and citizens. (ISA European Commission, 2013)

Tim Berners-Lee defined the four design rules of Linked Data as ¹²⁷:

- 1. Use Uniform Resource Identifiers (URIs) as names for things
- 2. Use HTTP URIs so that people can look up those names.
- 3. When someone looks up a URI, provide useful information, using the standards (Resource Description Framework (RDF), SPARQL)
- 4. Include links to other URIs. so that they can discover more things.

For a more detailed overview of Linked Data, see the EC's Open Data Support 'Introduction to Linked Data' presentation, available on Slideshare¹²⁸.

Linked Data can only be considered as Linked OPEN Data if it is made available under an Open License. There are many Linked Open Data projects effectively underway. In a recent study on the

¹²⁵ <u>http://opendatahandbook.org/en/appendices/file-formats.html#an-overview-of-file-formats</u>

¹²⁶ https://www.w3.org/2013/csvw/wiki/Main_Page

¹²⁷ http://www.w3.org/DesignIssues/LinkedData.html

¹²⁸ http://www.slideshare.net/OpenDataSupport/introduction-to-linked-data-23402165



business models for publishing Linked Open Government Data (LOGD), the researchers identified more than 30 candidate case studies on LOGD from a wide variety of domains, as shown in For more practical information on how to publish LOGD, see the *Best Practices for Publishing Linked Data* from the W3C Government Linked Data Working Group.

Table 8. The findings of the study showed that the main value propositions of data providers publishing LOGD are:

- **Flexible data integration**: LOGD facilitates data integration and enables the interconnection of previously disparate government datasets.
- Increase in data quality: The increased (re)use of LOGD triggers increasing demands to improve data quality. Through crowd-sourcing and self-service mechanisms errors are progressively corrected.
- **New services**: The availability of LOGD gives rise to new services offered by the public and/or private sector.
- **Cost reduction**: The reuse of LOGD in eGovernment applications leads to considerable cost reductions.

For more practical information on how to publish LOGD, see the *Best Practices for Publishing Linked Data* from the W3C Government Linked Data Working Group¹²⁹.

СС	PSI domain(s)	Case Study		
	Geographical	AT - Renewable Energy and Energy Efficiency Partnership (REEEP) http://www.REEEP.org		
	Geographical	AT - Austrian Geological Survey (GBA) http://www.geologie.ac.at/services/thesaurus/		
	Cultural/library	BE - Vlaams Theater Instituut – Travelogue <u>http://vti.be/nl/linked-data</u>		
	Cultural/library	DE - German National Library <u>http://dnb.de/EN/lds</u>		
==	Business,	DK - Danish Agency for Digitisation		
	Geographical	http://uk.fm.dk/publications/2012/good-basic-data-for-everyone/		
$\langle \rangle$	Social data	EU - Buildings Performance Institute Europe <u>http://www.buildingsdata.eu/</u>		
	Cultural/library	EU – Europeana <u>http://data.europeana.eu</u>		
$\langle \rangle$	Social data	EU - European Commission - Directorate General for Consumers & Health http://ec.europa.eu/semantic_webgate_acceptance/query		
$\langle \rangle$	Social data	EU - European Environment Agency (EEA) <u>http://semantic.eea.europa.eu/</u>		
$\langle \rangle$	All	EU - European Union Data Portal <u>http://open-data.europa.eu/</u>		
$\langle \rangle$	Social data	EU – Eurostat <u>http://eurostat.linked-statistics.org/</u>		
	Legal	EU - Publications Office of the European Union http://publications.europa.eu/mdr/		

Table 8: List of Candidate Case Studies in the Study on Business Models for Linked Open Government Data (Archer, Dekkers, Goedertier, & Loutas, 2013)

¹²⁹ <u>https://dvcs.w3.org/hg/gld/raw-file/default/bp/index.html</u>



		EU - Renewable Energy and Energy Efficiency Partnership			
$\langle 0 \rangle$	Social data	http://data.reegle.info/ , <u>http://api.reegle.info/</u>			
1	Meteorological	ES - AEMET – Spanish Meteorological Office <u>http://aemet.linkeddata.es/</u>			
	Business, Geographical	IT - Agenzia per l'Italia Digitale <u>http://www.digitpa.gov.it/</u>			
	Geographical	Regione Emilia-Romagna <u>http://geoportale.regione.emilia-romagna.it/en</u>			
	Geographical	IT - Trentino government linked open geo-data http://sgc.disi.unitn.it:8080/sgcmashup/			
	Geographical	NL - Amsterdam-Amstelland Fire Department <u>http://netage.nl/en/</u>			
	Geographical	NL - Building and address register http://lod.geodan.nl/, http://bag.vrom.nl			
	Social data, business data	NL – Stelselcatalogus: linked metadata of Dutch base registers http://www.linkeddataoverheid.nl https://data.overheid.nl/linkeddata			
#	Business	NO – Enhetsregisteret – Norwegian Company Register <u>http://sws.ifi.uio.no/enhetsregisteret/</u> <u>http://data.computas.com</u>			
-	Cultural/library	ultural/library SE - National Union Catalogue - Libris http://librisbloggen.kb.se/tag/linked-data/			
	Business	SE - Bolagsverke - Swedish Company Register http://www.skatteverket.se/			
-	Cultural/library	SE - Swedish National Heritage Board – SOCH <u>http://www.ksamsok.se</u>			
7 N N N	Cultural/library	al/library UK – BBC <u>http://www.bbc.co.uk/blogs/internet/posts/Linked-Data-Connecting-</u> <u>together-the-BBCs-Online-Content</u>			
	Business	UK - Companies House http://data.companieshouse.gov.uk/doc/company/03580655 (example)			
	Geographical	UK - Department of Environment, Food and Rural Affairs (DEFRA) http://data.gov.uk/location			
	Social data	UK - Effective Service Delivery Toolkit (ESD-Toolkit) http://www.esd.org.uk/esdtoolkit			
	Legal	UK - National Archives http://legislation.gov.uk, <u>http://www.gazettes-</u> online.co.uk/			
	Business	UK – OpenCorporates <u>http://opencorporates.com/</u>			
	Geographical	UK - Ordnance Survey <u>http://data.ordnancesurvey.co.uk/</u>			
•	Social data	UN - Food and Agriculture Organisation of the United Nations (FAO) <u>http://aims.fao.org/standards/agrovoc/about</u>			
	Social data U.S Clinical quality information about U.S. hospitals http://www.healthdata.gov/cqld				
	Cultural/library	U.S Library of Congress <u>http://id.loc.gov/</u>			
	Cultural/library	U.S Pacific Northwest National Library <u>http://www.pnnl.gov/</u>			
-	Cultural/library	OCLC http://www.oclc.org/data			



10.1.4 Current Irish Practice

The use of data formats among Irish public sector bodies is similar to that in other countries embarking on Open Data initiatives, in that most data is published with one or two stars (see Figure 13). The proprietary Microsoft Excel and PDF formats are widespread. The PC Axis format (.px) is particularly relevant for users of Irish Open Data due to its use at the CSO as a dissemination format. PC-Axis is a family of software for presenting statistical information and is a machine-readable, semi-proprietary format (not open-source). Its development is led by Statistics Sweden.

A number of public sector bodies are beginning to publish data using four and five star data formats and practices – Linked Data. For example:

- The CSO publishes the Census 2011 and associated classifications as Linked Data through the data.cso.ie portal¹³⁰.
- The Dublinked data portal for the Dublin region publishes its metadata as Linked Data¹³¹.
- The Digital Repository of Ireland (DRI) publishes the Logainm database of place names as Linked Data at data.logainm.ie.

Ireland is ideally positioned to lead in the publication of 5-star Linked Open Data. With the presence of leading Linked Data research Institute, the Insight Centre for Data Analytics, as well as many organisations already publishing Linked Data, e.g. the CSO, RTE, DRI, and a healthy SME community, e.g. Derilinx, Seevl, Mac, the level of expertise in Semantic Web technologies in Ireland is cutting-edge. We therefore recommend a dedicated 5-star data section of the Open Data IRL Portal, with at least 15% of datasets published as 5-star Linked Data. Over the first two years, this should grow to 30%, with the goal of having a fully compliant 5-star Linked Data platform after five years.

10.1.5 Recommendations

- a) All datasets published on the Open Data IRL Portal should be available as at least 3-star data.
- b) Datasets should be published in multiple formats, if available.
- c) Convert legacy data into non-proprietary and machine-readable formats with at least 3-star rating, starting with the high-value datasets.
- d) The publication of at least 3-star data should be built into data publication processes of all public bodies.
- e) Establish Ireland as a leader in the publication of 5-star Linked Open Data
- f) Create a dedicated 5-star data section of the Open Data IRL Portal
 - a. After one year, at least 15% of datasets should be published as 5-star Linked Open Data.
 - b. After two years, at least 30% of datasets should be published as 5-star Linked Open Data.
 - c. After five years, the Open Data IRL portal should be a fully compliant 5-star Linked Open Data platform.

¹³⁰ <u>http://data.cso.ie/</u>

¹³¹ http://www.dublinked.ie/datastore/advanced-search.php



10.2 Metadata

10.2.1 Overview

Metadata provides structured information about a resource, for example, metadata about a food product includes its ingredients, nutritional information, barcode, origin, best-before date, etc. Metadata about a dataset includes its title, description, theme, creation data, temporal coverage, etc. (see Figure 16 for an example). Metadata is an integral element of data management, as it facilitates the discovery and efficient use of the data. Imagine a dataset, a CSV file containing traffic sensor data, did not have any metadata associated with it. In order to know what the file contained or if the data was relevant, the potential user would have to open the file and try and guess what the data meant. In many cases, a file may not even have a filename that is explanatory, e.g. 'doc364.csv'. While this may not seem like a problem if there is one dataset, it rapidly escalates if there are hundreds or thousands of datasets. This is why metadata is essential and should be available in both human and machine-readable formats. If metadata is available, datasets can quickly be identified that were created in a certain time-period, originated at a certain organisation, are relevant to a certain category, etc.

Added to data.gov.uk	25/10/2013	Extent	Fingal
Theme	En improved	Agency	Fingal County Council
meme	Environment	Update Frequency	At least every two weeks from mid-May to September
Mandate	http://www.legislation.gov.uk/uksi/2013/1675/made	Date range	2013
Temporal coverage	1988 - 2014	Date Published	7/12/2013
Geographic coverage	England, Wales	Date of last Revision	9/6/2013
Update frequency	Weekly In season	License Summary	License
Date added computed	No value	CSV	/datasets/csv/BathingWaterQuality2013.csv
Date updated computed	No value	XML	/datasets/xml/BathingWaterQuality2013.xml

Figure 16: Extracts of Metadata on Bathing Water Quality from http://data.fingal.ie and http://data.gov.uk

Metadata should be created along with the dataset, published alongside the data and updated whenever the dataset is updated. While defining metadata is good data-management practice, in reality it is often missing or of poor quality. This is why it is essential to embed standardised metadata production and management into all legacy and new data processes. The production of metadata can be automated at a system-level, yet may also need some manual data entry, such as a human description of the data. Important to note is that metadata should also be available under an Open License, as described in section 9.1.1.

10.2.2 International Practice

There are a number of international metadata initiatives; some are domain-specific and some are general, such as Dubin Core and W3C's DCAT.

The Dublin Core Metadata Initiative (DCMI)¹³² is an open organization supporting innovation in metadata design and best practices across the metadata ecology. DCMI supports shared innovation in metadata design and best practices across a broad range of purposes and business models. DCMI Metadata Terms¹³³ provides a one-stop source of up-to-date information on DCMI metadata terms,

¹³² <u>http://dublincore.org/</u>

¹³³ http://dublincore.org/documents/dcmi-terms/



including the classic Dublin Core Metadata Element Set, the DCMI Type Vocabulary, and resource classes used as formal domains and ranges. Supporting documents includes.

The W3C international standard for modelling metadata is the Data Catalog Vocabulary (DCAT)⁴⁰. DCAT is an RDF vocabulary designed to facilitate interoperability between data catalogs published on the Web. This document defines the schema and provides examples for its use. By using DCAT to describe datasets in data catalogs, publishers increase discoverability and enable applications easily to consume metadata from multiple catalogs. It further enables decentralized publishing of catalogs and facilitate federated dataset search across sites. Aggregated DCAT metadata can serve as a manifest file to facilitate digital preservation. The original DCAT vocabulary was developed at DERI (now Insight@NUIG), refined by the eGov Interest Group, and then finally standardized by the Government Linked Data (GLD) Working Group³⁷. DCAT incorporates terms from pre-existing vocabularies, where stable terms with appropriate meanings could be found, such FOAF, DC Terms and SKOS. The DCAT Vocabulary is depicted in Figure 17.



Figure 17: Data Catalog Vocabulary (DCAT)⁴⁰

For a more detailed overview of Metadata usage, see the EC's Open Data Support 'Introduction to Metadata Management' presentation, available on slideshare¹³⁴.

10.2.3 Current Irish Practice

Practices among Irish data publishers vary widely and there is no single accepted model. Outside of the geospatial realm, very little commonality in approaches is found.

¹³⁴ <u>http://www.slideshare.net/OpenDataSupport/introduction-to-metadata-management</u>



- The Irish Spatial Data Infrastructure (ISDI) Metadata Profile was developed to support the implementation of the INSPIRE Directive and is now widely used among Irish producers of geospatial data¹³⁵.
- The EPA's SAFER-Data environmental research archive uses the ISO 19115 metadata standard¹³⁶.
- Government data catalogues such as data.fingal.ie, Dublinked, and StatCentral.ie have created their own metadata models and do not follow any particular standard.
- The Digital Repository of Ireland (DRI) reports the use of Dublin Core metadata terms.
- Insight@NUIG has contributed to the development of the Data Catalog Vocabulary, an international W3C standard and extension of Dublin Core for describing the contents of government data catalogues¹³⁷.

10.2.4 Recommendations

- a) Any data release should be accompanied by high-quality metadata.
- b) Metadata should be provided according to a metadata standard.
- c) The DCAT standard should be used, enhanced with domain-specific standards such as ISO 19115 and the ISDI Profile where appropriate.
- d) Metadata should be made available with an Open License.
- e) Public sector bodies should also make available metadata for data that they hold but cannot presently release as Open Data.
- f) All metadata should be provided to a central location that enables search and discovery, such as the data.gov.ie portal.

¹³⁵ <u>http://www.environ.ie/en/DevelopmentHousing/PlanningDevelopment/INSPIREDirective/</u>

¹³⁶ http://erc.epa.ie/safer/

¹³⁷ http://www.w3.org/TR/vocab-dcat/



10.3 Data Standards

10.3.1 Overview

As discussed in section 10.1, data formats are important to ensure data is structured in a machinereadable form, which can be easily processed and analysed by computers. However data formats are independent of what the data actually describes – what domain is the data from and what do the values in the dataset represent? Data standards help give a common meaning to data. This is especially important when data is shared across departments, organisations, or even international boundaries, so that the data user can interpret the true meaning of the data. For example, ISO 3166 is the International Standard for country codes and codes for their subdivisions¹³⁸ (IE for Ireland, DE for Germany, AU for Australia, etc.). Data standards not only define the meaning of certain concepts, but also how concepts relate to each other. Data standards should have both a human-readable and machine-readable representation.

Data standards allow smooth data exchange within a certain community – the community of adopters and implementers. These communities can vary in size. For some standards, such as the aforementioned ISO standard, it is a global community. But there are also national standards that take local practices into account and are intended for use within a particular country. Sometimes, national standards are refinements or extensions of international standards. And most data standards address a community of specialists that are interested in exchanging a particular kind of data. For example, there are standards for geospatial data (developed by users and vendors of geospatial information system (GIS) software), for statistical data (developed by statistics offices and other statistics producers), for financial data, etc.

10.3.2 International Practice

Data standards are defined by a variety of international bodies, such as the International Organization for Standardization (ISO), European Commission (EC), World Wide Web Consortium (W3C), Internet Engineering Task Force (IETF), Open Geospatial Consortium (OGC) and Organization for the Advancement of Structured Information Standards (OASIS). The data standards can describe data at different levels of granularity, from general concepts to very specific concepts, and from different domains, such as the environment, life sciences, public administration, etc. In domains where data management and sharing practices are mature, a rich set of data standards already exist, for example in the geospatial and biomedical fields. In such domains data standards have been developed over time, in collaboration with a wide community of stakeholders. This facilitates data interoperability and regulatory compliance.

However in many other domains, data standards are not very mature or each data publisher uses their own schemas. While this may satisfy system-specific requirements, it is a barrier to reuse when the data is published as Open Data. An overview of the most common data standards for public-sector information is provided below:

Infrastructure for Spatial Information in the European Community (INSPIRE)¹³⁹

¹³⁸ http://www.iso.org/iso/country_codes.htm

¹³⁹ http://inspire.ec.europa.eu/



The INSPIRE directive aims to create a European Union (EU) spatial data infrastructure. This will enable the sharing of environmental spatial information among public sector organisations and better facilitate public access to spatial information across Europe. The INSPIRE directive came into force on 15 May 2007 and will be implemented in various stages, with full implementation required by 2019.

Dublin Core Metadata Initiative (DCMI) Metadata Terms¹⁴⁰

The DCMI supports shared innovation in metadata design and best practices across a broad range of purposes and business models. DCMI Metadata Terms provides a one-stop source of up-to-date information on DCMI metadata terms, including the classic Dublin Core Metadata Element Set, the DCMI Type Vocabulary, and resource classes used as formal domains and ranges. Supporting documents includes.

Simple Knowledge Organization System (SKOS)¹⁴¹

SKOS is an area of work developing specifications and standards to support the use of knowledge organization systems (KOS) such as thesauri, classification schemes, subject heading systems and taxonomies within the framework of the Semantic Web.

Statistical Data and Metadata eXchange (SDMX)¹⁴²

SDMX is an initiative to foster standards for the exchange of statistical information allow more efficient processes for exchange and sharing of data and metadata, sponsored by BIS, ECB, EUROSTAT, IMF, OECD, UN, World Bank. SDMX is now an ISO standard: ISO 17369:2013.

eXtensible Business Reporting Language (XBRL)¹⁴³

XBRL is a language for the electronic communication of business information, providing major benefits in the preparation, analysis and communication of business information. XBRL is being developed by an international non-profit consortium of over 600 major companies, organisations and government agencies.

Geography Markup Language (GML)¹⁴⁴

The GML is an XML grammar for expressing geographical features, defined by the Open Geospatial Consortium. GML serves as a modeling language for geographic systems as well as an open interchange format for geographic transactions on the Internet. As with most XML based grammars, there are two parts to the grammar – the schema that describes the document and the instance document that contains the actual data. A GML document is described using a GML Schema.

Schema.org¹⁴⁵

¹⁴⁰ <u>http://dublincore.org/documents/dcmi-terms/</u>

¹⁴¹ http://www.w3.org/2004/02/skos/

¹⁴² http://sdmx.org/

¹⁴³ http://www.xbrl.org/

¹⁴⁴ http://www.opengeospatial.org/standards/gml



While not defined by a standards body, but by an industry consortium of the major search enginges (Bing, Google, Yahoo!, Yandex), search engines rely on this markup to improve the display of search results, making it easier for people to find the right web pages. Schema.org provides a collection of schemas, i.e., html tags, that webmasters can use to markup their pages in ways recognized by major search providers.

EC Core Vocabularies

The EC ISA Core Vocabularies are simplified, reusable, and extensible data models that capture the fundamental characteristics of an entity in a context-neutral way. The Core Vocabularies include the Core Person, Location, Business and Public Service Vocabularies.

Data Cube Vocabulary¹⁴⁶

The Data Cube vocabulary provides a means to publish multi-dimensional data, such as statistics, on the web in such a way that it can be linked to related data sets and concepts, using the W3C RDF standard. The model underpinning the Data Cube vocabulary is compatible with the cube model that underlies SDMX.

Organisation Ontology¹⁴⁷

The Organisation Ontology is a core ontology for organizational structures, aimed at supporting linked data publishing of organizational information across a number of domains. It is designed to allow domain-specific extensions to add classification of organizations and roles, as well as extensions to support neighbouring information such as organizational activities.

10.3.3 Current Irish Practice

The use of established international data standards is most widespread in the geospatial domain, where the relevant ISO standards (e.g. ISO 19115) and Open Geospatial Consortium standards (e.g. Web Map Service (WMS)) are adopted by most public sector bodies that hold and produce geospatial data. One factor in driving adoption of these standards is the INSPIRE Directive. A number of organisations have taken steps towards recommending or defining data standards for use within Ireland. Most notably:

- The CSO recommends standard classifications for common statistical concepts such as areas of industrial activity, fields of education, etc.¹⁴⁸
- The CSO promotes a Code of Practice for Official Statistics¹⁴⁹
- OSI's work on providing unique IDs for geospatial objects
- The Health Information and Quality Authority (HIQA) define national standards for health & social care data collection.

¹⁴⁵ <u>http://schema.org/</u>

¹⁴⁶ http://www.w3.org/TR/vocab-data-cube/

¹⁴⁷ http://www.w3.org/TR/vocab-org/

¹⁴⁸ http://www.cso.ie/en/surveysandmethodology/classifications/standardclassifications/

http://www.isscop.ie/codeofpractice/



10.3.4 Recommendations

- a) Use international standards defined by reputable standards organisations, such as ISO, the European Commission, W3C, IETF, OGC and OASIS.
- b) Use and define national standards where international standards are unavailable or unsuitable
- c) For specific topics such as geospatial, statistics, or health, promote national standards defined by the responsible organisation (OSI, CSO, HIQA, etc.)
- d) Define a list of recommended data standards for use by Irish public bodies, similar to <u>http://vocab.data.gov/</u>. The list should be available on the Open Data IRL portal.



10.4 Unique Identifiers

10.4.1 Overview

Typically, government departments and agencies are responsible for certain types of things, such as schools, roads, companies, or legislative documents. Agencies usually keep a list of all these things, and associate an identifying reference (e.g. a number, code, name) with each of them: school roll numbers, road numbers, company registration numbers, statute book numbers, and so on. These lists are important reference data that provide a common meaning and common identifier to refer to the same "thing" within the responsible department or agency.

Unique identifiers provide an opportunity to share a common understanding of objects across the public sector, and with the wider public. This makes it easier to join up otherwise disparate data from multiple sources. When identifiers managed by a highly reputable organisation are shared, others are more likely to re-use these identifiers, rather than creating their own.

Universal Resource Identifiers (URIs) are a component of the World Wide Web. They provide a means of uniquely naming a "thing", or "resource". URI design has always been a part of web design, and decisions about URIs are made whenever a website is created. In the context of Open Data, where structured data is shared over the web in machine-readable form, URIs take on a broader role as a building block of Application Programming Interfaces (APIs) and as an enabling technology for the Linked Data model of data publication. Best practices in this area are concerned with the creation, structure, and persistence (resilience to change) of URIs.

10.4.2 International Practice

In the UK, the use and definition of URIs is covered in a report of the CTO Council, "Designing URI Sets for the UK Public Sector" (Chief Technology Officer Council, 2009). Topics covered include:

- Choosing the right domain for URI sets
- The path structure for URIs
- Coping with change and the passage of time
- How to 'look up' a URI
- The quality characteristics that apply to all URIs within a set
- Machine-readable and human-readable formats
- The governance arrangements necessary to allow the confidence to use and re-use UK public sector URIs

An example for a URI following the UK practice is the following identifier for the M5 motorway:

http://transport.data.gov.uk/id/road/M5

It follows the general structure:

http://{sector}.data.gov.uk/id/{type}/{reference}



The ODI's Jeni Tennison wrote a related blog-post on 'Creating URIs'¹⁵⁰. It includes additional technical guidance on issues such as versioning and principles for specific types of things.

In the Netherlands, issues of URI design are discussed extensively in the document 'Towards a national URI-Strategy for Linked Data of the Dutch public sector' (Overbeek & van den Brink, 2013). Two key insights of the Dutch approach are summarised as:

- No register, no identifier: Identifiers must be grounded in a register of some sort; either a specification of terms/concepts in a standard, or a registration of reference objects.
- No mandatory shared Internet domain: This rejects the UK approach to locate all URIs in sectorial sub-domains within the data.gov.uk internet domain (e.g., http://transport.data.gov.uk/..., http://education.data.gov.uk/..., and so on). Instead, the proposed solution is to use the name of the register that contains the reference identifier in question.

URIs following the Dutch approach have the general structure:

http://{register}.nl/id/{type}/{reference}

The W3C Best Practices for Publishing Linked Data document, created by the W3C's Government Linked Data Working Group, extensively discusses issues of URI design¹⁵¹. It identifies the following principles:

- Use HTTP URIs
- Provide at least one machine-readable representation of the resource identified by the URI
- A URI structure will not contain anything that could change
- URI Opacity

A further key issue discussed in the document is the importance of a policy and implementation plan for URI persistence.

Two public sector organisations that have succeeded in establishing widely re-used URI sets are the UK Ordnance Survey and the BBC. The former has created URIs for a wide range of geospatial entities including cities, counties, and post codes. An example post code identifier:

http://data.ordnancesurvey.co.uk/id/postcodeunit/SO164GL

The BBC use URIs and Linked Data technologies to connect things of interest across the various sections of the organisation and web site. For example, consistent identifiers for artists are used across radio and TV. An example artist identifier:

http://www.bbc.co.uk/music/artists/38550441-d437-4aff-867e-e79bf0c04142

10.4.3 Current Irish Practice

As part of its Linked Data portal, the Central Statistics Office has assigned URIs for all "things" of various types that occur in the Census 2011 data. Some examples:

¹⁵⁰ <u>http://data.gov.uk/resources/uris</u>

¹⁵¹ http://www.w3.org/TR/ld-bp/



• Dublin City, an administrative county, as defined at the time of the 2011 Census:

http://data.cso.ie/census-2011/classification/CTY/C02

• The Census dataset recording the number of households with Internet access per county:

http://data.cso.ie/census-2011/dataset/households-internet/cty

• The concept of a household having broadband internet:

http://data.cso.ie/census-2011/classification/internet/broadband

• The observation recording the number of households in the Census within Dublin City having broadband internet:

http://data.cso.ie/census-2011/dataset/households-internet/CTY/C02;broadband

The CSO website states¹⁵²:

In Linked Data, whenever some thing is referred to in the data, then it is done by mentioning the URI of the thing. This makes it clear what thing exactly is being referred to. It also aids with integration of data from multiple organizations, because they can establish unambiguously whether they mean the same thing.

10.4.4 Recommendations

- a) Develop and adopt a national URI strategy
- b) Identify the reference data registers that are most widely used across the public sector, and prioritise the definition of URIs for their contents
- c) Document URI sets defined by public sector bodies on the data.gov.ie portal

¹⁵² <u>http://data.cso.ie/linked_data.html#uris</u>



11 Best Practice Standards for Data Access

11.1 Overview

It is important that Open Data is published in a timely and accessible manner to preserve the value of the data and to ensure data is available to the widest range of users and for the widest range of purposes [The 8 Principles of Open Government Data]. There are a couple of different methods to facilitate access to Open Data: as bulk data (data-dump), via an Application Programming Interface (API), as a feed, via a SPARQL endpoint, etc. The most commonly used and useful method is as bulk data, meaning that the complete dataset should be available in downloadable form. Bulk data should not be seen as stale data and should be kept up-to-date by the data providers. Many of the Open Data best practice guidelines highlight the importance of publishing bulk data.

19. Publish bulk data [Guidelines for Open Data Policies (Sunlight Foundation)]

Principle 5: Releasing Data for Innovation: ensure data are machine readable in bulk [G8 Open Data Charter]

The Open Data Handbook (OKFN) also emphasises that Open Data should be made available in bulk:

Access to bulk data ensures that:

- 1. there is no dependency on the original provider of the data, meaning that if a restructure or budget cycle changes the situation, the data are still available.
- 2. anyone else can obtain a copy and redistribute it. This reduces the cost of distribution away from the source agency and means that there is no single point of failure.
- 3. others can develop their own services using the data, because they have certainty that the data will not be taken away from them

Another increasingly used method to access Open Data is APIs, which allow developers to programmatically access the data in real-time. Using APIs, developers can query subsets of the entire dataset, which may be more efficient for their use. However in order to be useful, the API must be well documented and facilitate all the required queries. APIs will only be successful if there is a good relationship between the API creator and API users, so that the required queries can be agreed on. This relationship should exist before the API is created. In saying this, some Open Data best practice guidelines also highlight the importance of publishing data via APIs.

20. Create public APIs for accessing information[Guidelines for Open Data Policies (Sunlight Foundation)]

Principle 5: Releasing Data for Innovation: release data using application programming interfaces (APIs) [G8 Open Data Charter]

The Open Data Handbook (OKFN) highlights a number of costs to providing an API:

- 1. The price. They require much more development and maintenance than providing files.
- 2. The expectations. In order to foster a community of users behind the system, it is important to provide certainty. When things go wrong, you will be expected to incur the costs of fixing them.



The impact of APIs is dependent on if it can provide simpler and more efficient access to data for a developer, than if they were to download the bulk dataset and parse it themselves. For this, the API must provide relevant calls for potential users. Therefore if an API is to be successful, there should be good communication channels between API creator and API users, before the API is created. In most cases, it is only worth providing an API if there is a clear demand from users for it.

Some public bodies worry about the additional strain external API users will place on their systems. However, there is little evidence of this being an issue in practice. To protect against potential highdemand, the data provider could link the API with a mirror site, so as not to grant direct access to a critical system. Another option is to grand API users a key, so that usage can be monitored and constrained to a certain number of requests/data. This is done with the public APIs of many private companies, such as Twitter and Facebook. In the context of Open Data, granting a key is not meant to curtail commercial reuse of the data, but to prevent the data provider's infrastructure being overwhelmed.

Ultimately, the access methods used for releasing Open Data is determined based on a number of factors:

- Ease-of-access
 - \circ $\,$ What method is most straightforward and cost-effective for the data publisher to support
- How the original data is collected and stored
- Data format
 - Certain data formats enable customised data access methods with inherent APIs, e.g. JSON data may be accessible via a REST API, RDF data may be accessible via a SPARQL endpoint, etc.
- Rate of change of the data
 - o If a dataset rarely changes, e.g. the location of schools, a static dump is sufficient,
 - If a dataset updates frequently, e.g. weather or traffic data, real-time access to the data may be required, e.g. via a feed or API.
- Requirements of the data users
- Size of the data

11.2 International Practice

Bulk data available for download is the most common method of data access used across all Open Data portals. This is the most straight-forward way for a potential user to access Open Data and no technical expertise is required.

Data feeds and APIs are slowly emerging for real-time data, such as the Transport for London data feeds¹⁵³ and the U.S. Government APIs¹⁵⁴. In some cases, if there is demand for an API, it will be created by the developers themselves. This is the case with the startup Transport API, which takes Open Data feeds from key industry sources at Traveline, Network Rail and TfL, and in turn offers the

¹⁵³ <u>http://tfl.gov.uk/info-for/open-data-users/our-feeds</u>

¹⁵⁴ https://www.data.gov/developers/apis



transport data as a single API service for mobile apps, travel portals and hyperlocal sites in the sector¹⁵⁵.

Some Open Data portals also provide a SPARQL endpoint, which facilitates Linked Data queries. These include the UK Department of Environment, Food and Rural Affairs¹⁵⁶, the European Union Open Data Portal¹⁵⁷ and the Open University Linked Open Data Portal¹⁵⁸.

11.3 Current Irish Practice

Practically all data offered in Irish data catalogues and Open Data Portal is available as bulk downloads.

The National Transport Authority publishes the Real-time Passenger Information (RTPI) API for Dublin Bus, Bus Eireann, Luas and Irish Rail. The RTPI API is available via the Dublinked platform¹⁵⁹. The RTPI API provides a REST interface to retrieve information on real time bus information, timetables and bus stops. According to the Dublinked website, he intention is that the RTPI API will be available for public but in this experimental stage it is available to Dublinked Members and to others who have existing relationships with Dublin City Countil in this area.

In March 2014, as part of its DubMeets series, Dublinked held an event to enable users and interested parties of the RTPI API to meet, share ideas and experiences and showcase their application of the data. The meetup provided a great opportunity for developers to provide the API provider with feedback, for example, the API calls could be refined to be more efficient and use less cumbersome formats.

At the Python Developer meetup, the importance of good quality documentation for APIs was emphasised.

11.4 Recommendations

- e) Provide all Open Data as bulk data.
- f) Put a process in place to ensure the bulk data is kept up-to-date.
- g) Publish real-time data as feeds
- h) If there is a demand from users for an API, consider providing an API
 - Use existing API standards whenever possible, e.g. the OGC web services or SPARQL
 - o Before creating a new API, collaborate with potential users on its structure
 - o Provide complete documentation for each API

¹⁵⁵ <u>http://transportapi.com/</u>

¹⁵⁶ http://environment.data.gov.uk/

¹⁵⁷ http://data.open.ac.uk/site/index.html

¹⁵⁸ http://open-data.europa.eu/en/linked-data

¹⁵⁹ http://dublinked.com/datastore/datasets/dataset-300.php



12 Best Practice Standards for Data Discovery

12.1 Overview

Data can be published as 3-5-star data, with standardised metadata and using international standards, yet in order for the data to be used, it has to be discoverable. Today much of the PSI that could be published by public bodies as Open Data is sitting on internal machines and servers. Even the data that is published online may be buried on a public body's website that requires multiple clicks to find – and that is if the user know that it exists and where to find it in the first place. Open Data should be easy to find and easy to access. A data catalogue provides a registry or listing of all existing datasets and a pointer (URL) to where the data can be accessed. A data catalogue of all available Open Data datasets is usually the key component of an Open Data Portal, along with social, news and community elements.

12.2 Open Data Catalogue

An Open Data Catalogue lists all available Open Data datasets, along with the metadata and a pointer (URL) to where the data can be accessed. In some cases the actual datasets are also hosted with the data catalogue. This may happen if the data producer does not have the capacity to support the data locally or if a more efficient, centralised system is required. A more flexible and desirable approach is a federated data catalogue, where the data continues to be hosted locally where it is produced and it may be discovered and accessed via the data catalogue.

There are a number of data catalogue solutions being used today, including CKAN¹⁶⁰, Socrata¹⁶¹, Microsoft Open Government Data Initiative (OGDI) Datalab¹⁶², Junar¹⁶³, the Open Government Platform¹⁶⁴, DKAN¹⁶⁵ and custom-built solutions. The most prevalent are CKAN and Socrata.

CKAN is an Open Source data portal platform and can be downloaded and used for free. CKAN was developed by the non-profit Open Knowledge Foundation. The CKAN website [<u>http://ckan.org/</u>] provides the following information:

CKAN now powers more than 40 data hubs around the world, including portals for local, national and international government, such as the UK's data.gov.uk and the European Union's publicdata.eu. CKAN is a powerful data management system that makes data accessible – by providing tools to streamline publishing, sharing, finding and using data. CKAN is aimed at data publishers (national and regional governments, companies and organizations) wanting to make their data open and available. A full-time professional development team at the Open Knowledge Foundation maintains CKAN and can provide full support and hosting with SLAs.

Socrata Open Data Portal is a proprietary solution offered by the Socrata company. Socrata's Open Data portal moves data to the cloud for everyone to review, compare, visualize, and share. The Socrata website [http://www.socrata.com/] provides the following information:

¹⁶⁰ <u>http://ckan.org/</u>

¹⁶¹ http://www.socrata.com/

¹⁶² http://datapublic.org/about/datalab

¹⁶³ http://www.junar.com/

¹⁶⁴ http://ogpl.gov.in/

¹⁶⁵ http://nucivic.com/dkan/



Socrata's Open Data platform offers citizens a better way to access and use public information. Rather than going through a formal process to request information, they can review, compare, visualize, and analyze data – and share their discoveries – in real time. Socrata offers the following products:

- Open Data Portal: Data optimized for access and use
- GovStat: Government decisions made with data
- Apps & Ecosystem: Apps for engaging citizens and improving lives
- API Foundry: Real-time data for high-impact apps
- Socrata Open Data API (SODA): an open, standards-based, RESTful application programming interface to access government datasets.

Socrata Open Data Portal is used by many governments and organisations including World Bank's finances.worldbank.org Kenya's opendata.go.ke, UNDP's data.undp.org and City of Chicago's

data.cityofchicago.org.

Microsoft Open Government Data Initiative (OGDI) Datalab is an Open source cloud-based Open Data catalogue. The OGDI Datalab website [<u>http://www.microsoft.com/government/ww/public-services/initiatives/Pages/open-government-data-initiative.aspx</u>] provides the following information:

OGDI promotes the use of Open Data by enabling its accessibility and re-use via a Windows Azure cloud-based open development repository. Using OGDI, government data is accessible through open, standards-based web services from a variety of development environments, including Microsoft .NET, JavaScript, Adobe Flash, PHP, Ruby, Python, and more. Government agencies using OGDI/DataLab for their Open Data catalogue include the Government of Colombia's datosabiertoscolombia.cloudapp.net, Government of Portugal's www.dados.gov.pt, City of Regina, Canada's openregina.cloudapp.net, and Ministry of Health, Italy's www.dati.salute.gov.it. OGDI DataLab is written using C# and the .NET Framework and uses the Windows Azure Platform

Junar is an easy-to-use, cloud-based Open Data platform that enable businesses, governments, NGOs, and academia to free their data to drive new opportunities, collaboration, and transparency. The Junar website [http://www.junar.com] provides the following information:

The Junar Open Data Platform is the one service that you need to collect, enhance, publish, share and analyse data. Junar delivers all the benefits of SaaS (Software-as-a-Service) to help organizations Open Data to spur innovation. Junar makes is easy to deal with complex end-to-end Open Data projects and turns the difficult task of opening data into a secure and controlled process. This allows you to focus on transforming data into readable, searchable, and usable insights that everyone can share. Junar is used by the Government of Chile's datos.gob.cl, Government of Costa Rica's datosabiertos.gob.go.cr, Municipality of Lima, Peru's lima.datosabiertos.pe, and City of Palo Alto, California's paloalto.opendata.junar.com.

The Open Government Platform (OGPL) is an Open Source platform, which is a joint product from India and United States to promote transparency and greater citizen engagement by making more



government data, documents, tools and processes publicly available. CKAN is a part of OGPL. The OGPL website [<u>http://ogpl.gov.in/</u>] provides the following information:

OGPL will be available, as an open source platform. By making this available in useful machine-readable formats it allows developers, analysts, media & academia to develop new applications and insights that will help give citizens more information for better decisions. In using an open source method of development, the OGPL community will provide future technology enhancements, open government solutions, and community-based technical support. OGPL has become an example of a new era of diplomatic collaborations that benefit the global community that promote government transparency, citizen-focused applications, and enrich humanity. OGPL is used by the Indian Government's data.gov.in.

DKAN is a Drupal-based Open Data platform with a full suite of cataloging, publishing and visualization features that allows governments, nonprofits and universities to easily publish data to the public. DKAN is maintained by Nuams¹⁶⁶, the Open Civic Solution Company. The DKAN website [http://nucivic.com/dkan/] provides the following information:

DKAN is built on open source technologies that help expedite development, lower costs and eliminate vendor lock-in. It is based on the content management system Drupal that makes it easy to integrate with blogs and websites. DKAN is a recommended Open Data platform that meets U.S. Project Open Data requirements. DKAN is used by the Government of Puerto Rico's abrepr.org and the City of Köln's www.offenedaten-koeln.de

Custom-built solutions

In addition to the aforementioned solutions, some governments decide to build their own Open Data portals from scratch, for example Dublinked.ie and data.fingal.ie. This allows the portal to be:

- customised to their own needs,
- well-integrated with existing systems, and
- based on technologies where there is in-house expertise.

However the drawbacks of building custom solutions are:

- the time and effort required to build a new system,
- all maintenance and support must be required in-house,
- there is no community development of features and plug-ins, as is the case with Open Source solutions, and
- interoperability of the data may be an issue if standardised approaches are not adopted.

	Open-	Free to download	Dependency on	Hosting & Support	
	Source	and use	proprietary technology	available for a price	
CKAN	✓	✓	×	✓	
Socrata	×	×	×	✓	
OGDI		~		?	
Datalab	•		✓ (Windows Azure)		
Junar	×	×	*	✓	
DKAN	✓	✓	×	✓	
OGPL	✓	✓	×	×	

¹⁶⁶ <u>http://www.nuams.com/</u>



12.3 International Practice

Globally, CKAN and Socrata are the most widely adopted Open Data portals. CKAN's main advantage is that it is Open Source and free to download and use. There is also a very active community, both within and outside the OKFN that is continually developing the platform, adding features and building add-ons. In May 2013, the U.S. Open Data platform data.gov launched a new data catalog based on CKAN. Data.gov also participates in OGPL with India.

Socrata is widely used in U.S. states and cities, whereas Junar is widely used in California and in Latin America. DKAN is still quite new, and as such is not yet widely adopted.

12.4 Current Irish Practice

The current Irish data catalogues including Dublinked.ie, data.fingal.ie, are all custom-built. Data.fingal.ie was built in-house, while Dublinked and the AIRO Datastore were built by IBM. While custom-built solutions can be personalized, they rely on the original development team for updates and fixes, which can be a bottle-neck. There can also be limitations in terms of interoperability of the data and metadata, if open standards are not used.

12.5 Recommendations

- a) The Irish Open Data Platform should be built on CKAN, because it is:
 - Open Source
 - Free to download and use
 - o Mature
 - o Has an active community continually improving it
 - o Possible to extend
 - Technical expertise on use of CKAN already exists across Irish Open Data community
- b) Public bodies have taken administrative ownership of their own datasets



13 Best Practice Standards for Supporting Public Bodies

13.1 Overview

Publishing Open Data requires dedicated effort from public bodies: to engage with potential users, to identify what datasets to publish, to clean-up data, to maintain the data, to respond to queries about the data, etc. Therefore, following the issue of a general Open Data policy, public bodies will need support in order to be able to comply. Public sectors around the world were traditionally closed, keeping information to themselves. Consequently strategic, operational and technical processes were designed in a closed, fixed manner. Today, there is a general movement towards Open Government, Transparency and Open Data. Achieving a sustainable Open Data ecosystem is not only about getting data up online, but about addressing all elements in place to unlock the value of Open Data. This involves assessing the underlying strategic, operational, legal and technical processes. This is key in moving towards an 'Open by Default' government in the long-term.

While this may seem overwhelmingly daunting for public bodies, there are steps that public bodies can do in the short-term to move towards this goal. They are:

- o Follow best-practices for publishing Open Data, as set out in this report
- o Designate a person/team who is responsible for Open Data
- Create an Open Data strategy for your public body, including high-value datasets, goals and a timeframe.
- When publishing a high-value dataset as Open Data, assess the complete data lifecycle (e.g. collection, recording, storage, publication, archiving) in terms of potential data sharing, not only data usage for a particular purpose. Can elements of the data lifecycle process be improved upon or automated? For example, is metadata defined? Is the data modelled using standard vocabularies? Are privacy issues clearly addressed?
- Participate in Open Data training sessions.
- Ensure the public body is represented on Open Data governance boards.
- Communicate suggestions or challenges to Open Data governance boards.

In order for a public body to be able to fulfil these tasks, top-down support mechanisms from central government should be in place. These include leadership support, policy support, financial support and capacity-building support, as shown in Figure 18.



Figure 18: Open Data Support Mechanisms for Public Bodies



Leadership Support

Open Data initiatives require strong top-political leadership to drive them forward and prioritise them in policy-making. CapGemini Consulting found that political leadership is one of the key parameters that determine the degree of maturity of an Open Data program(Tinholt, 2013). Leadership should be transmitted to all political bodies in a top-down fashion, who in turn need to demonstrate leadership within their organisation to advance the Open Data policy. While political leadership is key, operational leadership (at a top level as well as public body level) is also important to ensure the implementation of Open Data policy.

Policy Support

To formalise the commitment of government towards Open Data, a clear Open Data policy should be in place. This will have its roots in existing legislation, for example legislation relating to FOI, PSI Reuse, data protections, data sharing and statistics. An Open Data policy should outline a government's Open Data commitments, goals, and principles, in terms of datasets, licensing, standards, etc.

Financial Support

As Andrew Stott, member of the UK's Transparency Board at Cabinet Office, explained at a briefing of the World Bank's Open Data Readiness Assessment' (ODRA) in March 2013¹⁶⁷:

"Open Data is not expensive because the data has already been collected, and has already been organised and is already being used within government. But just how would a little lubrication be put onto an Open Data initiative, how would that get funded, and particularly, how would you set up a central team to push that forward and how would you afford to set up an Open Data portal."

As Andrew points out, most of the heavy lifting of data collection and management has been done by public bodies already as part of their day-to-day tasks. However in order to reap the additional benefits this data has as Open Data in a sustainable manner does require additional resources. Denis and Goëta describe how publishing 'raw data' as Open Data actually requires a process of 'exploration, extraction and rawification' (Denis & Goëta, 2014). There are also costs associated with user engagement, training, maintenance and updating of datasets and the Open Data portal, etc.

Capacity-Building Support

Public bodies require a number of in-house capacities in order to be able to implement an Open Data initiative. These capacities can include a range of skills, such as:

- general knowledge, having a basic understanding of what Open Data is, and is not, what are its benefits,
- technical, what systems/technologies are involved
- data management, what is high-quality data, how to maximise reusability
- operational, how to incorporate Open Data activities into existing business processes
- etc.

¹⁶⁷ mms://wbmswebcast1.worldbank.org/DEC/2013-03-01/OD_RA_Briefing.wmv (25:25)



13.2 International Practice

Leadership Support

Top-level political support was, and continues to be, a clear driver of the original U.S. and UK's Open Data initiatives. This is also evident in many other countries/jurisdictions leading in Open Data, for example, the EU, Kenya, Denmark, Australia, New Zealand, London, Vienna, etc.

Leadership can also be applied through governance boards. For example, in the UK, the Data Strategy Board (DSB), the Public Data Group (PDG), and the Public Sector Transparency Board are involved with defining, pushing and evaluating the Open Data agenda. The DSB is advised by the Open Data User Group (ODUG) on the requirements of Open Data users, and the PDG leverages expertise of existing customer groups (Public Weather Service Customer Group and the Geographic Information Group) (HM Government, 2012).

Another clear demonstration of leadership in the UK was the establishment of the Open Data Institute (ODI), which catalyses the evolution of Open Data culture to create economic, environmental, and social value¹⁶⁸.

Policy Support

Most counties that pursue Open Data initiatives formally define an Open Data policy. This could be in the form of a dedicated policy document, or part of a wider policy document, such as an eGovernment Strategy. An example of a dedicated policy document is the U.S. government's Open Data Policy - Managing Information as an Asset (Burwell, Vanroekel, Park, & Mancini, 2013).

Part of the G8 Open Data Charter is to develop a national action plans on how the Charter and technical annex will be implemented by the end of 2015 at the latest. At the time of writing (May 2014), Canada, France, Italy, the UK, the U.S. and the European Union have set out national action plans (Cabinet Office, 2013a; Canadian Government, 2013; European Commission, 2013; Frence Government, 2013; Italian Government, 2013; U.S. Government, 2014). Russia and Japan have released a draft version of the national action plans (Japan Government, 2013; Russian Government, 2013). Germany has not yet published its national action plan. Denmark has published its strategy in the report 'Good Basic Data for Everyone – A Driver for Growth and Efficiency', in which it highlights the first basic-data registers (The Danish Government, 2012).

Financial Support

In 2012 the UK Cabinet Office announced £8 million of new investment will help public bodies release data so that companies can develop commercial opportunities¹⁶⁹. This included a new £7.5m Data Strategy Board Breakthrough Fund, to which public sector bodies can apply, and a new £850,000 Open Data Immersion Programme, to which companies can apply. The UK Government has also pledged £10 million over five years to the Open Data Institute, via the UK innovation agency, the Technology Strategy Board)¹⁷⁰.

¹⁶⁸ <u>http://theodi.org/about-us</u>

¹⁶⁹ https://www.gov.uk/government/news/new-funding-to-accelerate-benefits-of-open-data

¹⁷⁰ http://theodi.org/faq



In April 2014, the Cabinet Office and the Department for Business, Innovation and Skills (BIS) have announced the next round of funding for the Release of Data Fund and the Breakthrough Fund for 2014 to 2015 to support the wider release of Open Data (Cabinet Office, 2013b). The Release of Data Fund, administered by Cabinet Office, has a budget of up to £7 million. It aims to boost the release of public data by funding:

- the release of specific datasets prioritised by the Open Data User Group on behalf of the wider Open Data community
- training for public servants

The Breakthrough Fund is administered by BIS and has a budget of £2.5 million per year. It provides funding to government departments, agencies and local authorities to publish Open Data where there are short-term technical barriers to its release. There are 2 strands of funding available, aimed at:

- central government departments
- local government

Capacity-Building Support

Open Data training is provided by Open Data Support¹⁷¹, a 36 month project of DG CONNECT of the European Commission, to improve the visibility and facilitate the access to datasets published on local and national Open Data portals in order to increase their re-use within and across borders. Open Data Support is a pan-European initiative targeting both those data publishers that are well underway but also the ones that are just starting. Training services aim to build both theoretical and technical capacity to EU public administrations, in particular to favour the uptake of linked Open Data technologies.

The ODI also provides courses in the UK and at its ODI Nodes, such as 'Open Data in a Day', 'Open Data in Practice', 'Open Data, Law and Licensing', etc.¹⁷².

13.3 Current Irish Practice

Throughout the interview process, most public bodies expressed the critical need for support from central government with the Open Data initiative, on all four of the elements outlined above.

Leadership Support

Ireland has demonstrated political leadership with the Minister for Public Expenditure and Reform, Brendan Howlin, T.D.s, announcement in December 2012 of Ireland's intention to explore and implement Open Government in his Budget Day speech¹⁷³. In May 2013 the Minister committed formally to joining the OGP by issuing a Letter of Intent for Ireland to participate in the Open Government Partnership¹⁷⁴. The Government Reform Unit and the Office of the CIO, both within the

¹⁷¹ https://joinup.ec.europa.eu/community/ods/description

¹⁷² http://theodi.org/courses

¹⁷³ <u>http://www.per.gov.ie/minister-for-public-expenditure-and-reform-brendan-howlin-t-d-address-to-dail-</u> eireann-on-expenditure-estimates-2013-wednesday-5th-december-2012/

¹⁷⁴ <u>http://www.per.gov.ie/minister-for-public-expenditure-and-reform-issues-letter-of-intent-for-ireland-to-participate-in-the-open-government-partnership/</u>



Department of Public Expenditure and Reform, have led on the government's Open Data initiative (see section 4.3.4). A formalisation of this involvement would help clarity the responsibilities of both units in the Open Data Initiative, i.e. define a person/team responsible for Open Data Ireland within the Department.

At a local level, there have also been some leaders around Open Data, mainly from the Dublin Councils and the Local Government Management Agency (LGMA) (see section4.3.2). There has also been evidence of leadership on data sharing and publication initiatives, for example in the geospatial- and statistical-data domains.

However, from our interviews, it is evident that the concept of Open Data is not well-known through the Irish public-sector, and where public bodies are aware of it, it is usually confined to a couple of people from the IT, GIS or statistical departments who already manage data.

Policy Support

Open Data featured in the Irish eGovernment Strategy 2012-2015¹⁷⁵, the Public Service Reform Plan 2014-2016¹⁷⁶, and the Action Plan for Jobs 2014¹⁷⁷. Open Data is also a key element of the Open Government Partnership National Action Plan. However there does not exist a dedicated Open Data Policy.

Ireland has committed to signing up to the G8 Open Data Charter, which includes the obligation to develop a national Open Data action plans on how the Charter and technical annex will be implemented by the end of 2015 at the latest.

Financial Support

In the current economic climate, public bodies are stretched with the resources that they have available to them. Therefore in order to implement Open Data initiatives, they would need financial support. The UK approach of supporting public bodies for the publication of high-value and demand-driven datasets is recommended, as this ensures a user-centric approach, maximising potential impact.

Capacity-Building Support

Open Data is a new concept for most public servants and as such a clear strategy for capacitybuilding should be developed. This could be targeted at an organisation, domain, or role within the public-body.

To date, some presentations have been given to public servants at national conferences and events by Open Data practitioners (mainly from local government), however widespread capacity-building has not yet been provided.

In one interview, it was suggested that Open Data skills could be tied in with the existing Performance Management and Development System (PMDS)

¹⁷⁵ <u>http://egovstrategy.gov.ie/</u>

¹⁷⁶ http://reformplan.per.gov.ie/

¹⁷⁷ <u>http://www.djei.ie/publications/2014APJ.pdf</u>



13.4 Recommendations

For Central Government:

- a) Leadership
 - Continue strong political leadership of Open Data Initiative from the Government, and in particular Department of Public Expenditure and Reform
 - Appoint an Open Data Officer (person/team) within the Department of Public Expenditure and Reform, who will be responsible for overseeing Open Data Ireland.
 - Encourage Open Data Leadership with all management teams throughout the public sector
- b) Policy
 - Define a national Open Data Strategy, including commitments, goals, and principles.
 This should be published as the Irish G8 Open Data Action Plan.
 - Consider incorporating Open Data skills into Performance Management and Development System (PMDS)

c) Financial

- Commit financial support for:
 - the release of high-value datasets from public bodies
 - the release of demand-driven datasets from public bodies
 - capacity-building of public bodies
 - the ongoing upkeep and maintenance of the Open Data portal
 - user engagement activities (see section 14)
 - SMEs/start-ups to use, or support the use of, Open Data, e.g. seed-funding, innovation vouchers (see section 15)
- The success of the Irish Open Data initiative depends on the commitment of adequate resources. We recommend the adaptation of the UK Open Data resource commitment, as outlined in section 13.2 above.
- d) Capacity-building
 - Provide training for public bodies, to include general Open Data knowledge, data management, technical and operational

For Each Public Body

- a) Follow best-practices for publishing Open Data, as set out in this report
- b) Designate a person/team who is responsible for Open Data
- c) Create an Open Data strategy for your public body, including high-value datasets, goals and a timeframe.
- d) When publishing a high-value dataset as Open Data, assess the complete data lifecycle (e.g. collection, recording, storage, publication, archiving) in terms of potential data sharing, not only data usage for a particular purpose. Can elements of the data lifecycle process be improved upon or automated? For example, is metadata defined? Is the data modelled using standard vocabularies? Are privacy issues clearly addressed?
- e) Participate in Open Data training sessions.
- f) Ensure the public body is represented on Open Data governance boards.
- g) Communicate suggestions or challenges to Open Data governance boards.



14 Best Practice Standards for Engaging Data Users

14.1 Overview

In order to create social and economic impact Open Data must be user-centric. There will always be the temptation to be publisher-centric, to release what is most straight-forward for the public body, or data-centric, to stick to certain datasets or domains. The value of Open Data comes from its use. With the first Open Data portals, there was a mantra of 'publish data, and users will come'. However in many cases, this didn't happen as easily as expected. As outlined in the previous sections, there are many elements to publishing and maintaining 'good' Open Data: selecting what datasets to publish, ensuring they are of high-quality, and associating an Open license with them. When the data publisher carries out these steps in isolation, there is a chance that the decisions made are misaligned with the interests and requirements of the potential data users. The Open Data initiatives that are most successful are usually those that actively engage with the community at all stages. This helps:

- Ensure the data published is of value
- Improve the quality of the Open Data and how it is provided
- Raise awareness of the Open Data initiative
- Build a sense of trust between government and non-government bodies
- Encourage data users to take ownership as active participants as opposed to passive data recipients
- Encourage data publishers that their efforts are worthwhile

Ultimately this should lead to a sustainable, healthy Open Data ecosystem, which evolves over time based on the capabilities and needs of all stakeholders. Tim Davies, with input from fellow practitioners, sought to do find a non-technical parallel to the '5 star linked data' model (described in section 10.1), and so developed the '5 stars of Open Data engagement model' (Davies, 2012). The draft engagement model articulates the overarching principles of Open Government Data, including five broadly cumulative steps to greater engagement around Open Government Data, and a series of practical questions that could be asked to identify the extent to which an organisation is addressing each 'star'.

Table 9: 5 Stars of Open Data Engagement Models (Davies, 2012)

Be demand driven

- Are your choices about the data you release, how it is structured, and the tools and support provided around it based on community needs and demands?
- Have you got ways of listening to people's requests for data, and responding with Open Data?

Put data in context

- Do you provide clear information to describe that data you provide, including information about frequency of updates, data formats and data quality?
- Do you include qualitative information alongside datasets such as details of how the data was created, or manuals for working with the data?



• Do you link from data catalogue pages to analysis of the data that your organisation, or third-parties, have already carried out with it, or to third-party tools for working with the data?

★ ★ 📩 👘 Support conversation around data

- Can people comment on datasets, or create a structured conversation around data to network with other data users?
- Do you join the conversations? Are there easy ways to contact the individual 'data owner' in your organisation to ask them questions about the data, or to get them to join the conversation? • Are there offline opportunities to have conversations that involve your data?

$\star \star \star \star$ \star Build capacity, skills and networks

- Do you provide or link to tools for people to work with your datasets? Do you provide or link to How To guidance on using Open Data analysis tools, so people can build their capacity and skills to interpret and use data in the ways they want to?
- Do you go out into the community to run skill-?-building sessions on using data in particular ways, or using particular datasets?
- Do you sponsor or engage with capacity building to help the community work with Open Data?

\star \star \star \star \star Collaborate on data as a common resource

- Do you have feedback loops so people can help you improve your datasets? Do you collaborate with the community to create new data resources (e.g. derived datasets)?
- Do you broker or provide support to people to build and sustain useful tools and services that work with your data?
- Do you work with other organisations to connect up your data sources?

14.2 International Practice

There are a number of ways public-bodies can engage with the wider Open Data community.

• Demand-driven release of data: While there are many datasets that are internationally recognised as being high-value (see section 6), other datasets will be of interest based on the particular jurisdiction or stakeholder group. The most efficient way to decide what data to release is to ask potential data-users for suggestions. This can be facilitated on the Open Data portal with a simple suggestion form or through wider consultations as described below. To prioritise what datasets are most in-demand, users could rate/like suggested datasets. The most important element with such an approach is the responsiveness of the data-publisher. While it may not be possible to publish all data requested, it is best practice to acknowledge each request and comment either, if and when it will be released, or why it is not possible to release it. In the UK, a dedicated group, the Open Data User Group (ODUG), exists to help government understand the requirements of people who are using,



or could use, the datasets it collects¹⁷⁸. They also publish the number and status of requests via a roadmap, as shown in Figure 19.



Figure 19: data.gov.uk Open Data User Group (ODUG) Data Request Dashboard¹⁷⁸

- Feedback on data published: Once a dataset is published, it should be possible to provide feedback on it, for example, if clarification is needed, if there are errors, if there are suggestions on how to improve the data, etc. A contact point should be associated with each dataset and included in the metadata. There may also be an online form or comments option on the Open Data portal. Data.gov.uk facilitates comments, ratings and structured feedback on aspects such as economic growth, social growth and links to other datasets.
- Consultation: A good method of gathering many ideas or feedback in a short period of time or with a particular stakeholder group is via consultations. These can be run online and promoted via social-media and mailing-lists, and/or physical workshops with an open invitation. In 2010, the European Commission ran a consultation on the review of the PSI Directive, which spurred high interest among different categories of stakeholders, with 594 responses received (European Commission, 2011b). In 2011 the UK government ran a consultation on 'Making Open Data Real: A Public Consultation' (HM Government, 2011b), and the followed up by publishing 'A Government Summary of Responses' (Cabinet Office, 2012). Qatar is currently running a Public Consultation on draft Open Data Policy¹⁷⁹. New York University's GovLab is now planning a series of Open Data Roundtables to bring

¹⁷⁸ <u>http://data.gov.uk/odug</u>

¹⁷⁹ http://www.ictgatar.ga/en/documents/document/public-consultation-draft-open-data-policy



together government agencies with the businesses that use their data¹⁸⁰. Five federal agencies have agreed to participate, including the Dept. of Commerce, the Dept. of Labor, the Dept. of Transportation, the Dept. of the Treasury and USDA. The U.S. Open Data Action Plan states 'specific, actionable feedback from these sessions and others has the potential to improve descriptions, formats, and accessibility of government data.'

- Social media: An active presence on social media is good for ongoing engagement with the Open Data community. Channels such as Twitter, Facebook and LinkedIn can be used to disseminate news, but also to gather ideas, answer quick questions and get a sense of the issues the users are experiencing.
- Hackathons/Innovation days: Hackathons are events, usually held over a day or weekend, where Open Data publishers and users come together to work on particular projects. A hackathon could have a specific theme or goal, for example, 'transport' or 'youth'. Hackathons are usually associated with technical development, for example, building apps, however the focus on programming skills and even the use of the term 'hack' in the name can be restrictive and off-putting to potential participants. Innovation Days are similar, but have a wider remit, aimed at attracting participants from different backgrounds to innovate around Open Data.
- Existing groups: Groups of people interested in a common topic often come together to discuss, share ideas and work together. These meetups can be from tech groups, to domain specific groups, such as environment, education or politics. Meetup.com is a good site to find local groups' meetups¹⁸¹. These already active groups can be targeted to engage around Open Data.
- **Competitions:** Competitions are organised to promote Open Data initiatives and motivate users to reuse Open Data. Similar to hackathons, competitions can have specific themes and have both idea and implementation categories. Traditionally competitions are associated with prize money, however an interesting new take on competitions is to award the winner with a contract with the data provider.
- **Tutorials:** In addition to telling people about Open Data initiatives and engaging with those who have the capability to use the data, there are also many potential users who simply do not the skillset to work with Open Data. They may feel like Open Data is 'not for them'. Open Data should be as accessible as possible and targeted training and tutorials can help show people how to browse, view and use Open Data. The Open Data Institute in the UK run a number of Open Data courses and lectures¹⁸².
- **Evangelism:** Open Data is often promoted by evangelists: individuals who believe in the benefits of Open Data, have personal experience practicing what they preach, and encourage others to adopt Open Data. Evangelism works well with peers, for example, people who work within the public-sector can promote Open Data to other public servants, as they understand the benefits, requirements and challenges. Similarly, developers, civil society and other Open Data stakeholder groups can encourage their peers to engage in Open Data initiatives.

¹⁸⁰ <u>http://www.whitehouse.gov/blog/2014/04/08/impact-open-data</u>

¹⁸¹ http://www.meetup.com/

¹⁸² http://theodi.org/courses



- Internal promotion: It is regularly reported that one of the main user groups of Open Data is the public sector themselves. This can be because it is easier to find and access data via a standardised, online interface than to try and identify what department/person is responsible for the data and contact them directly. Therefore use of Open Data for public-bodies should be promoted on internal channels. Note, this promotion refers to the reuse of data available on the public-facing Open Data portal.
- **Traditional Media:** Mass-media, such as newspaper, radio and television have a wide reach and can therefore help to raise awareness about Open Data with the general public. For example, articles from data journalists with references back to the original Open Data source, or coverage of Open Data events all help inform people what Open Data is and how it is beneficial to society.

14.3 Current Irish Practice

- **Demand-driven release of data:** Both data.fingal.ie and Dublinked have 'request a dataset' forms. For most other public agencies, people would contact them directly, via phone or email to request a particular dataset.
- Feedback on data published: data.fingal.ie allows users to rate a dataset, however neither data.fingal.ie or Dublinked facilitate feedback on a specific dataset via the Open Data portals. This is also the case for other Irish data catalogues. During the Dublinked RTPI Workshop, it was highlighted that developers may be reluctant to come forward with feedback, as they are 'coming from a culture of scraping where they felt they were doing something wrong'. A clear Open License will help address this fear, but it is also important that data providers proactively invite feedback. Developers are coming from a culture of scraping where they feel they are doing something wrong, so afraid to ask
- **Consultation:** A public consultation was held between July and September 2013 on Ireland's first Open Government Partnership (OGP) Action Plan. Citizens and civil society were asked what they wanted to see included in the Action Plan, and how OGP could deliver on its potential in the longer term. This incorporated aspects of technology and Open Data.
- **Social media:** data.fingal.ie and Dublinked are very active on social media on Open Data related topics. Many other public agencies are also active, but primarily for the dissemination of information and other non-data related topics.
- Hackathons/Innovation-days: Many hackathons have taken place in Ireland on Open Data and other topics. For example those organised by data.fingal.ie, Dublinked, CodeForIreland, OKFN Ireland, NDRC, 091 Labs, etc.
- Existing groups: Ireland has many active technical groups, for example Python Ireland, Ruby Ireland, DublinJS, etc.. Members of these groups meet regularly and have good technical and data skills. As part of the preparation for this report, we attended a Python Ireland meetup to gauge the level of awareness and appetite for Open Data¹⁸³. Members were enthusiastic about the Ireland Open Data initiative and had lots of ideas on what datasets to release and how to ensure the data is as reusable as possible.
- **Competitions:** Some Open Data competitions have been run in Ireland, such as data.fingal.ie's Apps4Fingal in 2011, the 2014 SAP InnoJam at the Dublin AppHaus, and the CSO and Insight@NUIG's Apps4Gaps in 2014.

¹⁸³ <u>http://python.ie/</u>



- **Tutorials:** Insight@NUIG hosted *Opening Up Government Data,* a hands-on session to show the benefits of Open Data to public bodies, organisations, developers and citizens. Dublinked also runs regular workshops on tutorials on topics such as data visualisation, innovation and Linked Data. Open Knowledge Ireland ran a 'Open Data in (half) a Day' course following the OGP Regional Meeting in Dublin¹⁸⁴.
- **Evangelism:** Ireland has a growing number of Open Data evangelists, from the public sector, civil society and academia, who regularly attend and speak at events, and encourage the advancement of Open Data initiatives in Ireland. However, more are needed from all walks of life to stretch and grow the Irish Open Data community.
- Internal promotion: The internal promotion of Open Data can be seen with the establishment of Dublinked following the creation of data.fingal.ie. Other local authorities are also now exploring Open Data, as well as the announcement of the national initiative.
- Traditional Media: Traditional media is not used extensively in Ireland for the promotion of Open Data. The only utilisation is through press releases of events and subsequent articles. More use could be made of traditional media to promote the Open Data initiative in general, the publication of certain datasets, and to invite engagement on consultations. Journalists should also be encouraged to use Open Data in their reporting (data journalism), which will in turn demonstrate the benefit of Open Data.

14.4 Recommendations

- a) In order to be successful Open Data has to be user-centric.
- b) Engage widely with the Open Data community
 - Organise hackathons, competitions and tutorials to encourage the use of Open Data
 - Facilitate new dataset requests via the Open Data portal
 - \circ $\;$ Facilitate feedback on individual datasets via the Open Data portal
 - o Disseminate Open Data news, events, and new datasets via social media
 - o Be open, visible and responsive to communication on Open Data topics
- c) Encourage the use of Open Data beyond the existing community, for example, via existing tech and domain-specific groups and organisations.
- d) Assign a dedicated budget for user engagement

¹⁸⁴ <u>https://ti.to/open-data-ireland/open-data-in-a-day</u>


15 Best Practice Standards for Encouraging Economic Reuse

15.1 Overview

"Just as oil was likened to black gold, data takes on a new importance and value in the digital age."

This was the opening remark of Neelie Kroes Vice-President of the European Commission responsible for the Digital Agenda, at a Press Conference on Open Data Strategy Brussels, 12th December 2011¹⁸⁵. As described in section 3.1, Open Data has huge economic value, *"the narrowly defined EU27 direct PSI re-use market was of the order of EUR 28 billion in 2008 and the aggregate direct and indirect economic impacts from PSI applications and use across the whole EU27 economy are estimated to be of the order of EUR 140 billion annually."* (Vickery, 2011).

What kind of companies utilise Open Data to produce this economic value? Deloitte Analytics identified a number of common business models for Open Data (Deloitte Analytics, 2011):

- 1. **Suppliers** organisations that publish their data via an open interface to allow others to use and reuse it.
- 2. **Aggregators** organisations that collect and aggregate Open Data and, sometimes, other proprietary data, typically on a particular sectoral theme, find correlations, identify efficiencies or visualise complex relationships.
- 3. **Developers** organisations and software entrepreneurs that design, build and sell webbased, tablet or smartphone applications for individual consumption. Such applications typically use more dynamic types of Open Data, which are updated frequently.
- 4. **Enrichers** organisations (typically larger, established businesses) that use Open Data to enhance their existing products and services through better insight. Such products and services are not entirely dependent upon Open Data.
- 5. **Enablers** organisations that facilitate the supply or use of Open Data, such as the competition website Innocentive, but are not themselves users or re-users of Open Data.

CapGemini Consulting define the economic benefits of Open Data in terms of three benefit areas: i) revenue growth, ii) cost savings and improved efficiency, and iii) employment generation while developing skills (see Figure 20).

	Drive Revenue through multiple areas	Cut Costs and Drive Efficiency	Generate Employment and develop future-proof skills
Benefit to Government	 Increased tax revenues though increased economic activity Revenues through selling high value added information for a price 	 Reduction in transactional costs Increased service efficiency through linked data 	 Create jobs in current challenging times Encourage entrepreneurship
Benefit to Private Sector	 Drive new business opportunities 	 Reduced cost by not having to invest in conversion of raw government data Better decision making based on accurate information 	 Gain skilled workforce

Figure 20: Economic Benefits of Open Data (Tinholt, 2013)

¹⁸⁵ <u>http://europa.eu/rapid/press-release SPEECH-11-872 en.htm</u>



Creating an Open Data initiative that simultaneously addresses societal goals as well as economic goals can be challenging, as each may involve a separate (albeit perhaps overlapping) set of stakeholders and requirements. For example, the use of Open Data for transparency purposes may require expense data for a particular government department is available for once-off downloads. However a company that wishes to incorporate Open Data into their business process or as part of their product offering will require clear and dependable access to data over time, perhaps via a well-document API, and with an associated service-level agreement (SLA) in addition to the Open License. Therefore it can be difficult to implement and Open Data initiative that specifically derives economic value from Open Data.

A good approach is to take business reuse into consideration when making decisions concerning any of the Open Data Ecosystem Elements, from dataset selection, publishing high-quality data, licensing, etc. If potential business users try to access Open Data, but are met with technical or legal challenges, in many cases they cannot afford to spend a lot of time engaging with the data provider. They instead pivot, i.e. do without the data, or find other means of accessing the data, e.g. scraping the data from websites. This results in a missed opportunity for economic value being gleaned from Open Data.

In order to increase the awareness of Open Data initiatives with potential business re-users, and to increase the awareness by public bodies of the specific needs of potential business re-users, more targeted engagement is required. Business Open Data users should of course participate in the general engagement activities, as described in section 14. However targeted consolations and tutorials are also necessary. A good starting point is to utilise existing business networks and communities, for example, chambers of commerce, umbrella associations, start-up incubators or developer-meet-ups.

15.2 International Practice

At the outset of Open Data, many claims were made by domain evangelists around the expected benefits and potential economic impact Open Data would bring. However Open Data initiatives are now up to four years old, depending on the jurisdiction, meaning that many are mature enough to have examples of businesses use and economic impact. Each day more and more examples of Open Data in practice are emerging.

The Open Data Institute (ODI) in the UK runs a start-up program, offering new Open Data related companies with space, mentoring, coverage and networking¹⁸⁶. According to the ODI's First Year Annual Report¹⁸⁷, the ODI start-ups have generated £700k in commercial contracts and £700k in investment in their first year, with only a 16% pivot/move-on rate in comparison to the typical fail-rate of 90% for start-ups. The start-ups include OpenCorporates, the largest Open Database of companies in the world, Mastadon C, agile big data specialists, and DemandLogic, a web-based system designed to discover energy-saving opportunities in commercial buildings and monitor building performance and comfort.

¹⁸⁶ <u>http://theodi.org/start-ups</u>

¹⁸⁷ https://theodi.org/odis-first-year-annual-report



The UK Technology Strategy Board offers Innovation Vouchers for Open Data innovators and inventors¹⁸⁸. Innovation Vouchers for Open Data business innovators and inventors can be used to secure specialist consulting and services to help:

- Businesses looking to launch new tools and services that help speed and ease the supply, demand and utility of Open Data (e.g. visualisation tools, analytics tools, Open Data publishing platforms)
- Specific use of Open Data to create new insights and unlock social, environmental, economic value or a blend of all three
- An idea that brings together and focuses on collaborative creation of Open Datasets
- A business looking to publish new sources of Open Data or added value on top of Open Data
- New apps that make use of Open Data and might provide new services delivering value to citizens, businesses or consumers
- A new business looking to develop an idea into a working prototype.
- Specialist consulting and technical support focussed on the integration of Open Data with other proprietary data sources to develop products and prototypes

The World Bank also thinks the time is right for an Open Data fund¹⁸⁹. Therefore they have announced they are working to establish a new fund (working title – Partnership for Open Data (POD) Fund) that will invest in firms in emerging economies that have demonstrable market potential and that also focus on social outcomes.

The U.S. Open Data portal has a dedicated 'impact' page where companies that leverage Open Data across the finance, consumer products, health, energy and education sectors are showcased¹⁹⁰. They include start-ups like Calcbench and SoFi, and larger companies, such as LinkedIn and Esri.

New York University's GovLab run the Open Data 500 study, the first comprehensive study of U.S. companies that use open government data to generate new business and develop new products and services¹⁹¹. The study aims to provide a basis for assessing the economic value of government Open Data, encourage the development of new Open Data companies, and foster a dialogue between government and business on how government data can be made more useful. Each Open Data company is asked to fill out a survey about their work and the Open Data they use. Again, companies range from start-ups, SMEs and multinationals, operate in different domains, use a variety of datasets, and incorporate Open Data Roundtables to bring together government agencies with the businesses that use their data¹⁹². Five federal agencies have agreed to participate, including the Dept. of Commerce, the Dept. of Labor, the Dept. of Transportation, the Dept. of the Treasury and USDA. The U.S. Open Data Action Plan states 'specific, actionable feedback from these sessions and others has the potential to improve descriptions, formats, and accessibility of government data.'

¹⁸⁸ <u>https://vouchers.innovateuk.org/data-voucher</u>

¹⁸⁹ http://blogs.worldbank.org/opendata/time-right-open-data-fund

¹⁹⁰ http://www.data.gov/impact/

¹⁹¹ http://www.opendata500.com/

¹⁹² http://www.whitehouse.gov/blog/2014/04/08/impact-open-data



The director of the Open Data 500 study, Joel Gurin, has written a book 'Open Data Now: The Secret to Hot Startups, Smart Investing, Savvy Marketing, and Fast Innovation' (Gurin, 2013)s. In the book, Gurin describes how to harness the power of Open Data in a variety of business applications, from start-up innovation, marketing, business analysis, crowd-sourcing, open collaboration, etc. Throughout the book, Gurin draws on real examples of companies successfully using and building Open Data, such as The Climate Corporation, Zillow and Garmin.

At 2014 European Data Forum (EDF14), Axel Polleres from the Wirtschafts Universität in Vienna presented the Open City Data Pipeline¹⁹³. The Open City Data Pipeline provides an extensible platform to support citizens and city administrators by providing city key performance indicators (KPIs), leveraging Open Data sources. During his presentation, Axel highlighted that:

"Open Data needs stronger standards to be useful, in particular for industrial uptake. Industrial usage has different requirements than app hobbyist or civil society, it's important to think how Open Data can be used by industry at time of publication."

An analysis carried out by Fatemeh Zeleti et al. found that Open Data Business Models fell into five major categories in which each category consists of one or more business model/s (Zeleti, Ojo, & Curry, 2014). These categories are:

- **Freemium**, includes "Freemium", "Dual-Licensing", "Charging for Changes", "Open Source", and "Free as Branded Advertising" models. All the models in this category offer limited data free of charge and apply fees for additional request for complete and higher quality datasets.
- **Premium**, includes "Sponsorship", "Support and Services", "Demand-Oriented Platform", "Supply-Oriented Platform", "White-Label Development" and "Premium" models. Data in all these models is not offered free of charge. However, data are offered in high quality and complete form at some cost.
- **Cost Saving**, includes "Increase Quality through Participation" and "Cost Avoidance" models. Models in this category do not entirely cover the cost, but reduce cost of opening and releasing data by engaging participants and publishing data as Linked Data. Data user or reuser participants play a vital role in this category as by active participation publishing data can happen at lower cost.
- Indirect Benefit, includes "Supply Primary Business" model. Opening up data in this category is strategic and releasing open data naturally supports the primary goal of the business. Model in this category allows the business to develop its own data and data infrastructure by using the third-party infrastructures that are created because the data is open and available.
- **Razor-Blade**, includes "Infrastructural Razor and Blades" model. The business strategy in this category is to offer first set of data at a discount, while offering complementary or dependent data at a considerable higher price.

¹⁹³ <u>http://ai.wu.ac.at/~polleres/presentations/20140319CityDataPipeline_EDF2014_Polleres.pdf</u>



15.3 Current Irish Practice

In Ireland there is clear evidence of the economic reuse of public-sector information, however, much of this data is not freely available and can be purchased from revenue-generating bodies, such as the OSi and GeoDirectory, as discussed in section 7. The OSi recently commissioned an Assessment of the Economic Value of the Geospatial Information Industry in Ireland (Ordnance Survey of Ireland, 2014). They found that the Geospatial Information industry in Ireland generated sales or output valued at €117.5 million in 2012, spent a total of €84.4 million on wages/salaries, and contributed over €69.3 million in terms of Gross Value Added (GVA) to Irish economy.

Economic Impact of Geospatial Information – Summary of Direct and Economy-Wide Impacts of GI Industry in Ireland			
Component of Impact	Direct Impact – 2012	Total Economy-Wide Impact - 2012*	
Employment – Full-Time Equivalent Persons	1,677	3,078	
Output (Sales) - € Million	117.5	256.1	
Wage/Salary Expenditures - € Million	84.4	142.7	
Gross Value Added/GDP Contribution - € Million	69.3	126.4	
Source: Indecon analysis and modelling * Economy-wide impact = direct impact + multiplier (indire	ect and induced) impacts in su	pply chain.	

Figure 21: Economic Impact of GI Industry in Ireland (Ordnance Survey of Ireland, 2014)

There is also evidence of the economic reuse of freely-available public-sector information, such as that from INFOMAR, Geological Survey of Ireland (GSI) and the National Transport Authority (NTA).

The INtegrated Mapping FOr the Sustainable Development of Ireland's MArine Resource (INFOMAR) programme is a joint venture between the Geological Survey of Ireland and the Marine Institute¹⁹⁴. The programme concentrates on creating a range of integrated mapping products of the physical, chemical and biological features of the seabed in the near-shore area. The programme is funded by the Irish Government through the Department of Communications, Energy and Natural Resources. In 2013, PwC was commissioned to undertake an independent mid-term evaluation of the INFOMAR programme 2006 to 2016 (PwC, 2013). While investigating what is the accessibility of the data to relevant stakeholders and, related, levels of usage, PwC found that the largest take-up of INFOMAR data has come from Irish institutions in the private sector i.e. 32% of all downloads and in public education i.e. 26%. INFOMAR has also provided seed-funding for research proposals up to €30,000, which helps directly engage SMEs with the data, which has been very successful in creating commercial applications and raising the awareness of the data¹⁹⁵.

Another example of economic impact of data reuse of GSI is from the project Tellus Border, an EU INTERREG IVA-funded regional mapping project collecting geo-environmental data on soils, water and rocks across six border counties - Donegal, Sligo, Leitrim, Cavan, Monaghan and Louth - and continuing the analysis of existing data in Northern Ireland¹⁹⁶. From the project, 500 layers of

¹⁹⁴ http://www.infomar.ie/

¹⁹⁵ http://www.infomar.ie/documents/INFOMAR Call for Research Proposals 2011.pdf

¹⁹⁶ http://www.tellusborder.eu/



environmental (geochemistry/geophysical) data have been released, which has brought in over €1 million EUR worth of inward investment in six months. Companies have seen the data internationally, come and taken out mining exploration licenses, and have committed to spending €1 million EUR in Ireland to follow up on mining exploration in border counties. This could mushroom to many millions if their follow up finds something, which would never have happened had the Tellus data not been made publicly available as data downloads, as shown in Figure 22.



Figure 22: Tellus Border Project Data Downloads¹⁹⁷

The National Transport Authority (NTA) has also seen the economic impact of its publicly available data. NTA's transport data is used by Google Transit (as shown in Figure 23), Nokia HERE Transit, Yahoo Maps, etc. NTA have reported that wide usage of its data has helped improve the quality of the data, for example, inaccuracies in bus-stop locations or routes.

The NTA has also supported Irish SMEs on the back of its data publication. Many SMEs have created user-friendly transport applications with the NTA transport data, with specific focuses. The NTA in turn has in turn worked with some of these SMEs to improve the usability of its own applications, for example Moovit¹⁹⁸ and Tapadoo¹⁹⁹.

¹⁹⁷ <u>http://www.tellusborder.eu/Data+Downloads/</u>

¹⁹⁸ <u>http://www.moovitapp.com/</u>

¹⁹⁹ <u>http://tapadoo.com/</u>





Figure 23: Google Transit, featuring National Transport Authority data²⁰⁰

There are a number of other companies and start-ups utilising Open Data in Ireland. BuildingEye²⁰¹ was founded by Ciaran Gilsenan to address the difficulty of accessing planning data buried within multiple Local Authority websites, and make it easier and faster for all users to find the planning files of interest to them. The Irish site mypp.ie provides a single, user-friendly access point for planning applications across Ireland²⁰². Ciaran has expanded BuildingEye to include many U.S. cities including San Francisco, Palo Alto, Seattle, Salt Lake City, Philadelphia, etc.

Parkya²⁰³, founded by Jason Roe and Paul Flood, has a vision to make parking easier and effortless for the global parking industry. ParkYa is a mobile application platform which helps drivers find the best place to park based on location and price. The ParkYa team have worked closely with Dublin City Council and Fingal County council.

Derilinx²⁰⁴ enables public-sector organisations to harness the power of data: improving insight, efficiency, and compliance. Derilinx focuses on the publication of high-quality, Linked Open Data, which can be easily reused facilitating data interoperability, analysis, reporting, visualisation, etc. The Derilinx team has collaborated with the Central Statistics Office and Local Authorities.

In preparation of this report, we held an 'Open Data Ireland' focus group in the National Digital Research Centre (NDRC) to gauge the level of awareness, usage and potential for Open Data in the Irish start-up community. Even with short notice, many members of start-ups attended including:

²⁰⁰ https://maps.google.co.uk/transit

²⁰¹ http://buildingeye.com/

²⁰² http://mypp.ie

²⁰³ http://parkya.com/

²⁰⁴ http://derilinx.com/



- Thomas Knape, Applied Intelligence Analytics²⁰⁵
- Orla Fitzmaurice, GoBramble²⁰⁶
- Kevin Sexton, Mobstats²⁰⁷
- Neil Vaughan, Pharmapod²⁰⁸
- Kevin O'Shaughnessy, Cityhook²⁰⁹
- Eamonn Keane, Xpreso²¹⁰

All participants agreed that Open Data would be hugely beneficial to the start-up community. For example, in their own companies, GoBramble reuses greenspace/parks/environmental information; Pharmapod reuses registers of pharmacies/medicines, etc.; Cityhook reuses transport information. Some of the issues that the participants highlighted with current data publication practices were:

- a) Restrictions of licenses
 - o "Licenses are not drafted with commercial use in mind"
 - o "Licenses are restrictive of how data can be channelled through company"
 - o "Most licenses are elaborate hosting agreements"
- b) Price of Map and Address data
 - o "Larger companies can pay fees, but it is restrictive to smaller companies"
 - o "There are not different price points for address data"
- c) Lack of supports in place for start-ups/SMEs

Some of the participants also suggested a model where SMEs share some of the data they produce, for example Mobstats plans on publishing some of its sports data as Open Data and Xpreso contributes Open Data via Open Street Maps.

Another suggestion was that companies could collaborate with public-sector bodies that don't have the in-house expertise to publish Open Data. This cooperation would bring mutual benefit: available data for the company in a reusable format, and compliance with Open Data policy and economic impact of their data for public bodies. However, something to bear in mind with such collaborations is that it should be made clear if this collaboration would be seen as a competitive advantage and would ban collaborator from applying for future tenders.

15.4 Recommendations

- a) Publish Open Data with commercial reuse in mind
- b) Create targeted business user engagement activities
- c) Collaborate with potential commercial users of Open Data when considering which standards, formats, APIs, etc. to use.
- d) Provide funding for SMEs/start-ups to use, or support the use of, Open Data, e.g. seed-funding, innovation vouchers
- e) If there exists fees for data usage (non Open Data), e.g. for address and map data, set price points for SMEs/start-ups and trial usage periods.

²⁰⁵ <u>http://www.aianalytics.ie/</u>

²⁰⁶ http://gobramble.com/

²⁰⁷ http://mobstats.com/

²⁰⁸ http://www.pharmapod.ie/

²⁰⁹ http://www.cityhook.com/

²¹⁰ https://xpreso.com/



16 Best Practice Standards for Evaluation

16.1 Overview

Evaluating the progress and impact of an Open Data initiative is critical to support the development of Open Data policy and its implementation in practice. Both opportunities and challenges should be identified, so that they can then be built upon or addressed respectively. An Open Data evaluation framework also measures if objectives are being achieved, and if there are unexpected outcomes. To carry-out impact evaluations for all sectors (commercial, civil-society, general public, government, etc.), a strong evidence base is required. One aspect of evaluation is monitoring whether the Open Data initiative is on-track, i.e. if it is reaching targets set out in the roadmap, which should in turn lead to meeting general objectives. Another measure of performance is international Open Data rankings, which place countries in order in terms of certain indicators. However being 'on-track' in terms of a roadmap and being measured via an international ranking system does not give a complete picture of the impact of the Open Data initiative. Therefore a dedicated impact evaluation is required periodically, ideally carried out by an independent body.

When exploring Open Data evaluation and assessment frameworks, Tim Davies and the Open Data in Developing Countries (ODDC) team found that the frameworks they came across fell into three broad categories: readiness assessments, implementation evaluations and impact assessments (Davies, Perini, & Alonso, 2013). Readiness studies seek to assess whether the conditions in a country, city or sector might be appropriate for an Open Data initiative to be effective, and may seek to also highlight areas where investment or effort would be needed to get ready for an Open Data initiative, for example, the World Bank's Readiness Assessment Tool²¹¹. Studies of implementation seek to assess whether the conditions for Open Data, or Open Data itself, actually exist in a country, city or sector: that is, whether Open Data policies are being implemented, for example, OKFN's Open Data Index. Impact studies ask whether Open Data has led to change. Generally they focus on whether Open Data has brought one of the specific benefit that Open Data advocates suggested would result from opening datasets - such as economic growth or democratic empowerment. While examples of readiness assessments and implementation evaluations are listed in 'Researching the Emerging Impacts of Open Data: ODDC Conceptual Framework', the authors admit that "as yet, there are no large-scale rigorous studies of Open Data impacts, and most work remains at the level of ad-hoc and isolated case studies or anecdotes."

16.2 International Practice

Open Data Barometer

The Open Data Barometer, as discussed in section 6.2.1, is a joint barometer study of the Web Foundation and the Open Data Institute, which aims to uncover the true prevalence and impact of Open Data initiatives around the world. It analyses global trends, and also ranks countries and regions via an in-depth methodology that considers: readiness to secure the benefits of Open Data; actual levels of implementation; and the impact of such initiatives (Davies, Farhan, et al., 2013).

²¹¹ <u>http://data.worldbank.org/about/open-government-data-toolkit/readiness-assessment-tool</u>



The Open Data Barometer readiness variables were divided into three components: government, citizen and civil society, and entrepreneurs and business. In order to measure implementation, the Open Data Barometer selects a number of key datasets, which are grouped under three clusters: innovation, social, and accountability. Finally, impact was measured under political (transparency & accountability, government efficiency), social (environmental sustainability, the inclusion of marginalised groups), and economic (entrepreneurial Open Data use, economic growth). The Open Data Barometer aims to assess Open Data initiatives at both the macro and micro (case-study) level to gain a comprehensive picture.

Open Data Index

The Open Data Index is a project run by the Open Knowledge Foundation that aims to assess the state of Open Government Data around the world²¹². The results of the Open Data Index are based on the Open Data Census (see section 6.2.2). This is compiled using contributions from civil society members and Open Data practitioners around the world, to which the public is invited to contribute at any time; it is then peer-reviewed and checked periodically by a team of 60+ expert Country Editors. The Open Data Census was launched in April 2012 to coincide with the OGP meeting in Brasilia. Annual snapshots are made by to showcase the results after further peer review, with the first one published in October 2013 as the 2013 Open Data Index (see Figure 24). The Open Data Index focuses on a small number of key datasets and measures each dataset against the following criteria:

- 1. Does the data exist?
- 2. Is data in digital form?
- 3. Publicly available?
- 4. Is the data available for free?
- 5. Is the data available online?
- 6. Is the data machine readable?
- 7. Available in bulk?
- 8. Openly licensed?
- 9. Is the data provided on a timely and up-to-date basis?
- 10. URL of data online?
- 11. Date the data became available?
- 12. Format of data?

OGP Independent Reporting Mechanism (IRM)

As described in section 4.2, the Open Government Partnership (OGP) is a multilateral initiative that aims to secure concrete commitments from governments to promote transparency, empower citizens, fight corruption, and harness new technologies to strengthen governance. The OGP Independent Reporting Mechanism (IRM) is a key means by which all stakeholders can track progress and impact among OGP participating governments, as well as promote strong accountability between member governments and citizens (Open Government Partnership, 2012). The IRM produces biannual independent progress reports for each country participating in OGP²¹³. The progress reports assess governments on the development and implementation of OGP action plans, progress in fulfilling open government principles, and make technical recommendations for improvements. These reports are intended to stimulate dialogue and promote accountability between member governments and citizens. The IRM is overseen by an International Experts Panel (IEP), made up of several Senior Advisors and five Technical Advisors. Local country researchers draft the independent country reports, in accordance with templates prepared by the IEP. Specific Open Data actions will also be reviewed and assessed.

²¹² https://index.okfn.org/

²¹³ http://www.opengovpartnership.org/independent-reporting-mechanism



Key: Yes 📕 I	No 📃 Unsure 📃 No data	
Sort alphabetically by score 	Teneroties corentet constitute constitute constitute taionit taionit taionit taionit taionite constitute taionite	5 50 ^{te}
United Kingdom		940
United States		855
Denmark		835
Norway		755
Netherlands		740
Finland		700
Sweden		670
New Zealand		660
Australia		660
Canada		590
Iceland		560
Moldova		530
Bulgaria		520

Figure 24: Open Data Index Country Results²¹⁴

Open Data 500

As described in section 15.2, GovLab run the Open Data 500 study, the first comprehensive study of U.S. companies that use open government data to generate new business and develop new products and services²¹⁵. The study aims to provide a basis for assessing the economic value of government Open Data, encourage the development of new Open Data companies, and foster a dialogue between government and business on how government data can be made more useful. Each Open Data company is asked to fill out a survey about their work and the Open Data they use. Again, companies range from start-ups, SMEs and multinationals, operate in different domains, use a variety of datasets, and incorporate Open Data into their business model in unique ways

Academic Models

There are also academic models developed to evaluate Open Data initiatives, such as the Open Data Maturity Model (OD-MM), which assesses the commitment and capabilities of public agencies in pursuing the principles and practices of Open Data (Solar, Concha, & Meijueiro, 2012), the Metric for reLeasing Open DAta (MELODA), which helps data publishers to make the most of the information they release for reuse (García, 2013), and the Dynamic Model of Opening Data, which uses the concept of an information polity as a more holistic way to understand context (Helbig, Cresswell, Burke, & Luna-reyes, 2012).

Economic Impact Assessments

As described in section 3.1, there have been many international studies carried out to determine the potential and actual impact of Open Data. These include Graham Vickery's study into the value of PSI re-use in Europe, commissioned by the European Commission (Vickery, 2011), McKinsey's 2013

²¹⁴ <u>https://index.okfn.org/country</u>

²¹⁵ http://www.opendata500.com/



report on 'Open data: Unlocking innovation and performance with liquid information' (Manyika et al., 2013), and CapGemini's 2013 report into 'The Open Data Economy: Unlocking Economic Value by Opening Government and Public Data' (Tinholt, 2013).

There have also been a number of national economic impact studies, many of which focus on a specific sector, such as the Spanish 'Characterization Study of the Infomediary Sector' (Datos.gob.es, 2012), the Danish Enterprise and Construction Authority's study into 'The value of Danish address data' (Danish Enterprise and Construction Authority, 2010), and the UK Ordnance Survey's 'Open Data Economic Value Study' (UK Ordnance Survey, 2013).

In all of these studies, there is not a standardised way for evaluating economic impact or an acceptance of metrics that underpin the assessment of value. This in fact is one of the recommendations from the UK Ordnance Survey's report:

The consultants recommend that OS, in conjunction with the geospatial industry sponsor an initiative to establish common methodologies for evaluating economic value from proposed policy initiatives ideally before rather than after the political decisions have been made.

16.3 Current Irish Practice

In the Open Data Barometer study, Ireland ranked 29th out of 77 countries (see Figure 25). Ireland scored relatively highly in terms of Open Data readiness (citizens & civil society: 78%, government: 52%, and entrepreneurs & business: 56%), however scores for datasets implementation (accountability: 28%, social policy: 42%, and innovation: 30%) and for impact (economic: 21%, social: 12%, and political: 35%) were quite low.



Figure 25: Open Data Barometer Visualisation: Ireland²¹⁶

In the OKFN Open Data Index, Ireland ranked 24th out of 77 countries (see Figure 26). The Open Data Index focuses on datasets. Ireland scores highly for national statistics and emissions of pollutants (both 70%), scores averagely for election results (65%), transport timetables (60%), national map (55%), company register (50%), and government budget (45%), and scores poorly for legislation (35%), government spending (10%), and postcodes/zipcodes (0%).

²¹⁶ <u>http://theodi.github.io/open-data-barometer-viz/</u>



	relan	u				
nore about each dataset, click or	n the info icor		oss each key dataset (displayed down the left ha column represents important factors indicating o and to yes / no / unsure / no data.		· ·	
Dataset	Score	Breakdown	Location (URL)	Info	orma	ation
Transport Timetables 0	60%	┣₿�\$₿₽₽₽	http://www.transportforireland.ie/	^		0
Government Budget 0	45%	◧◧๏\$๏๏๔๒	http://budget.gov.ie	6		0
Government Spending 0	10%	┣▤◙\$◙▥◪◾◙		6		0
Election Results 0	65%	┣▤�\$0₡₽₽0	http://www.environ.ie/en/LocalGovernment/Votin	6	P	0
Company Register 0	50%	◧◧◙\$◙▥◮◾◙	https://services.cro.ie/overview.aspx	60		6
National Map	55%	◧◧◙\$◙▥◮◾◐	http://www.osi.ie	6		0
National Statistics 0	70%	◧◧๏\$๏ฅ๚๛๏	http://www.cso.ie/en/census/	6	P	0
Legislation (1)	35%	◧◧◙◙\$◙▥◪ਿ	http://irishstatutebook.ie	60	P	0
Postcodes / Zipcodes	0%	∟⊵⊚\$⊚⊠₫₽		**		0
Emissions of pollutants ()	70%	BB 👁 \$ 0 📼 🖓 🔒 0	https://www.epa.ie/air/guality/data/	60	в	6

Figure 26: OKFN Open Data Index Country Visualisation: Ireland²¹⁷

In Ireland sector-specific economic assessments on data use have been carried out. An independent external evaluation of the INFOMAR programme was carried out by PwC, examining the programme's rationale, efficiency, effectiveness, impacts and continued relevance. The role of data production, publication and use was central to the findings of the report, for example, that the public accessibility of the data and associated information products is strong and that high levels of data quality and usefulness was reported.

Ordnance Survey Ireland (OSi) recently commissioned Indecon International Economic Consultants to carry-out an Assessment of the Economic Value of the Geospatial Information Industry in Ireland (Ordnance Survey of Ireland, 2014). They found that the Geospatial Information industry in Ireland generated sales or output valued at €117.5 million in 2012, spent a total of €84.4 million on wages/salaries, and contributed over €69.3 million in terms of Gross Value Added (GVA) to Irish economy (see Figure 21).

In 2012, the National Statistics Board (NSB) reviewed the progress made thus far on the implementation of its Strategy for Statistics 2009-1014, which is focused on the Irish Statistical System (National Statistics Board, 2012). The NSB reported on the progress of each of the 19 specific recommendations defined in the 2009-2014 strategy, as well as highlighting some critical issues for the Irish Statistical System in the context of the progress made to date and the changed environment since its preparation.

²¹⁷ https://index.okfn.org/country/overview/Ireland/



16.4 Recommendations

- a) Define an evaluation framework that assesses the readiness, implementation and impact of the Open Data initiative.
- b) Evaluate the Open Data initiative at both the macro and micro level
- c) Study case-studies of Open Data in use to get a clear understanding of the impact of Open Data in particular sectors and under a certain set of conditions.
- d) Utilise international evaluations, such as the OGP Independent Reporting Mechanism, Open Data Barometer, and the OKFN Open Data Index, to understand and improve the national Open Data initiative.
- e) Collaborate with academia to study the impact of Open Data.
- f) The progress/findings of the evaluation framework should be published by the Steering and Implementation Group annually.
- g) An independent review of the national Open Data Initiative should be carried out biannually.



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17 Appendix I: Interviews, Focus Groups, Meet-ups and Feedback

Interviews

The following representatives from public bodies were interviewed to ascertain the general level of awareness and readiness around Open Data, in addition to trying to identify particular concerns, issues, uses and objectives of Irish public bodies.

Name	Abbreviation	Public Body
Justin Gleeson	AIRO	All Ireland Research Observatory
Billy Hawkes		Data Protection Commissioner
Ann McDonnell, Paul Soden, Gillian Golden, Margaret McGuigan	DES	Dept. of Education & Skills
Tadgh O'Leary	DPER	Dept. of Public Expenditure & Reform
Niall Barry, John Bohan, Daragh O'Conner, Sean Fay	DSP	Dept. of Social Protection
Sandra Collins	DRI	Digital Repositories Ireland
Eoin O'Malley	DCU	Dublin City University
Dara Lynott	EPA	Environmental Protection Agency
Dominic Byrne		Fingal County Council
Liam Kidd		Garda
Jane Grimson	HIQA	Health Information & Quality Authority
Ros Moran	HRB	Health Research Board
Liam Campbell		Met Éireann
Declan Sheehan, Peter Cranny	NTA	National Transport Authority
Peter Tyndall		Office of the Ombudsman & Office of the Information Commissioner
Hugh Mangan	OSI	Ordnance Survey Ireland
Brendan Kennedy		Pensions Authority
Liam Ryan		Revenue
Paul O'Toole		Solas

Focus Groups

A focus group was held with the following public-body members of the Irish Spatial Data Exchange (ISDE) to gauge the level of awareness and readiness around Open Data for the geospatial community, in addition to trying to identify particular concerns, issues, uses and objectives.

Name	Abbreviation	Public Body
Gareth John	DAHG	Dept. of Arts, Heritage and the Gaeltacht
Rob Ovington	DECLG	Dept. of the Environment, Community & Local Government



Fiona O'Rourke	EPA	Environmental Protection Agency
Ray Scanlon	GSI	Geological Survey of Ireland
Eoin O'Grady		Marine Institute

A focus group was held with the following members of start-ups in the NDRC to gauge the level of awareness and readiness around Open Data, in addition to trying to identify particular concerns, issues, uses and objectives of Irish start-up community. The aim of this engagement was to demonstrate the potential of Open Data usage by the business community, and should be followed up with the recommendations outlined in section 15.

Name	Company
Orla Fitzmaurice	GoBramble
Eamonn Keane	Xpreso
Thomas Knape	Applied Intelligence Analytics
Kevin O'Shaughnessy	Cityhook
Kevin Sexton	Mobstats
Neil Vaughan	Pharmapod

Meet-ups

Many meet-ups are held across Ireland, especially within the technical and civic communities. We attended some of such meet-ups to demonstrate the potential of Open Data usage by wider communities and to show the potential benefit of utilising existing meet-ups for dissemination purposes. This activity should be followed up with the recommendations outlined in section 14.

Meet-up
Python Ireland Meet-up
Dublinked Transport & Real Time Passenger Information Meet-up
Open Government Partnership (OGP) Civil Society Forum Meet-up

Feedback

We also invited feedback on Open Data Ireland best practice topics from the wider Open Data Community via the active mailing-lists. Due to the limited timeframe of the project, this consultation was not complete or representative, but our goal was to demonstrate the willingness of the wider community to engage and contribute, as well as the strong potential for data access and use in Ireland. Open Knowledge Ireland responded with a complete 'wish-list', with details on what high-value datasets should be published, what licences should Open Data Ireland use, how users should be engaged, etc. See Open Knowledge Ireland's full contribution on their blog²¹⁸.

²¹⁸ <u>http://irl.okfn.org/2014/04/14/open-data-ireland-communitys-wishlist-for-insight/</u>



18 Appendix III: Open Data International Guidelines

The 8 Principles of Open Government Data http://opengovdata.org/

On December 7-8, 2007, thirty open government advocates gathered in Sebastopol, California and wrote a set of eight principles of open government data. The meeting was designed to develop a more robust understanding of why open government data is essential to democracy. Government data shall be considered open if it is made public in a way that complies with the principles below:

- 1. **Complete**: All public data is made available. Public data is data that is not subject to valid privacy, security or privilege limitations.
- 2. **Primary**: Data is as collected at the source, with the highest possible level of granularity, not in aggregate or modified forms.
- 3. **Timely**: Data is made available as quickly as necessary to preserve the value of the data.
- 4. Accessible: Data is available to the widest range of users for the widest range of purposes.
- 5. Machine processable: Data is reasonably structured to allow automated processing.
- 6. **Non-discriminatory**: Data is available to anyone, with no requirement of registration.
- 7. Non-proprietary: Data is available in a format over which no entity has exclusive control.
- 8. **License-free**: Data is not subject to any copyright, patent, trademark or trade secret regulation. Reasonable privacy, security and privilege restrictions may be allowed.

G8 Open Data Charter

https://www.gov.uk/government/publications/open-data-charter/g8-open-data-charter-andtechnical-annex

G8 leaders signed the Open Data Charter on 18 June 2013. The Open Data Charter sets out 5 strategic principles that all G8 members will act on. These include an expectation that all government data will be published openly by default, alongside principles to increase the quality, quantity and re-use of the data that is released. G8 members have also identified 14 high-value areas – from education to transport, and from health to crime and justice – from which they will release data. These will help unlock the economic potential of Open Data, support innovation and provide greater accountability.

Principle 1: Open Data by Default

We will:

- define our Open Data position in a public statement of intent,
- publish a national action plan
- publish data on a national portal

Principle 2: Quality and Quantity

We will:

- use robust and consistent metadata
- publish and maintain an up-to-date mapping
- ensure data are fully described,
- listen to feedback from data users



Principle 3: Usable by All

We will:

• make data available in convenient open formats

Principle 4: Releasing Data for Improved Governance

We will:

- develop links with civil society organisations and individuals
- be open about our own data standards, so that we take into account:
- document our own experiences of working with Open Data

Principle 5: Releasing Data for Innovation

We will:

- support the release of data using open licences
- ensure data are machine readable in bulk
- release data using application programming interfaces (APIs),
- encourage innovative uses of our data

Open Data Handbook (OKFN)

http://opendatahandbook.org/

The Open Knowledge Foundation (OKFN) Open Data Handbook introduces the legal, social and technical aspects of Open Data. It can be used by anyone but is especially useful for those working with government data. It discusses the why, what and how of Open Data – why to go open, what open is, and the how to do open.

- Choose Dataset(s)
 - o Asking the community
 - Cost basis
 - Ease of release
 - o Observe peers
- Apply an Open License (Legal Openness)
- Make Data Available (Technical Openness)
 - Online methods
- Make data discoverable
 - Existing tools
 - For government
- Tell the world!
 - Understanding your audience
 - Post your material on third-party sites
 - o Making your communications more social-media friendly
 - o Social media
- Getting folks in a room: Unconferences, Meetups and Barcamps
- Making things! Hackdays, prizes and prototypes
 - Examples for Competitions
 - Conferences, Barcamps, Hackdays



Guidelines for Open Data Policies (Sunlight Foundation) http://sunlightfoundation.com/opendataguidelines/

Version 3 | March 2014: The Sunlight Foundation created this living set of Open Data guidelines to address: what data should be public, how to make data public, and how to implement policy. The provisions are not ranked in order of priority and do not address every question one should consider when preparing a policy, but are a guide to answer the question of what an Open Data policy can and should do in striving to create a government data ecosystem where Open Data is the default. Setting the default to open means that the government and parties acting on its behalf will make public information available proactively and that they'll put that information within reach of the public (online), without barriers for its reuse and consumption. Setting the default to open is about living up to the potential of our information, about looking at comprehensive information management and making determinations that fall in the public interest.

What Data Should Be Public

- 1. Proactively release government information online
- 2. Reference and build on existing public accountability and access policies
- 3. Build on the values, goals and mission of the community and government
- 4. Create a public, comprehensive list of all information holdings
- 5. Specify methods of determining the prioritization of data release
- 6. Stipulate that provisions apply to contractors or quasi-governmental agencies
- 7. Appropriately safeguard sensitive information

How to Make Data Public

- 8. Mandate data formats for maximal technical access.
- 9. Provide comprehensive and appropriate formats for varied uses
- 10. Remove restrictions for accessing information
- 11. Mandate data be explicitly license-free
- 12. Charge data-creating agencies with recommending an appropriate citation form
- 13. Require publishing metadata
- 14. Require publishing data creation processes
- 15. Mandate the use of unique identifiers
- 16. Require code sharing or publishing open source
- 17. Require digitization and distribution of archival materials
- 18. Create a central location devoted to data publication and policy
- 19. Publish bulk data
- 20. Create public APIs for accessing information
- 21. Optimize methods of data collection
- 22. Mandate ongoing data publication and updates
- 23. Create permanent, lasting access to data

How to Implement Policy

- 24. Create or appoint oversight authority
- 25. Create guidance or other binding regulations for implementation
- 26. Incorporate public perspectives into policy implementation
- 27. Set appropriately ambitious timelines for implementation



- 28. Create processes to ensure data quality
- 29. Ensure sufficient funding for implementation
- 30. Create or explore potential partnership
- 31. Mandate future review for potential changes to this policy

Government Linked Data Best Practices (W3C) https://dvcs.w3.org/hg/gld/raw-file/default/bp/index.html

STEP #1 PREPARE STAKEHOLDERS:

Prepare stakeholders by explaining the process of creating and maintaining Linked Open Data.

STEP #2 SELECT A DATASET:

Select a dataset that provides benefit to others for reuse.

STEP #3 MODEL THE DATA:

Modelling Linked Data involves representing data objects and how they are related in an applicationindependent way.

STEP #4 SPECIFY AN APPROPRIATE LICENSE:

Specify an appropriate Open Data license. Data reuse is more likely to occur when there is a clear statement about the origin, ownership and terms related to the use of the published data.

STEP #5 GOOD URIS FOR LINKED DATA:

The core of Linked Data is a well-considered URI naming strategy and implementation plan, based on HTTP URIs. Consideration for naming objects, multilingual support, data change over time and persistence strategy are the building blocks for useful Linked Data.

STEP #6 U.S.E STANDARD VOCABULARIES:

Describe objects with previously defined vocabularies whenever possible. Extend standard vocabularies where necessary, and create vocabularies (only when required) that follow best practices whenever possible.

STEP #7 CONVERT DATA:

Convert data to a Linked Data representation. This is typically done by script or other automated processes.

STEP #8 PROVIDE MACHINE ACCESS TO DATA:

Provide various ways for search engines and other automated processes to access data using standard Web mechanisms.

STEP #9 ANNOUNCE NEW DATA SETS:



Remember to announce new data sets on an authoritative domain. Importantly, remember that as a Linked Open Data publisher, an implicit social contract is in effect.

STEP #10 RECOGNIZE THE SOCIAL CONTRACT:

Recognize your responsibility in maintaining data once it is published. Ensure that the dataset(s) remain available where your organization says it will be and is maintained over time.